



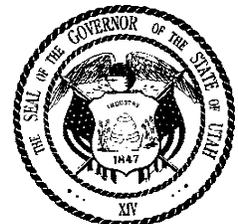
# State of Utah Budget Recommendations

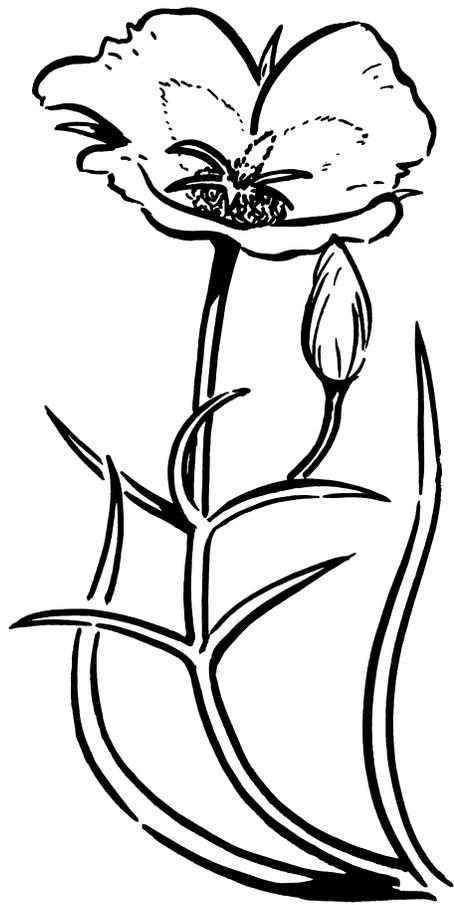
Fiscal Year 2001  
Fiscal Year 2000 Supplementals

---

Governor  
Michael O. Leavitt

Cover: original artwork by Ron Nishijima  
The Segoe Lily was adopted as the Utah state flower on March 28, 1911.  
<http://www.governor.state.ut.us/budget/FY2001>







STATE OF UTAH  
OFFICE OF THE GOVERNOR  
SALT LAKE CITY  
84114-0601

MICHAEL O. LEAVITT  
GOVERNOR

OLENE S. WALKER  
LIEUTENANT GOVERNOR

December 13, 1999

My Fellow Utahns:

My budget recommendations for Fiscal Year 2001 represent a careful balance in addressing critical quality of life issues for Utah. Although Utah's economy remains strong, economic activity has moderated from a period of high growth in recent years to a period of more normal growth. It is my belief that Utah can sustain this period of normal growth well into the 21<sup>st</sup> Century.

This moderation in economic growth creates unique challenges for state government as tax revenue collections moderate as well. Although we project state revenues will increase by a healthy 4.9 percent (\$166.5 million) for Fiscal Year 2001, this represents a smaller percentage increase than Utah has experienced in recent years.

As a result, my Fiscal Year 2001 budget recommendations focus on the areas most critical to Utah's future. I recommend that 80 percent of the new state funds available be appropriated to public and higher education to help maintain a quality education system for our children.

I also recommend extending debt service repayment for transportation bonds. The state has planned to take advantage of our strong economy to pay off these bonds on an accelerated schedule. But I believe an investment in education for our children's future is more important than accelerating payment for roads.

With a needed focus on education this year, I am not recommending new bonding for capital facilities or transportation.

My recommendations for increases in other areas of state government are primarily for programs that provide for safe communities, public health, and human services.

I look forward to working with the Legislature in the next few months in improving and implementing these recommendations.

Sincerely,

Michael O. Leavitt  
Governor

## Utah Tomorrow

# VISION STATEMENT FOR UTAH

We, the people of Utah, stand at the edge of a new frontier. In a world of rapid economic, social, environmental, and technological change, we confront bold challenges and rich opportunities.

Building upon our diverse cultures, our pioneering spirit, and our belief in the inherent worth of every person, we seek to:

**NURTURE** *a tolerant, just, and compassionate society that honors integrity, values strong families, welcomes diversity, and promotes positive moral values.*

**EDUCATE** *our citizens by providing an environment that supports life-long learning and occupational skills and that enables Utahns of all ages to reach their potential as productive and responsible individuals.*

**BUILD** *a statewide economy and infrastructure that supports a broad spectrum of opportunity for all citizens while advancing the standard of living and maintaining a high quality of life.*

**ENHANCE** *our local and global environment through prudent development, conservation, and preservation of our natural resources while protecting public health and preserving our sustainable food and fiber resources.*

**PROMOTE** *personal well-being by encouraging healthy lifestyles and disease prevention, and by supporting access to quality health care at an affordable cost for all Utahns.*

**UNDERSTAND** *our diverse human heritage, nurture and protect Utah's cultural resources, and create opportunities for cultural education and expression.*

**ENCOURAGE** *self-sufficiency while helping those with special needs to lead productive, fulfilling lives.*

**PROTECT** *our society by supporting a justice system that allows Utahns to enjoy a quality lifestyle consistent with the rights and liberties guaranteed under the United States and Utah Constitutions.*

**ASSURE** *open, just, and accountable government.*

**STRENGTHEN** *our free enterprise system while providing a reasonable regulatory environment that protects our citizens.*

**PREPARE** *ourselves, our state, and our children for the challenges of tomorrow, today.*



The Government Finance Officers Association  
of the United States and Canada

*presents this*

**CERTIFICATE OF RECOGNITION FOR BUDGET PREPARATION**

to:

The Governor's Office of Planning and Budget  
State of Utah, Utah

*The Certificate of Recognition for Budget Preparation is presented by the Government Finance Officers Association to those individuals who have been instrumental in their government unit achieving a Distinguished Budget Presentation Award. The Distinguished Budget Presentation Award, which is the highest award in governmental budgeting, is presented to those government units whose budgets are judged to adhere to program standards.*

Executive Director

Date

November 12, 1999

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award of Distinguished Presentation to the Governor's Office of Planning and Budget for its annual budget for the fiscal year beginning July 1, 1999 (state Fiscal Year 2000).

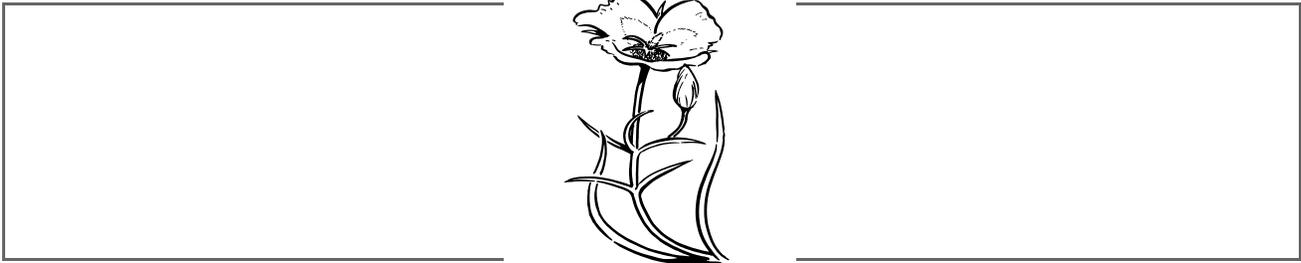
In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, an operations guide, a financial plan, and a communications device.

The award is valid for a period of one year only. We believe this Fiscal Year 2001 budget document continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

**AGENCY GUIDE**

<b>Agency</b>	<b>See Department Section</b>
Administrative Services .....	Administrative Services
Agriculture and Food .....	Natural Resources
Alcoholic Beverage Control .....	Commerce and Revenue
Attorney General .....	Elected Officials
Auditor .....	Elected Officials
Board of Pardons .....	Corrections (Adult and Youth)
Capitol Preservation Board .....	Administrative Services
Career Service Review Board .....	Economic Development and Human Resources
Commerce .....	Commerce and Revenue
Community and Economic Development .....	Economic Development and Human Resources
Corrections - Adult .....	Corrections (Adult and Youth)
Courts .....	Courts
Environmental Quality .....	Environmental Quality
Financial Institutions .....	Commerce and Revenue
Governor/Lt. Governor .....	Elected Officials
Health .....	Health
Higher Education .....	Higher Education
Human Resource Management .....	Economic Development and Human Resources
Human Services .....	Human Services
Insurance .....	Commerce and Revenue
Labor Commission .....	Commerce and Revenue
Legislature .....	Legislature
National Guard .....	National Guard
Natural Resources .....	Natural Resources
Public Education .....	Public Education
Public Safety .....	Public Safety
Public Service Commission .....	Commerce and Revenue
Retirement .....	Economic Development and Human Resources
Tax Commission .....	Commerce and Revenue
Transportation .....	Transportation
Treasurer .....	Elected Officials
Trust Lands Administration .....	Natural Resources
Utah Education Network .....	Higher Education
Utah State Fair Corporation .....	Economic Development and Human Resources
Utah Finance Technology Corporation .....	Economic Development and Human Resources
Workforce Services .....	Commerce and Revenue
Youth Corrections .....	Corrections (Adult and Youth)

*This book is available in alternate formats upon request. Please contact Ron Haymond (801)538-1553*



## TABLE OF CONTENTS

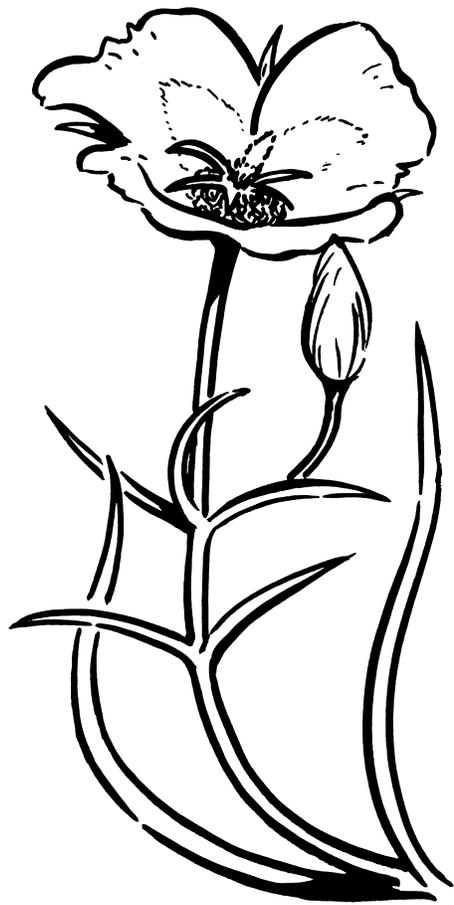
• <b>Governor’s Letter</b> .....	iii
• <b>Vision Statement for Utah</b> .....	iv
• <b>Distinguished Budget Presentation Award</b> .....	v
• <b>Agency Location Guide</b> .....	vi
• <b>Governor’s Budget Overview</b> .....	1
<b>Budget Highlights</b> .....	7
<b>Utah Economic and Revenue Forecasts</b> .....	16
<b>Summary Tables</b>	
Table 1 State Fiscal Plan - General and School Funds .....	23
Table 2 State Fiscal Plan - General Fund .....	24
Table 3 State Fiscal Plan - School Funds .....	25
Table 4 Revenue Collections and Estimates .....	26
Table 5 Mineral Lease Funds .....	27
Table 6 Summary of Recommendations by Department - General and School Funds .....	28
Table 7 Summary of Recommendations by Department - All Sources of Funding .....	30
Table 8 Summary Plan of Financing by Department and Sources of Funding .....	32
Table 9 Summary of FY 2001 Adjustments by Department - All Sources of Funding ...	34
Table 10 Summary of FY 2000 Supplementals by Department - All Sources of Funding	35
<b>Basis of Budgeting</b> .....	37
<b>The Budget Process</b> .....	38
• <b>Capital Budget — Summary and Bonding</b>	
Table Guide .....	42
Overview .....	43

Bonding Limit . . . . .	43
Review Process . . . . .	43
Recommendations . . . . .	44
FY 2000 Proposed Legislative Intent . . . . .	44
FY 2001 Proposed Legislative Intent . . . . .	44
Capital Projects - All Sources of Funding . . . . .	45
Capital Budget - All Sources of Funding . . . . .	46
Debt Service . . . . .	47
• <b>Departments</b> (See Agency Guide on page vi)	
Table Guides . . . . .	51
State of Utah Organization Chart . . . . .	53
Administrative Services . . . . .	54
Commerce and Revenue . . . . .	62
Corrections (Adult and Youth) . . . . .	76
Courts . . . . .	84
Economic Development and Human Resources . . . . .	88
Elected Officials . . . . .	98
Environmental Quality . . . . .	106
Health . . . . .	112
Higher Education . . . . .	118
Human Services . . . . .	124
Legislature . . . . .	128
National Guard . . . . .	132
Natural Resources . . . . .	135
Public Education . . . . .	146
Minimum School Program . . . . .	150
Public Safety . . . . .	154
Transportation . . . . .	159
Centennial Highway Fund . . . . .	164
• <b>Internal Service and Enterprise Funds</b>	
Internal Service and Enterprise Funds . . . . .	171
Internal Service Funds - Total Revenue . . . . .	172
Internal Service Funds - Capital Acquisitions . . . . .	173
Internal Service Funds - Positions . . . . .	174
• <b>One-Time Projects from Nonlapsing Funds</b> . . . . .	176
• <b>Appendices</b>	
Glossary of Terms . . . . .	181
Acronyms . . . . .	186
Directory - Governor’s Office of Planning and Budget . . . . .	189

# State of Utah

## State Summary

- Governor's budget overview
- Budget highlights
- Utah economic and revenue forecasts
- Statewide summary tables
- Basis of budgeting
- Budget process





## GOVERNOR'S BUDGET OVERVIEW

In honoring Governor Michael O. Leavitt as one of the top public officials in America recently, *Governing* magazine proclaimed that the governor "has pushed for policies that will position his own state for the 21<sup>st</sup> Century economy. And he has done those things while presiding over one of the most impressively run state governments anywhere in America." *Governing* magazine further elaborated that "Utah is on a prolonged growth spree that has placed enormous demand on roads, schools, social services, and the agencies that maintain them. Managing that demand may be the single most important part of the governor's job. By all accounts, he has done it well."

Governor Leavitt's FY 2001 budget recommendations continue his tradition of quality government as well as his vision for the 21<sup>st</sup> Century. As Utah continues to enjoy a favorable business climate, quality educa-



tion, and safe communities, the governor's budget recommendations continue to focus on related programs that are essential to Utah's quality of life.

With its favorable business regulation, low business taxes, and well-educated workforce, Utah continues to attract new companies to our state and expand existing companies. Governor Leavitt proposes that Utah reduce its unemployment taxes even further to help businesses. Over the last seven years, various taxes have been cut 29 times for total reductions of \$1.1 billion.

To continue his tradition of fostering quality government,

Governor Leavitt recommends allocating the state's new revenue growth on programs that emphasize quality education, safe communities, and public health.

In addition, the governor recommends shifting to education some of the state funds currently allocated to road construction. The governor feels strongly that future Utah citizens will benefit from current road construction. These future drivers should help bear the cost of this construction. This can be accomplished by paying off transportation debt over a longer period of time than just 10 years.

As Utah continues to experience population growth and a strong economy, all state programs are affected. The governor recommends addressing critical public services in the areas of safe communities, public health, and human services. Expansion of programs in other areas of state government were considered but not recommended.

This approach allows Governor Leavitt's FY 2001 budget to recommend \$152.9 million in new state funding for public and higher education, including a recommended 6.0 percent increase in the Weighted Pupil Unit (WPU) and total new public education state funding of \$112.6 million. The public education increase represents a 7.4 percent increase over the base budget. The governor's recommendations also include \$40.3 million in new state funds for Higher Education and the Utah Education Network. This represents a 7.7 percent increase over the base budget.

Finally, to assist state agencies dealing with only limited increases in state resources, the governor proposes that the legislature appropriate funding to each department in a single line item. Agencies need this flexibility to more effectively manage their programs.

### **FAVORABLE BUSINESS CLIMATE**

Utah's business climate and economic freedom was recently ranked 3<sup>rd</sup> best in the nation by a Clemson University report entitled *Economic Freedom in America's 50 States*. The report examined 125 factors in five categories that affect free market activity. The five categories were regulation, size of government, fiscal freedom, judicial system, and welfare spending.

The Corporation for Enterprise Development recently awarded Utah an A grade in its *1999 Development Report Card for the States*. The report card is based upon more than 70 economic indicators. The report noted Utah's strong employment growth, low poverty rate, above average number of employers providing health coverage, charitable giving, and high home ownership among the factors leading to Utah's high grade.

Governor Leavitt proposes a reduction in unemployment tax rates that will help Utah businesses even more. Low Utah unemployment during the governor's term has led to a surplus in the Unemployment Trust Fund, making a significant tax rate reduction possible.

Governor Leavitt has worked hard during his term to enhance Utah's attractive business climate. His vision of providing Utah citizens and businesses with technologically advanced government services has led many state agencies to provide business and licensing services over the internet. A one-stop service center at the Heber Wells building allows businesses to register with both the Department of Commerce and the Tax Commission at the same time. A job bank managed by the Department of Workforce Services is available on the internet for both employers and persons seeking employment.

The Labor Commission has implemented several safety programs that helped reduce Utah workplace injuries by 9.8 percent since 1996. Workers compensation rates have decreased by 56 percent since 1994, saving Utah employers \$101 million.

Further, the governor continues to support programs that help bring businesses to Utah that provide jobs, and also help existing Utah businesses train workers. This includes recommendations for additional funding for the Industrial Assistance Fund and a significant increase in Custom Fit training dollars.

## **QUALITY EDUCATION**

### **Public Education**

New and dynamic efforts to improve public education in Utah have occurred during Governor Leavitt's term of office. For example, appropriations for class size reduction have increased significantly, the Centennial Schools and 21<sup>st</sup> Century Schools programs have fostered school reform throughout the state, legislative goals to increase the numbers of school counselors and nurses have been pursued vigorously, investments in school technology and applied technology education have increased dramatically, and a new reading and literacy program has been implemented.

For the new century, the governor proposes to build on these efforts. To achieve the goal of every student reading at grade level by the end of the third grade, additional resources will be required. Expanded in-service training for teachers and programs that enable elementary teachers to attain certification as reading specialists are recommended by the governor as part of this critical effort.

The governor proposes that effective programs be developed to assess public education's effectiveness. The question to answer is whether the resources given to the public education system are achieving the results we expect. Parents, educators, and the public should be able to find out how their child, their classes, and their schools compare to past achievements, other schools, and other districts.

As teacher shortages loom, the governor proposes that school districts adopt initiatives to attract and retain the best and brightest teachers. This will require adequate recognition of teachers for their challenging and important role in our society. It will also require a new look at what needs to be done to recruit qualified individuals to fill positions in subject areas and teaching specialties where it is particularly difficult to hire well-trained teachers. The governor recognizes these needs and will support efforts to appropriately deal with them.

## Higher Education

The State Board of Regents is on the verge of completing *Master Plan 2000* following 18 months of intense deliberation. This plan establishes a direction for Higher Education to educate and train Utah citizens effectively and efficiently in the new century. This blueprint is essential, but now regents, institutional leaders, and elected representatives must step forward and implement the plan.

Governor Leavitt's budget recommendations for Higher Education constitute an aggressive initial step to execute the new master plan financially and administratively. In addition to significant new funding, his recommendation empowers the Board of Regents to allocate funding to areas of the greatest need within the system.

Just as the governor's budget has reallocated funds among the functions of state government, Governor Leavitt challenges regents and higher education officials to prioritize new and existing funding sources to address the demands of Utah citizens in an increasingly competitive marketplace.

## TRANSPORTATION

Under Governor Leavitt's leadership, the state has embarked on aggressive road building projects through the

Centennial Highway Fund (CHF). Projects throughout the state are moving forward at an unprecedented rate.

At \$1.6 billion, the largest project is the reconstruction of Interstate 15 (I-15) through Salt Lake City. After several years, this project is slightly ahead of schedule and more importantly, on budget.

The CHF is subject to many variables. The unique environmental impacts of each project are yet to be determined, the cost of the projects shown in the CHF are estimates and subject to final bidding, and the \$521 million of federal funds projected by the legislature is dependent on future appropriations from Congress.

The projects have the state's commitment to be started or completed within the original 10 years of the CHF plan. The plan of financing supporting the CHF should be flexible as other state needs are measured.

Even the best financing plan needs continual review. The governor does not want the state to be so impatient in paying off highway bonding that other programs such as education suffer as a result. The roads we are building will last for several decades and it makes sense to have those driving on roads in later years pay some of the costs.

The governor recommends the General Fund contribution to

the CHF be reduced, and the bond payoff schedule be extended by two years making the CHF a rolling 10-year financing plan.

## SAFE COMMUNITIES

Over the last seven years, Governor Leavitt has initiated and promoted programs to enhance community safety throughout the state and thereby improve the quality of life for all Utahns. The governor has increased the operating budgets for adult corrections, youth corrections, public safety, and the courts by \$219 million during his term in office.

Correctional capacity has increased by 117 percent during this same period. Recent statistics released on crime rates in Utah show a decrease of 11.3 percent between 1997 and 1998. Reasons for this decrease may be attributed, but not limited, to a strong economy, additional correctional capacity, community involvement, intermediate sanctions, implementation of drug courts, implementation of new sentencing guidelines for juveniles, and refined sentencing and release guidelines for adults.

In addition to the lowest crime rate in 10 years, Utah has seen a significant decrease in the number of inmates being sentenced to the Department of Corrections. Annual prison growth has slowed from approximately 450 to 250 new inmates

per year. As a result, construction of the new 500-bed private facility in Grantsville has been delayed.

In order to maintain his emphasis on public safety and accommodate the modified prison growth plan, Governor Leavitt recommends an additional 471 beds and slots in adult and youth corrections for FY 2001. This includes 295 beds for prison population growth, 150 for parolees housed in transition centers, and 26 at the youth corrections facility in St. George.

The governor is also recommending increased appropriations to provide assessment, treatment, and community supervision for the mentally ill who pose a threat to public safety. The governor also recommends operational funding for the remaining unit of the forensic facility at the State Hospital.

In addition, the governor recommends increased appropriations to improve the quality and completeness of criminal history records, enhance computer technology for highway patrol vehicles, and automate justice courts.

In addition, drug courts will be funded to provide effective intervention for adult and juvenile drug offenders. Revenue from the tobacco settlement is the recommended funding source. Details on use of the tobacco settlement monies are located in a separate document

published by the Governor's Office of Planning and Budget. A summary of the governor's settlement plan is outlined in the next section.

Another key component to Governor Leavitt's plan to increase public safety is to improve safety in our schools. In recognition of the different roles of state and local law enforcement, specialized enforcement training for school resource officers will be developed and provided by the Peace Officers Standards and Training arm of the Department of Public Safety.

Curriculum will also be developed to assist law enforcement officers in understanding issues surrounding mental illness. Specialized training is critical to preventing violence and responding appropriately when violence occurs.

## TOBACCO SETTLEMENT

Governor Leavitt recommends a responsible proposal for managing tobacco settlement funds awarded in a nationwide lawsuit brought by the states against tobacco companies. The states successfully argued that they have paid out billions of dollars to treat smoking related illnesses. Utah could potentially receive hundreds of millions of dollars from the settlement, although there are many factors

that could limit the amount of funds ultimately received.

As a result, Governor Leavitt proposes spending funds only after they are received by Utah, and recommends placing the initial \$10.7 million payment, plus \$5.0 million received each year, into a trust fund. Interest earned on this trust fund could provide an ongoing funding stream for substance abuse programs well into the future. In addition, the governor recommends that programs paid for with tobacco settlement funds be sized each year to the level of tobacco funding available and that all appropriated funds be nonlapsing.

Governor Leavitt's goal is that the tobacco funds be used to pay for programs that will reduce by 2010 the rate of tobacco use and substance abuse by 50 percent among youth, adult and youth offenders, and public assistance cash recipients.

The governor recommends these programs focus on prevention, cessation, intervention and treatment for tobacco, alcohol, and other drugs. He also recommends tobacco settlement funds

be considered to fund other programs that benefit our citizen's health, such as the Children's Health Insurance Program (CHIP), University of Utah Health Sciences/Huntsman Cancer Institute, and the Medicaid program.

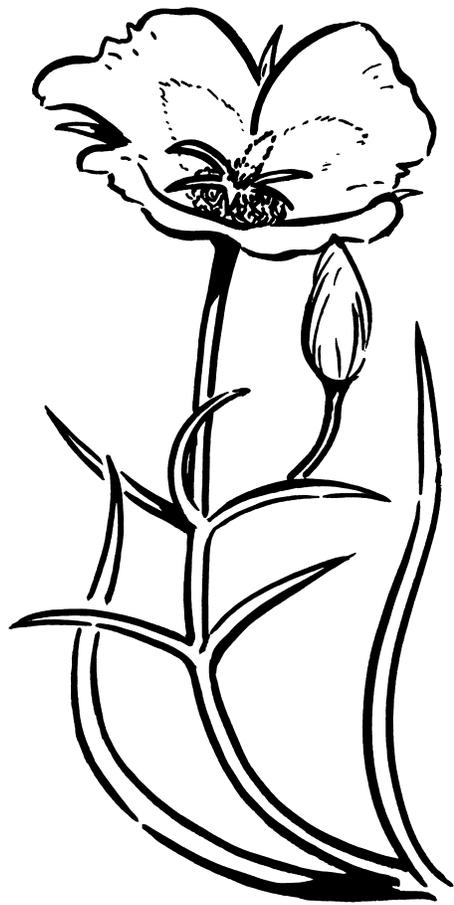
Other guiding principles recommended by Governor Leavitt include 1) maintaining authority over the funds by the appropriate state agency to ensure the funds supplement and not supplant existing funding sources for substance abuse programs; 2) investing funds in programs based upon proven practices or promising innovations, and realistic measurable outcomes that target high-risk populations throughout the state; and 3) addressing unmet needs.

Governor Leavitt recommends several measures to ensure accountability for tobacco funds. This includes the appropriation of all tobacco settlement funds through the Executive Appropriations Committee with input from subcommittees, as well as an evaluation assessment to monitor the success of tobacco prevention and substance abuse programs.

**OTHER PRIORITIES IF MORE FUNDING BECOMES AVAILABLE**

Due to the limitation of available funds, many important funding requests could not be recommended by Governor Leavitt. However, the governor feels strongly that if more available funds are identified before the legislature adopts its final appropriations, the following areas should be considered for additional funding:

- Automated Geographic Reference Center
- Additional correctional officer market pay adjustments
- Higher Education master plan initiatives
- Disabilities waiting list
- Aging and Adult Services waiting list
- Additional Highway Patrol troopers
- Further enhancement of public education
- Urgent capital facilities
- Reduce the amount of General Fund the governor recommends shifting from road construction to public education ■



## BUDGET HIGHLIGHTS

### PUBLIC EDUCATION

Governor Leavitt recommends an increase of \$135.6 million over the FY 2000 base budget for public education. Of this amount, \$112.6 million is from state funds. This brings the total public education budget to over \$2.2 billion. The governor recommends increasing the value of the Weighted Pupil Unit (WPU), improving reading and literacy programs, strengthening accountability in public education, and making schools safer.

#### WPU Increase - \$95.5M

The governor recommends a 6.0 percent increase in the value of the WPU. The WPU is used to distribute funding to local school districts based upon student membership and other formula-driven factors. It supports salary increases, school operations, and other district costs. The governor encourages school districts to use flexibility in allocating these funds to areas of critical need including beginning teacher salaries and reading.

#### Reading and Literacy - \$4.8M

This program increases efforts to ensure that all Utah students are reading at or above grade level at the end of the

third grade. It includes a \$3.5 million federal grant to 12 districts with high numbers of students in need, additional state funds for in-service training of K-3 teachers, and university training to help more elementary teachers become advanced reading specialists. The governor also recommends that the legislature increase support for reading and literacy efforts by encouraging more use of funds from other monies available to school districts.

#### Assessment and Accountability \$3.5M

The governor supports efforts to improve public education by assessing student performance and assisting schools where students are not performing up to reasonable standards. The proposed budget would support development of state curricula, assessment programs, software systems, and a web site to give educators, parents, and the public appropriate access to assessment results.

#### Student Health and Safety - \$2.6M

The legislature has proposed that there be at least one nurse for every 5,000 students. The governor proposes to improve health services for students by increasing financial incentives for employment of school nurses.

The legislature has also proposed that there be at least one counselor for every 400 students in secondary schools. The governor proposes to increase school safety by providing funds to help school districts achieve this counselor goal.

Governor Leavitt also recommends \$270,000 for the Department of Public Safety to provide law enforcement training for school resource officers (see Criminal Justice section).

### TRANSPORTATION

#### Centennial Highway Fund - \$98.8M

Governor Leavitt continues to support a rolling 10-year transportation financing plan, although he recommends the General Fund contribution to the Centennial Highway Fund (CHF) be reduced by \$28 million from last year's level. This still provides \$94 million of General Fund plus \$4.8 million from diverted sales tax for CHF road projects in FY 2001. The governor recommends continuing the practice of increasing the General Fund transfer to CHF each year starting again in FY 2002.

Reducing the General Fund contribution will increase by two

years the time needed to pay off the debt associated with the 10-year financing plan. Originally this debt was scheduled to be repaid by FY 2007. Since the roadways reconstructed or built with CHF money will be in operation decades beyond FY 2007, the governor believes it is fair that others using these roads beyond FY 2007 should bear some of the costs.

The renovation of Interstate 15 (I-15) is on schedule and on budget. Many major intersections have been rebuilt and are now open for use. This includes the 600 North interchange, the 400 South on-ramp to I-15, and the 600 South off-ramp from I-15. New sections of the freeway have opened and motorists are traveling on new concrete throughout the corridor. In some sections, three lanes in each direction are open to the traveling public.

Other projects built, currently under construction, or scheduled to start construction in FY 2001 includes such projects as 1) segments of State Route 6 (SR 6) between I-15 and Moark Junction; 2) University Avenue to Center Street in Provo; 3) segments of SR 6 between Soldier Summit and Helper; 4) Cherry Hill interchange in Davis County; 5) Interstate 84 and US 89 interchange near Weber Canyon; 6) SR 36 in Tooele; 7) Rt. 56, I-15 to Iron Springs Road in Iron County; 8) University Parkway inter-

change in Orem; and 9) Bluff and Sunset intersection in St. George.

The governor supports the Intelligent Transportation Systems (ITS) program being implemented by the Department of Transportation. The major component of this ITS program is the new Traffic Operations Center (TOC) that is now operational.

The governor recommends \$1.8 million from the Transportation Fund to bolster operations at the TOC and to provide resources needed to develop other ITS programs. The TOC will monitor traffic from one central location and will have the ability to manage traffic flow through various technologies, including signalization, ramp metering, variable message signs, and communication alerts.

## CRIMINAL JUSTICE

Fiscal Year 2001 marks the seventh consecutive year Governor Leavitt has included significant increases in criminal justice resources as a top priority in his annual budget recommendations to the Utah State Legislature. The governor's \$41.2 million crime package includes increased resources in the areas of prevention, intervention, treatment, enforcement, and correctional capacity. Because of reduced growth in

prison populations, the Department of Corrections will realize a combined \$11.5 million in operational cost savings in FY 2000 and FY 2001.

### **New Correctional Capacity - \$12.3M**

The FY 2001 budget will increase adult and juvenile capacity by 471 beds and slots. This includes 295 beds for prison population growth, 150 for parolees housed in transition centers, and 26 at the youth correctional facility in St. George.

Adult offender secure capacity will increase by 445 beds and slots—295 for prison population growth and 150 privatized parole transition beds. The construction cost for the privatized parole transition beds is \$2.8 million with 90 percent of the funding provided by a federal grant for prison construction.

House Bill 118, *Sentencing of Convicted Felons*, enacted by the 1999 Legislature, requires the state to compute the core cost of housing an inmate in a county jail. That cost is \$43.03 per day and allows counties to recoup their expenses from housing state inmates.

Juvenile offender capacity will increase by 26 detention beds from expanding the youth facility in St. George. The construction cost for the expansion is \$1.3 million, with 90 percent

paid for by a federal prison construction grant. Operational funding is provided for four new facilities in Ogden, Logan, Vernal, and Richfield.

**Corrections Officers' Salary Adjustments - \$2.0M**

Governor Leavitt recommends \$2.0 million to reduce salary inequities between state corrections officers and local law enforcement. On average, local law enforcement officers along the Wasatch Front make 22 percent more than state corrections officers.

**Automate Justice Courts - \$250,000**

The governor recommends \$250,000 to automate Justice Court information systems. Automation will increase the accuracy and completeness of misdemeanor records and assist in the timely transfer of criminal history records to the Bureau of Criminal Identification.

**Computer Technology in Highway Patrol Vehicles - \$280,700**

The governor recommends funds for mobile data terminals for highway patrol troopers in Utah and Juab counties, making troopers more efficient.

**Law Enforcement Training - \$294,000**

Governor Leavitt recommends \$24,000 to train law en-

forcement officers on procedures to be used when dealing with the mentally ill, and \$270,000 to provide law enforcement training for school resource officers.

**Criminal History Improvements and Background Checks - \$490,000**

Governor Leavitt recommends funds for criminal history background checks and for improvements to criminal history records maintenance.

**HIGHER EDUCATION**

Governor Leavitt's recommendation for Higher Education focuses on compensation, student access, instructional quality, and accountability. The governor continues the precedent established last year of granting new ongoing funds to the State Board of Regents to distribute as it deems appropriate.

**Ongoing Budget Needs - \$43.1M**

Governor Leavitt's FY 2001 recommendation increases ongoing budgets by \$43.1 million. This amount may be used by the State Board of Regents to fund a 4.5 percent compensation increase, faculty salary equity, enrollment growth of 2,183 full-time equivalent students, a quality and performance funding initiative, new

facility operations and maintenance, or other high priority items. This amount includes \$7.7 million in dedicated credits from tuition increases.

**New Century Scholarships - \$40,000**

Governor Leavitt recommends increasing General Fund for New Century Scholarships by \$40,000. This scholarship program, initiated by Governor Leavitt and passed by the 1999 legislature, provides a 75 percent tuition scholarship to students who complete requirements for an associate degree by September of the year they graduate from high school.

**Digital TV Conversion - \$2.6M**

Included in the governor's recommendations is \$2.6 million in school funds to complete the second phase of KUED and KULC's conversion to digital delivery status. An additional \$1.5 million will be required in FY 2002 to complete the project.

**Increased Internet Bandwidth \$162,900**

Governor Leavitt continues to emphasize the use of technology in education by recommending \$162,900 for EDNET and UtahLINK to offset the costs of increased internet bandwidth consumption by public and higher education institutions throughout the state.

## HUMAN SERVICES

### Services for the Mentally Ill - \$4.8M

The governor recommends \$2.5 million for additional staff and operating costs associated with the final unit of the new 100-bed forensic facility at the State Hospital. The governor's recommendations also include \$882,000 for community supervision, assessments, and treatment for mentally ill individuals who will come into the public mental health system as a result of proposed changes to the requirements for civil commitment. It is anticipated that local mental health centers will be able to draw down an additional \$1.4 million in federal matching funds for this purpose.

### Services for Individuals with Disabilities - \$2.2M

The governor recommends an increase of \$1.2 million to serve individuals who are on the waiting list and \$980,000 for services to disabled individuals who require emergency and court-ordered placements.

### Services for Abused and Neglected Children - \$2.5M

Fiscal Year 2001 marks the seventh year of Governor Leavitt's strong commitment to

ensure children are protected from abuse and neglect. As part of this commitment, the governor recommends \$511,500 for increased foster care, group care, shelter care, and treatment and \$532,900 for increased adoption assistance. The governor also recommends \$750,000 in FY 2001 and \$500,000 in FY 2000 to replace reductions in the federal Social Services Block Grant to ensure that services to these children will continue.

The governor recommends an additional \$250,000 in General Fund for recruitment and training of foster families in order to reach the goal of 3,000 foster families in Utah. The private/nonprofit Utah Foster Care Foundation, operational since September 1, 1999, will have trained, in cooperation with Child and Family Services, 700 families by December 31, 1999.

### Services for the Elderly - \$1.2M

The governor recommends an additional \$350,000 for the home- and community-based waiver program for elderly individuals who are at risk of entering a nursing home. It is anticipated that area agencies on aging will be able to draw down an additional \$876,800 in federal matching funds for this purpose.

## HEALTH

### Children's Health Insurance Program - \$22.2M

Governor Leavitt recommends an increase of \$382,500 General Fund for the Children's Health Insurance Program (CHIP). CHIP started enrolling children on August 3, 1998. Since then, an average of 200 children per week have enrolled in the program bringing the total enrollment as of November 1999 to 13,000 children. Funding for year three is for 21,000 children for a total cost of \$22.2 million. Nearly half the enrollment is from rural Utah and over 60 percent is from families whose incomes are below 150 percent of the federal poverty level or \$25,100 for a family of four. The average cost per child per month is \$90.

CHIP is a separate program from Medicaid and helps children in families with incomes below 201 percent of the federal poverty level, or \$33,400 for a family of four. Eligibility in the program lasts for one year and is recertified monthly. Beginning September 1999, an average of 200 children per month have not reenrolled in the program. Many of these children now have insurance through their parents' new employee benefits or no longer meet the under 19 years of age eligibility requirement.

## Medicaid - \$18.7M

The governor recommends \$8.5 million new General Fund and \$8.5 million tobacco settlement funds for inflation, the federal match rate change, caseload growth and utilization, and to replace one-time funding for the Medicaid program. Increasing inflation and higher utilization of services in Medicaid's pharmacy program challenge the state's ability to provide services for the nearly 130,000 individuals in the program. The governor also recommends \$1.7 million from the Medicaid Restricted Account for one extra payment week in FY 2001.

## Health Policy Commission

Governor Leavitt recommends December 31, 2000 as the sunset date for the Health Policy Commission and the deadline for the delivery of its final report. This is six months earlier than the date set in statute. The final report will outline the work of the commission and its recommendations for future efforts.

## Tobacco Settlement Plan

Governor Leavitt has developed a formal Tobacco Settlement Plan to reduce tobacco use and substance abuse 50 percent by 2010 among youth, adult and youth offenders, and public assistance cash recipients. His plan spends tobacco settlement

<b>Figure 1</b>	
<b>Governor Leavitt's Tobacco Settlement Plan</b>	
<b>FY 2001</b>	
<b>(In millions)</b>	
Trust Fund (plus interest)	\$16.3
Medicaid	8.5
Drug and Tobacco Courts	5.0
Tobacco Prevention, Cessation, and Media	3.6
Public Assistance Cash Recipients	4.1
Pilot Drug Boards	1.7
Youth Corrections Drug Treatment	1.6
School Drug Prevention Programs	0.8
Children's Health Insurance Program	5.5
U of U Health Sciences/Huntsman Cancer Institute	10.0
DUI Enforcement and Pilot DUI Court	1.8
Families with Children in Child Welfare System	1.5
Independent Program Evaluation	0.5

funds that Utah will receive in FY 2000. Utah is scheduled to receive \$36.6 million in tobacco settlement funds in FY 2000.

The governor recommends the first installment of \$10.7 million plus an additional \$5.0 million annually be placed in a trust fund. Interest earned on this trust fund can then be used to provide an ongoing funding stream for substance abuse programs meeting certain criteria established in the plan.

In addition, the governor recommends appropriating additional tobacco funds available for use in FY 2001 among the potential programs shown in Figure 1.

## WORKFORCE SERVICES

Governor Leavitt's FY 2001 budget recommendations focus on initiatives that continue helping people move from welfare to self-sufficiency. Programs that provide financial assistance and job training are essential in helping people transition from receiving assistance to becoming successful in the workforce.

### General Assistance Program - \$3.2M

Utah's General Assistance program, which provides assistance to Utah's childless population who do not qualify for

Temporary Assistance for Needy Families (TANF), is in need of additional funding to keep the program viable. Therefore, the governor recommends a supplemental General Fund appropriation of \$1.6 million and an ongoing General Fund appropriation of \$1.6 million to help Utah's General Assistance population.

**Employment Services Training \$829,000**

Utah's need for employment services has been growing as the federal match rate for employment services has been declining. As a result, Governor Leavitt recommends an ongoing appropriation of \$829,000 in General Fund to help keep up with Utah's growing demand for employment services.

**Expanded Training and Employment Programs - \$5.0M**

Employers in Utah are willing to hire people who are on public assistance, but many times are unable to provide these individuals with the additional training and supervision they need. This \$5.0 million in TANF funds that the governor recommends will help these individuals acquire the training and supervision they need to be successful in their new jobs.

**Food Stamp Match Change - \$2.5M**

A recent change in federal law increased the required state

matching funds amount for Food Stamp administration. To address this, the governor recommends a \$1.5 million supplemental General Fund appropriation and a \$940,000 ongoing General Fund appropriation.

**COMMERCE**

**New Integrated Computer System and One-stop Service Center - \$330,000**

The governor recommends \$330,000 be reallocated from Finance-Mandated Year 2000 Programming to Commerce so the department can integrate its various computer systems into a single system and create a one-stop service center that will allow Commerce's customers to transact all of their business without making several stops at different places.

**COMPENSATION PACKAGE**

Governor Leavitt recognizes that competent, well-trained employees are key to successful and efficient administration of state programs. He recommends a state employee total compensation package increase of 4.5 percent. This includes a recommended 4.0 percent salary increase for state employees. He further recommends that state employees enrolled in PEHP Preferred health plan begin par-

ticipating in the cost for health insurance premiums.

The governor recommends a total compensation package increase of 4.5 percent for judges and employees on the Executive Pay Plan. He also recommends a 4.5 percent increase for elected and appointed officials.

The governor has included the recommendation of the Legislative Compensation Commission of a 20 percent pay increase for legislators from \$100 to \$120 a day. He has also included recommended increases of \$1,500 for the President of the Senate and the Speaker of the House, from \$1,000 to \$2,500 annually; and \$1,000 for each majority and minority leader, from \$500 to \$1,500 annually. Based upon legislation passed in 1999, these increases are automatic unless the legislature votes to decrease the amounts.

**INFORMATION TECHNOLOGY (IT)**

**800 Megahertz - \$2.8M**

The governor's FY 2001 budget provides \$2.8 million for 800 megahertz equipment and operating costs. Combined with prior years' funding, state agencies will have over 2,200 radio units operating on the Utah Communications Agency Network (UCAN). UCAN provides 800 megahertz service to

public safety and government entities along the Wasatch Front.

### **IT Innovation Program**

The governor supports a new Information Technology Innovation Program to be administered by the state's Chief Information Officer. The program will provide funds for critical IT projects that will allow state agencies to realize the governor's vision of a digital state.

## **2002 OLYMPIC WINTER GAMES**

Projections indicate the Sports Authority Special Revenue Fund will collect \$64 million from sales tax between January 1990 and December 1999. These collections are earmarked for construction of winter sports facilities, including the Winter Sports Park. The legislature capped the amount of diverted sales tax that can be spent on these facilities at \$59 million, resulting in a \$5.0 million difference for the state. The local government's share of the excess sales tax collections have already been returned to cities and counties.

Governor Leavitt recommends using the surplus judiciously to address costs for statewide purposes related to the Olympics. To date, the legislature has appropriated \$900,000 of the surplus for Olympic-related economic development,

and has or will allocate another \$1.1 million for Olympic Officer activities. Including the governor's FY 2001 recommendations, the remaining surplus is \$1.0 million.

### **Business Development - \$500,000**

The governor recommends a \$500,000 one-time appropriation from the Sports Authority Fund for business and economic development. These funds will be used to help Utah companies benefit from the Games by facilitating contacts between Utah businesses and foreign countries, companies, and investors.

### **Travel Development - \$500,000**

The governor recommends a \$500,000 one-time appropriation from the Sports Authority Fund to help promote tourism in Utah in conjunction with the Games. These funds will be used to market the entire state using the brand "Utah!" in cooperative advertising efforts with Olympic sponsors. The "Utah!" brand will appear with the Olympic logo in Olympic sponsors' advertising. This year's emphasis will be to focus on foreign markets to better establish name recognition of the "Utah!" brand.

### **Public Safety - \$1.0M**

The Olympic Public Safety Command was created by the Utah legislature in 1998 to coordinate public safety activities

among state, local, and federal government agencies for the 2002 Olympic Winter Games. In his FY 2001 budget, Governor Leavitt recommends appropriating \$1.0 million from the Sports Authority Fund to begin reserving the required housing for public safety officials during the Games.

## **COMMUNITY AND ECONOMIC DEVELOPMENT**

### **Industrial Assistance Fund (IAF) - \$1.8M**

The governor recommends \$1.8 million to replenish earned credits from the Industrial Assistance Fund revolving loan program. These loans stimulate the creation of high paying jobs throughout Utah and emphasize rural development. The loans also encourage companies to supply their operations from local companies, thereby increasing economic growth within the state.

### **Custom Fit - Targeted Recruitment - \$1.5M**

The governor recommends \$1.5 million to be used in targeting businesses to relocate in Utah. The relocation incentive through Custom Fit is to provide businesses with employees who have job-specific qualifications. Only those companies that can provide higher than average

wages and increase jobs within Utah will be selected for recruitment.

**APPROPRIATIONS LIMIT**

Section 63-38c-201 through 205, UCA, limits how much the state can spend from the General, Uniform School, and Transportation Funds. The limit allows state spending to increase only as population, personal income, and inflation increase. The budget recommendations for both FY 2000 and FY 2001 are within the limit.

**LEGISLATION**

The budget includes funding for legislation the governor recommends or supports in the upcoming legislative session.

The governor recommends legislative action to encourage school districts to focus trust land, Title One, highly impacted schools, and career ladder funds toward improvement of reading and literacy skills.

The governor’s legislative package also includes funding for proposed statutory changes to deny purchase of weapons by

individuals convicted of certain violent misdemeanors and to change the standard for civil commitment of the mentally ill. This change would eliminate the current standard of immediate danger to self and others. Recent history of violent, threatening, or endangering behavior would be admissible in civil commitment proceedings under the new standard.

The governor recommends additional funding to provide community supervision, assessments, and treatment for those mentally ill individuals brought into the public mental health system as a result of this proposed change to the law. Funding for this effort was covered in more detail previously in the Human Services section.

Legislation is required to transfer the Medicaid Fraud Unit from the Department of Public Safety to the Attorney General.

Legislation will likely be required for automation of disposition reporting of justice courts.

**FY 2000 AND FY 2001 REVENUE**

The following table shows the additional General Fund and

school funds used in the governor’s budget.

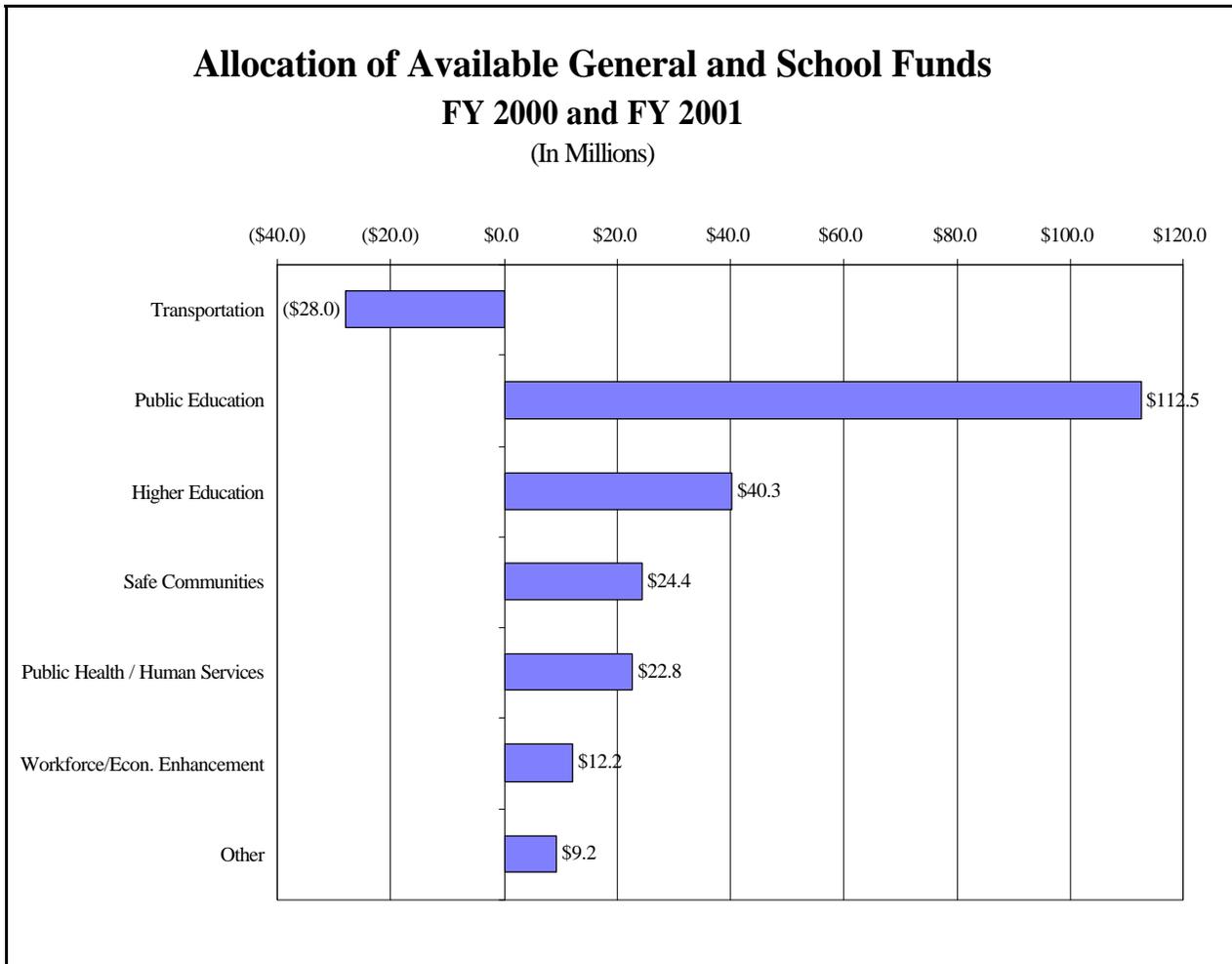
<b>Available Revenue (In Millions)</b>	
FY 1999 Surplus	\$7.3
FY 1999 Reserves (IAF)	1.8
FY 2000 Re-estimate	2.9
FY 2001 Revenue Growth	166.5
Base Budget Adj./Other	14.9
<b>Total Available</b>	<b>\$193.4</b>

**DISTRIBUTION OF NEW REVENUE**

Governor Leavitt has challenged department heads and program directors to streamline their activities, modify their missions, and invent better ways of serving the public. Many of these efforts have been successful. However, the demand and need for additional services outstrip the economies and efficiencies that government agencies are constantly creating.

Fortunately, tax revenues are growing sufficiently to cover much of the need for additional government services. Figure 2 shows how the governor recommends dividing the \$193.4 million available in the General Fund and school funds in FY 2000 and FY 2001. ■

**Figure 2**



## UTAH ECONOMIC AND REVENUE FORECASTS

### ECONOMIC FORECASTS

The Governor’s Council of Economic Advisors provides input and reviews the basic assumptions that lead to the governor’s revenue forecasts. Members represent the Governor’s Office of Planning and Budget (GOPB), the Legislative Fiscal Analyst, First Security Bank, Utah Foundation, Federal Reserve Bank, University of Utah, Utah

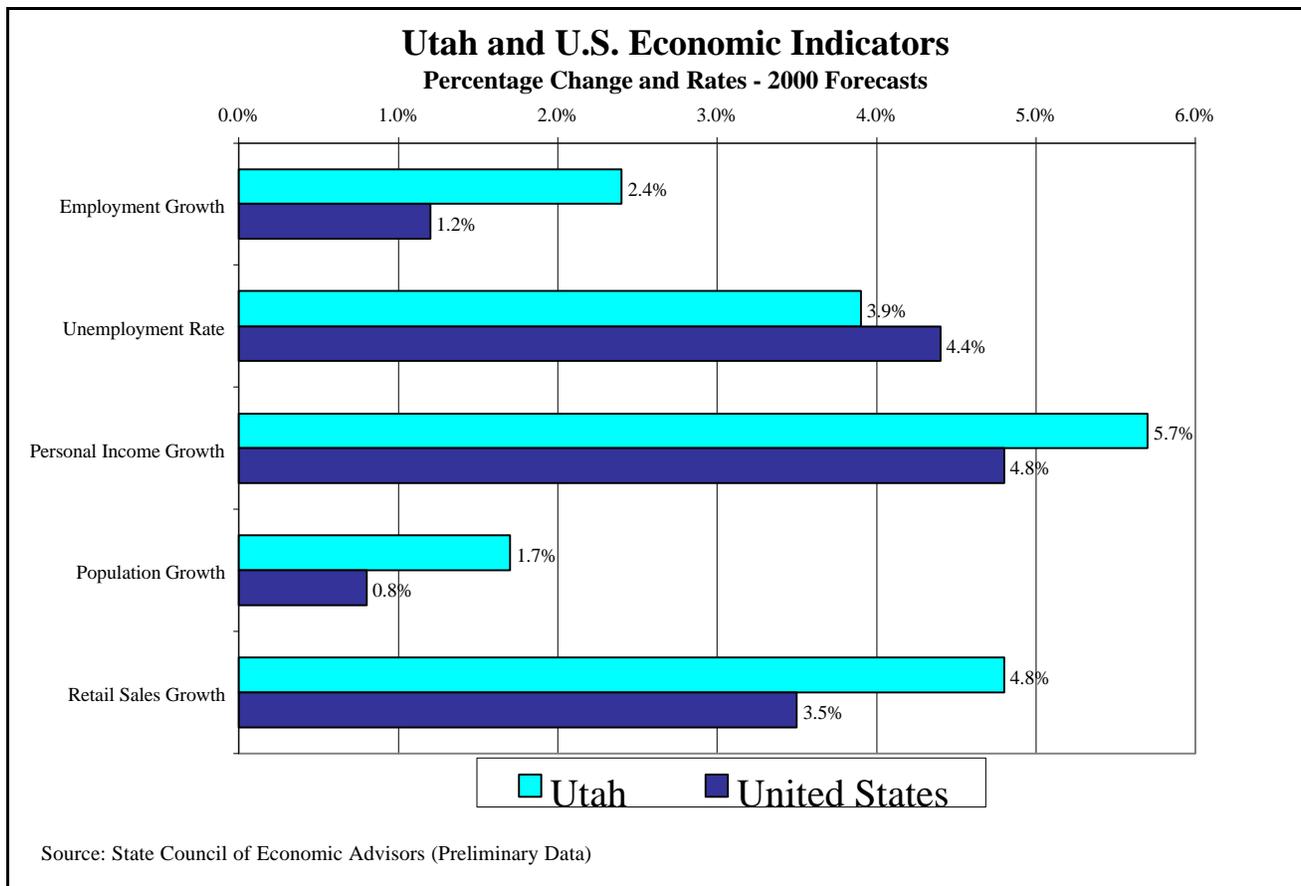
State University, Brigham Young University, and various state government agencies. Detailed information concerning Utah’s economy and its outlook may be found in the *2000 Economic Report to the Governor* (available January 2000).

Utah’s economy continues to grow at a faster pace than most other states. Figure 3 shows projected growth in economic indicators for Utah and the United States. It also

shows estimated unemployment rates.

**Employment** — Utah employment is projected to grow 2.6 percent in 1999, 2.4 percent in 2000, and 2.6 percent in 2001. The corresponding national employment growth rate should be about half that of Utah in 2000. The state’s 2000 unemployment rate is projected at 3.9 percent, compared to 4.4 percent nationally.

Figure 3



**Personal Income** — Utahns will continue to enjoy personal income growth that exceeds the national average. State personal income grew 6.3 percent in 1998 and is projected to increase 5.3 percent in 1999 and 5.7 percent in 2000. Nationally, personal income will grow 5.7 percent in 1999 and 4.8 percent in 2000.

**Population** — In 1996, the centennial of Utah's statehood, the state's population reached the two million mark. State population is forecasted to grow by 1.7 percent in FY 2000, while the U.S. population will grow by less than 1.0 percent. Utah is expected to experience net in-migration of about 2,300 people in FY 2000 and about 5,200 in FY 2001.

**Retail Sales** — The retail sales growth rate is expected to decrease slightly from 5.8 percent in 1999 to 4.8 percent in 2000. Nationally, retail sales will grow 8.0 percent in 1999 and 3.5 percent in 2000.

Utah's economy will continue to do well in 2000 for many of the same reasons it has done well in the past. Utah has an attractive business climate with a pro-business regulatory environment, low business taxes, numerous recreational opportunities, a youthful and educated labor force, quality universities, healthy lifestyles, and a strong work ethic. These factors should continue to

favorably influence business location and expansion decisions.

In a recent *Places Rated Almanac* article, Salt Lake City was ranked as the number one city to live in all of North America, from Canada to Mexico. In addition, *Money* magazine ranked Provo fourth among 300 cities nationwide in a listing of U.S. cities with the best future job growth potential.

In October 1999, the Salt Lake City/Ogden area was identified as the second-best metropolitan area in the nation for small businesses by Dun and Bradstreet and *Entrepreneur* magazine. The list was developed by ranking the percentage of businesses less than five years old, employee growth among small businesses, overall job growth, and bankruptcy rates.

The Utah economy is expected to slow in 2000 due to lower net in-migration, lower residential and nonresidential construction, improvements in other state economies, no growth in exports, and less affordable housing.

Nonresidential construction values will decline in 2000 because many large projects for the 2002 Olympics such as hotels and meeting rooms have already been issued permits. Residential construction will

also slow in 2000 as a result of lower net in-migration.

Because of the economic slowdown in East Asia, Utah's exports will not show significant growth during 2000. From 1995 through 1999, Utah's exports remained constant around \$3.6 billion, and should remain in that range through 2000.

## REVENUE FORECASTS

Revenue estimates are developed by forecasting important economic indicators. Forecasts of changes in interest rates, personal income growth, the unemployment rate, residential and nonresidential construction permits, and other economic indicators provide underlying assumptions used in estimating available revenue. Participants in this process include GOPB, the Legislative Fiscal Analyst, State Tax Commission, Workforce Services, and members of the banking community and academia.

The economic indicators and data on actual tax collections are used in econometric models by the State Tax Commission and GOPB to estimate future tax collections and investment income. Also considered are federal tax changes, state tax changes, and any large unique collections. Table 4 shows actual revenue collec-

tions for FY 1999, and forecasted collections for FY 2000 and FY 2001. State tax collections are deposited into three major funds: General Fund, Uniform School Fund, and the Transportation Fund.

The state also receives and budgets money from the federal government, state assessed property tax, fees, fines, and other miscellaneous sources.

These revenue sources are estimated based upon federal grant commitments, past history, state law, and forecasted trends. Figure 4 shows the funding sources for the total

state budget. (Table 7 shows the appropriations of these resources.)

**GENERAL FUND/SCHOOL FUNDS**

Figure 5 shows the contribution of the various taxes to the General and Uniform School Funds. (Table 6 shows the appropriation of these collections.)

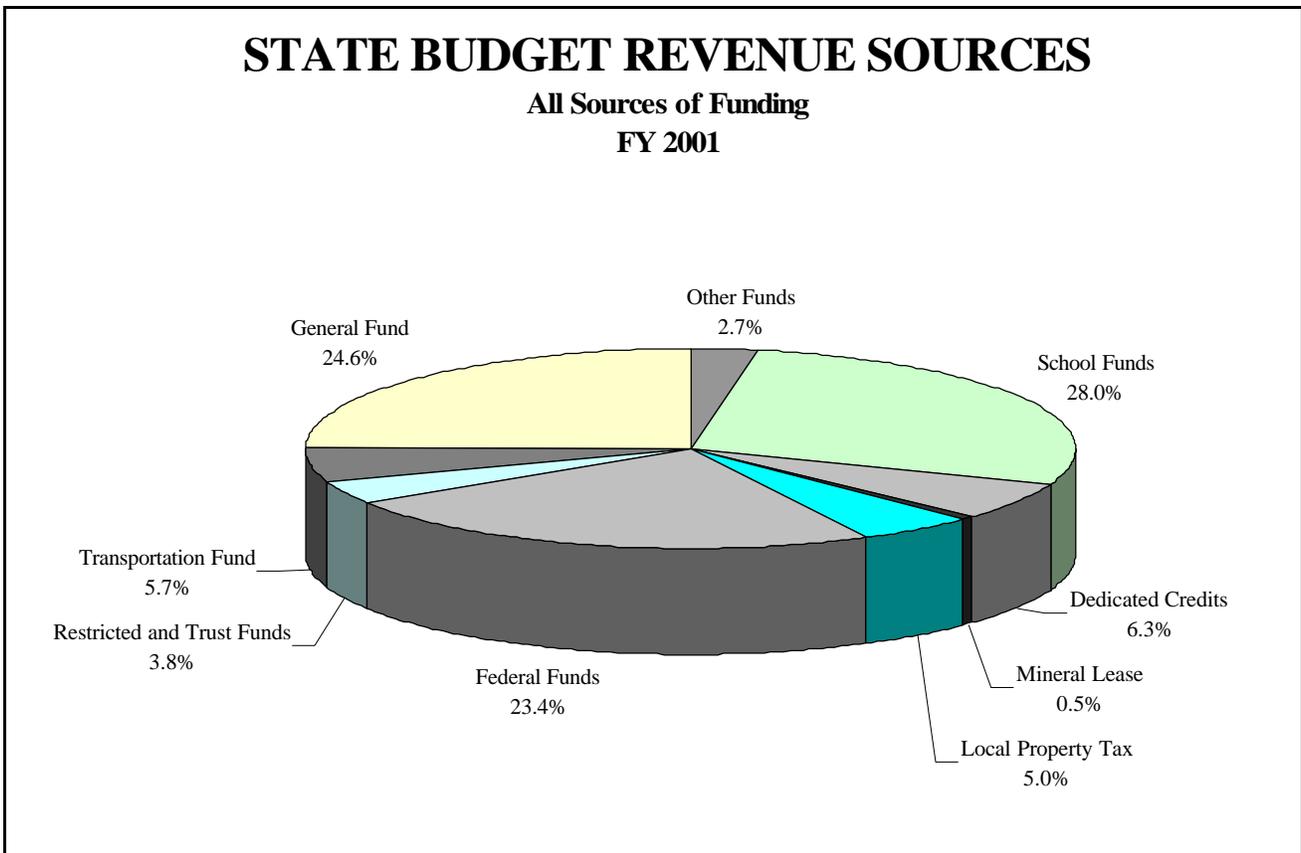
The General Fund is the primary funding source for most state government operations and includes all revenue not accounted for in other

funds. All revenue not specifically restricted by statute or the constitution is deposited into the General Fund. Its major revenue sources are sales, insurance premium, beer, cigarette, and tobacco taxes.

The Uniform School Fund was established in the state constitution and is restricted to the support of education. The major revenue sources of the Uniform School Fund are individual income and corporate franchise taxes.

In the 1996 general election, voters approved a constitutional amendment that explicitly

**Figure 4**



allows the use of income tax revenue for higher education. The amendment allows the use of income tax revenue for higher education purposes with the remaining amount being deposited in the Uniform School Fund.

References are made in this document to school funds. School funds include Uniform School Fund and income tax revenue for higher education.

Receipts in FY 1999 from inheritance and income taxes were greater than projected. The difference between actual

collections and budget forecasts resulted in a modest \$281,400 surplus in the General Fund and \$7.0 million in school funds.

General Fund revenue is forecasted to increase 4.2 percent from FY 2000 to FY 2001, with sales tax receipts increasing 4.7 percent. School funds revenue should grow 5.5 percent, with individual income tax receipts increasing 6.7 percent.

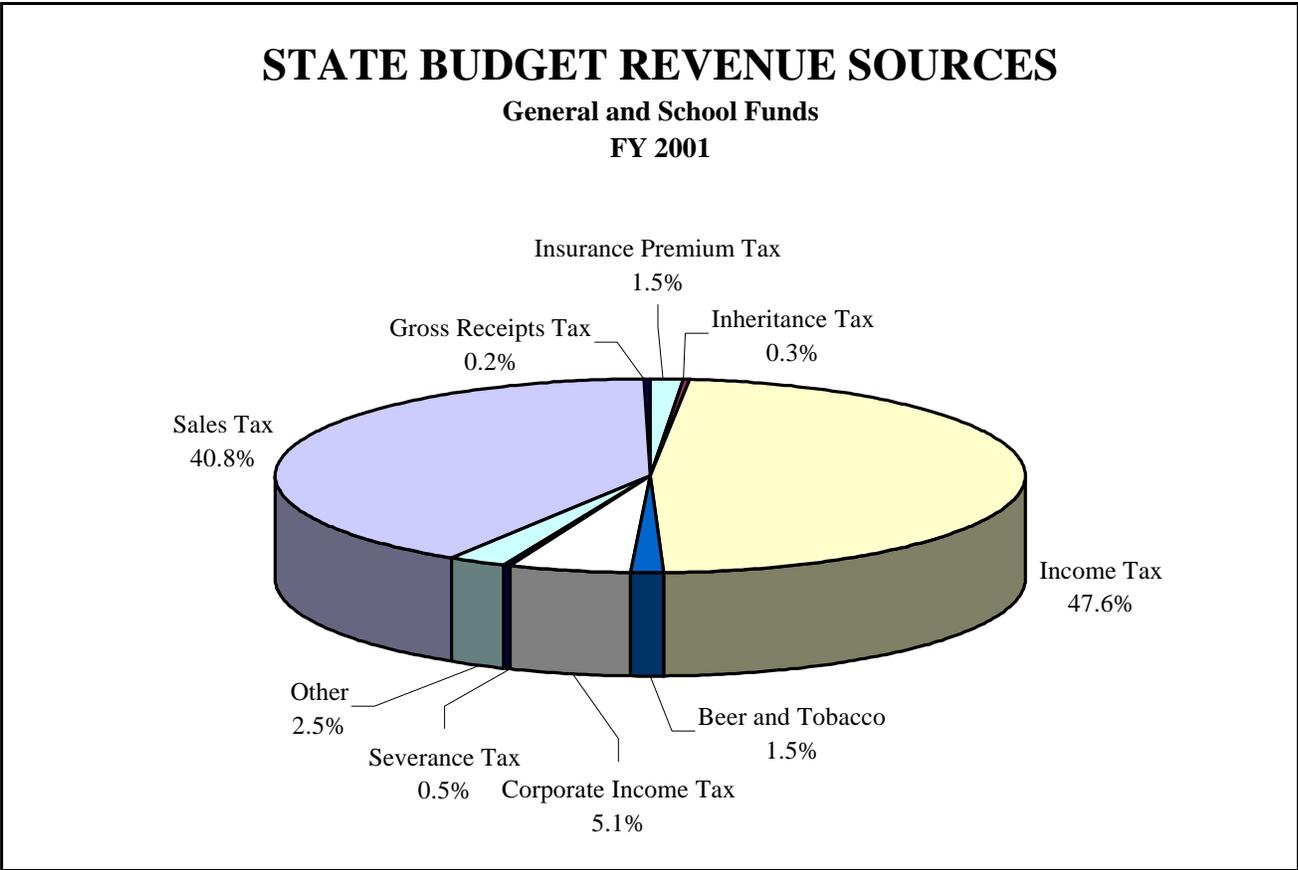
Most states do not have a separate dedicated fund for major expenditures like education. To compare to other

states and show how the majority of Utah taxpayer money is spent, the General and school funds are frequently combined in this document.

**TRANSPORTATION FUND**

The Transportation Fund was established in the state constitution to fund construction, improvement, repair, and maintenance of state roads. Its principal revenue source is the motor fuel tax. Fiscal Year 2001 collections are estimated to increase 2.8 percent over the

**Figure 5**



FY 2000 estimates. Table 4 shows actual and forecasted Transportation Fund collections.

## FEDERAL FUNDS

Federal funds account for 23.4 percent of the total budget. Federal dollars help finance programs that benefit the state and meet a perceived national need.

To qualify for most federal aid, states must comply with federal regulations and match the federal contribution with state funds. The match rate varies by program. Federal funds appropriated by the legislature are estimates.

State agencies may spend what they actually receive in federal revenue but may not exceed the state amount appropriated to match the federal funds. The Medicaid program within the Health Department receives about 43 percent of the state's federal revenue.

## PROPERTY TAX

Property tax shown in the state budget represents the contribution of local school districts to the state's Minimum

School Program. The basic school levy is set annually by the legislature and represents the maximum amount of property tax revenue that can be raised. Using the rate set by the legislature, Governor Leavitt's budget recommendations allow for additional property tax revenue from the basic levy of \$6.4 million for FY 2001 based on new growth in assessed valuation.

Also, the Board and Voted Leeway is increasing \$13.7 million due to growth in assessed property valuation as estimated by the Common Data Committee. This committee includes representatives from the Governor's Office of Planning and Budget, State Tax Commission, State Office of Education, and Legislative Fiscal Analyst.

## DEDICATED CREDITS

Dedicated credits include proceeds from user fees, fines, and sales of publications and licenses. State park entrance fees and higher education tuition are examples of dedicated credits. By law, an agency may spend what it collects in dedicated credits up to 125 percent of its dedicated credit appropriation. Recommended dedicated credits reflect the best estimate of revenue from each source.

## RESTRICTED AND TRUST FUNDS

Restricted and trust funds are established in statute and are earmarked for specific purposes. Agencies may not spend more than the amount appropriated from restricted funds. Unless otherwise stated, unused restricted fund money lapses back to each respective fund at the end of the fiscal year. Lapsing funds may not be spent unless reappropriated by the legislature. Hunting and fishing license fees and hazardous waste fees are examples of proceeds that go into restricted funds.

## MINERAL LEASE FUNDS

The federal government returns to the state part of the money it collects from leases of mineral rights on federally owned property within Utah's boundaries. Federal law requires that mineral lease revenue be spent on activities that relieve the impact of mineral development on the community. Mineral lease revenue is allocated among various state agencies using a statutory formula. Table 5 shows past and forecasted mineral lease revenue and its uses.

## RAINY DAY FUND

The budgeted funds are appropriated to the expected level of revenues and beginning fund balances. By using a prudent revenue estimate, a year-end surplus is assured.

For additional budget stabilization, the state maintains a Rainy Day Fund. It can only be used to cover operating deficits or retroactive tax refunds. In addition to interest earnings, 25 percent of any General Fund surplus at fiscal year end is transferred to the fund. The balance at the end of FY 1999 was \$94.7 million. The ceiling of the fund is 8.0 percent of the particular year's General Fund appropriation total which was \$121.6 million at FY 1999 year end.

## DEMOGRAPHICS

Utah's demographic characteristics are unique among the states and significantly impact state budgetary decisions. With

over 2.1 million people in 1999, Utah's population continues to be among the fastest growing, youngest, and most urban in the nation. The state's population is also becoming more racially and ethnically diverse.

The state's 1999 population growth rate of 1.9 percent is a full percentage point higher than the national average. Utah's high fertility rate and low death rate are the primary reasons for Utah's rapid population growth. In past years, the healthy economy has attracted more people into the state than have moved away. Recently, however, the level of in-migration has been much lower. Despite this, Utah's rate of population increase is expected to continue to exceed the nation's in the future.

Utah's population is the youngest in the nation with a median age of 26.7 in 1998, compared to 35.2 nationally. Compared to other states, Utah has the highest percentage of the population under age 18 (33.4 percent in Utah compared to 25.8 percent in the nation). Because of the state's young

population, every 100 persons of working age (ages 18-64) must support 10 more persons of nonworking age (from birth to age 17 and age 65 and over) than the national average. In the future, Utah's age composition, as well as the nation's, will continue to become older as baby boomers age.

In comparison to other states, Utah ranks as the sixth most urban state. The U.S. Bureau of the Census classifies 87 percent of Utah's population as urban compared to 75 percent of the nation's. Much of Utah's future population growth is expected to be in and around urban settings.

The state's population since 1990 has become more racially and ethnically diverse. In 1990 an estimated 91.2 percent of the state was White non-Hispanic compared to 88.9 percent in 1998. The fastest growing race/ethnicity groups are Hispanic and Asian/Pacific Islander. In 1998, an estimated 6.8 percent of the state was of Hispanic origin, 2.5 percent Asian/Pacific Islander, 1.4 percent American Indian, and 0.9 percent Black. ■

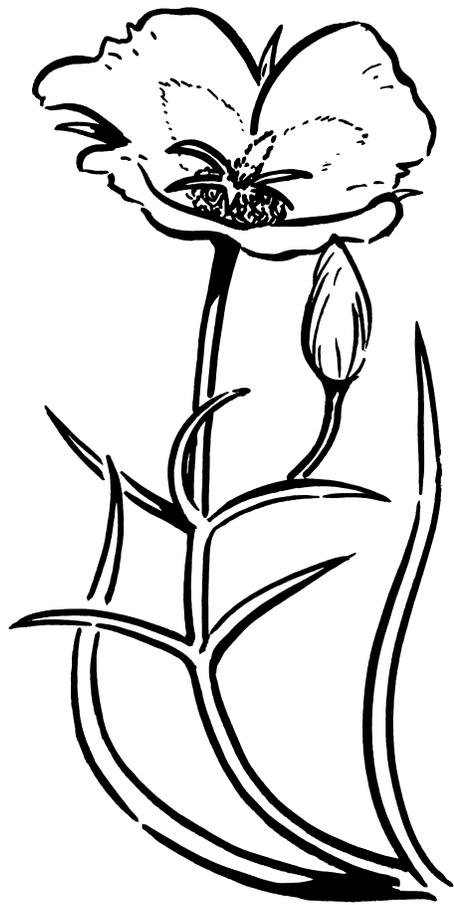


Table 1

**STATE FISCAL PLAN**  
**General Fund and School Funds**  
(In Thousands of Dollars)

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations					
			Recom- mended FY 2000	Dollar Difference R00-A00	Recom- mended FY 2001	Dollar Difference R01-A00	Percent Change A99-R00	Percent Change R00-R01
			(a)	(b)		(c)	(d)	(e)
<b>Sources of Funding</b>								
Beginning Balance	\$43,907	\$0	\$7,286	\$7,286	\$0	\$0	(83.4%)	(100.0%)
(f) General Fund Estimates	\$1,520,366	\$1,574,034	\$1,573,200	(\$834)	\$1,640,000	\$65,966	3.5%	4.2%
(f) School Funds Estimates	1,670,506	1,760,944	1,764,713	3,769	1,861,475	100,531	5.6	5.5
Subtotal GF/SF Estimates	\$3,190,872	\$3,334,978	\$3,337,913	\$2,935	\$3,501,475	\$166,497	4.6%	4.9%
Transfers	\$3,337	\$0	\$0	\$0	\$0	\$0	(100.0%)	--
Mineral Lease	1,509	930	1,346	416	1,350	420	(10.8)	0.3
Other	(2,426)	0	0	0	0	0	(100.0)	--
Workers' Compensation Rebate	585	491	491	0	0	(491)	(16.1)	(100.0)
Lapsing Balances	704	0	2,060	2,060	0	0	192.6	(100.0)
Additional Insurance Fee Collections	0	1,990	1,990	0	200	(1,790)	--	(89.9)
Tobacco Settlement Attorney Fees	0	0	550	550	0	0	--	(100.0)
Additional Judicial Collections	1,200	0	0	0	0	0	(100.0)	--
Transfer to Rainy Day Fund	(680)	0	0	0	0	0	(100.0)	--
IAF Reserve from Prior Fiscal Year	5,216	0	1,760	1,760	0	0	(66.3)	(100.0)
IAF Reserve for Following Fiscal Year	(1,760)	0	0	0	0	0	(100.0)	--
Reserve from Prior Fiscal Year	41,921	28,865	28,865	0	13,396	(15,469)	(31.1)	(53.6)
Reserve for Following Fiscal Year	(28,865)	0	(13,396)	(13,396)	0	0	(53.6)	(100.0)
<b>Total Sources of Funding</b>	<b>\$3,255,520</b>	<b>\$3,367,254</b>	<b>\$3,368,865</b>	<b>\$1,611</b>	<b>\$3,516,421</b>	<b>\$149,167</b>	<b>3.5%</b>	<b>4.4%</b>
(g) <b>Appropriations (Tables 6 and 8)</b>								
Operations Budget	\$2,946,592	\$3,060,580	\$3,060,580	\$0	\$3,260,416	\$199,836	3.9%	6.5%
Capital Budget	213,264	214,991	214,991	0	162,629	(52,362)	0.8	(24.4)
Debt Service	88,378	91,359	91,359	0	93,376	2,017	3.4	2.2
Subtotal Appropriations	\$3,248,234	\$3,366,930	\$3,366,930	\$0	\$3,516,421	\$149,491	3.7%	4.4%
<b>Other</b>								
Supplementals	\$0	\$0	\$1,935	\$1,935	\$0	\$0	--	(100.0%)
<b>Total Appropriations</b>	<b>\$3,248,234</b>	<b>\$3,366,930</b>	<b>\$3,368,865</b>	<b>\$1,935</b>	<b>\$3,516,421</b>	<b>\$149,491</b>	<b>3.7%</b>	<b>4.4%</b>
<b>Ending Balance</b>	<b>\$7,286</b>	<b>\$324</b>	<b>\$0</b>	<b>(\$324)</b>	<b>\$0</b>	<b>(\$324)</b>	<b>--%</b>	<b>--%</b>

(a) Recommended FY 2000 is based upon updated revenue projections and includes recommended supplemental appropriations.

(b) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2000. These dollars represent new one-time revenues and uses.

(c) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2001. These dollars represent new ongoing revenues and uses.

(d) Change is from Actual FY 1999 to Recommended FY 2000.

(e) Change is from Recommended FY 2000 to Recommended FY 2001.

(f) See Table 4.

(g) Percentage increases may differ on this table as compared to Table 6 because all supplementals have been shown as a separate category here and built into their individual budget categories in Table 6.

Table 1 shows all the sources of funding used to balance the General Fund and school funds portions of the budget. It is the total of Table 2, General Fund, and Table 3, School Funds.

Table 2

**STATE FISCAL PLAN**  
**General Fund**  
(In Thousands of Dollars)

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations					
			Recom- mended FY 2000	Dollar Difference R00-A00	Recom- mended FY 2001	Dollar Difference R01-A00	Percent Change A99-R00	Percent Change R00-R01
			(a)	(b)		(c)	(d)	(e)
<b>Sources of Funding</b>								
Beginning Balance	\$9,408	\$0	\$281	\$281	\$0	\$0	(97.0%)	(100.0%)
(f) General Fund Estimates	\$1,520,366	\$1,574,034	\$1,573,200	(\$834)	\$1,640,000	\$65,966	3.5%	4.2%
Transfers	1,537	0	0	0	0	0	(100.0)	--
Mineral Lease	1,509	930	1,346	416	1,350	420	(10.8)	0.3
Other	(2,426)	0	0	0	0	0	(100.0)	--
Workers' Compensation Rebate	531	446	446	0	0	(446)	(16.0)	(100.0)
Lapsing Balances	704	0	2,060	2,060	0	0	192.6	(100.0)
Additional Insurance Fee Collections	0	1,990	1,990	0	200	(1,790)	--	(89.9)
Tobacco Settlement Attorney Fees	0	0	550	550	0	0	--	(100.0)
Additional Judicial Collections	1,200	0	0	0	0	0	(100.0)	--
Transfer to Rainy Day Fund	(680)	0	0	0	0	0	(100.0)	--
IAF Reserve from Prior Fiscal Year	5,216	0	1,760	1,760	0	0	(66.3)	(100.0)
IAF Reserve for Following Fiscal Year	(1,760)	0	0	0	0	0	(100.0)	--
Reserve from Prior Fiscal Year	14,010	12,854	12,854	0	2,731	(10,123)	(8.3)	(78.8)
Reserve for Following Fiscal Year	(12,854)	0	(2,731)	(2,731)	0	0	(78.8)	(100.0)
<b>Total Sources of Funding</b>	<b>\$1,536,761</b>	<b>\$1,590,254</b>	<b>\$1,591,756</b>	<b>\$1,502</b>	<b>\$1,644,281</b>	<b>\$54,027</b>	<b>3.6%</b>	<b>3.3%</b>
(g) <b>Appropriations (Tables 6 and 8)</b>								
Operations Budget	\$1,293,123	1,345,213	1,345,213	\$0	1,478,081	\$132,868	4.0%	9.9%
Capital Budget	163,406	173,817	173,817	0	113,321	(60,496)	6.4	(34.8)
Debt Service	79,951	71,207	71,207	0	52,879	(18,328)	(10.9)	(25.7)
Subtotal Appropriations	\$1,536,480	\$1,590,237	\$1,590,237	\$0	\$1,644,281	\$54,044	3.5%	3.4%
<b>Other</b>								
(h) Supplementals	\$0	\$0	\$1,519	\$1,519	\$0	\$0	--	(100.0%)
<b>Total Appropriations</b>	<b>\$1,536,480</b>	<b>\$1,590,237</b>	<b>\$1,591,756</b>	<b>\$1,519</b>	<b>\$1,644,281</b>	<b>\$54,044</b>	<b>3.6%</b>	<b>3.3%</b>
<b>Ending Balance</b>	<b>\$281</b>	<b>\$17</b>	<b>\$0</b>	<b>(\$17)</b>	<b>\$0</b>	<b>(\$17)</b>	<b>--%</b>	<b>--%</b>

(a) Recommended FY 2000 is based upon updated revenue projections and includes recommended supplemental appropriations.

(b) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2000. These dollars represent new one-time revenues and uses.

(c) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2001. These dollars represent new ongoing revenues and uses.

(d) Change is from Actual FY 1999 to Recommended FY 2000.

(e) Change is from Recommended FY 2000 to Recommended FY 2001.

(f) See Table 4.

(g) Percentage increases may differ on this table as compared to Table 6 because all supplementals have been shown as a separate category here and built into their individual budget categories in Table 6.

(h) See Table 10.

Table 2 shows all the sources of funding used to balance the General Fund portion of the budget.

Table 3

**STATE FISCAL PLAN**  
**School Funds\***  
(In Thousands of Dollars)

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations						
			Recom- mended FY 2000	Dollar Difference R00-A00	Recom- mended FY 2001	Dollar Difference R01-A00	Percent Change A99-R00	Percent Change R00-R01	
			(a)	(b)		(c)	(d)	(e)	
<b>Sources of Funding</b>									
Beginning Balance	\$34,499	\$0	\$7,005	\$7,005	\$0	\$0	(79.7%)	(100.0%)	
(f) School Funds Estimates	\$1,670,506	\$1,760,944	\$1,764,713	\$3,769	\$1,861,475	\$100,531	5.6%	5.5%	
Transfers	1,800	0	0	0	0	0	(100.0)	--	
Workers' Compensation Rebate	54	45	45	0	0	(45)	(16.7)	(100.0)	
Reserve from Prior Fiscal Year	27,911	16,011	16,011	0	10,665	(5,346)	(42.6)	(33.4)	
Reserve for Following Fiscal Year	(16,011)	0	(10,665)	(10,665)	0	0	(33.4)	(100.0)	
<b>Total Sources of Funding</b>	<b>\$1,718,759</b>	<b>\$1,777,000</b>	<b>\$1,777,109</b>	<b>\$109</b>	<b>\$1,872,140</b>	<b>\$95,140</b>	<b>3.4%</b>	<b>5.3%</b>	
(g) <b>Appropriations (Tables 6 and 8)</b>									
Operations Budget	\$1,653,469	\$1,715,367	\$1,715,367	\$0	\$1,782,335	\$66,968	3.7%	3.9%	
Capital Budget	49,858	41,174	41,174	0	49,308	8,134	(17.4)	19.8	
Debt Service	8,427	20,152	20,152	0	40,497	20,345	139.1	101.0	
Subtotal Appropriations	\$1,711,754	\$1,776,693	\$1,776,693	\$0	\$1,872,140	\$95,447	3.8%	5.4%	
<b>Other</b>									
(h) Supplementals	\$0	\$0	\$416	\$416	\$0	\$0	--	(100.0%)	
<b>Total Appropriations</b>	<b>\$1,711,754</b>	<b>\$1,776,693</b>	<b>\$1,777,109</b>	<b>\$416</b>	<b>\$1,872,140</b>	<b>\$95,447</b>	<b>3.8%</b>	<b>5.3%</b>	
<b>Ending Balance</b>	<b>\$7,005</b>	<b>\$307</b>	<b>\$0</b>	<b>(\$307)</b>	<b>\$0</b>	<b>(\$307)</b>	<b>--%</b>	<b>--%</b>	

\* Includes Uniform School Fund and Income Tax Revenue for Higher Education

(a) Recommended FY 2000 is based upon updated revenue projections and includes recommended supplemental appropriations.

(b) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2000. These dollars represent new one-time revenues and uses.

(c) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2001. These dollars represent new ongoing revenues and uses.

(d) Change is from Actual FY 1999 to Recommended FY 2000.

(e) Change is from Recommended FY 2000 to Recommended FY 2001.

(f) See Table 4.

(g) Percentage increases may differ on this table as compared to Table 6 because all supplementals have been shown as a separate category here and built into their individual budget categories in Table 6.

(h) See Table 10.

Table 3 shows all the sources of funding used to balance the school funds portion of the budget.

Table 4

**REVENUE COLLECTIONS AND ESTIMATES**  
**Three-Year Comparison**  
**(In Thousands of Dollars)**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations				
			Recom- mended FY 2000 (a)	Dollar Difference R00-A00 (b)	Recom- mended FY 2001	Dollar Difference R01-A00 (c)	Percent Change R00-R01 (d)
<b>General Fund (GF)</b>							
Sales and Use Tax	\$1,316,404	\$1,359,871	\$1,366,000	\$6,129	\$1,430,000	\$70,129	4.7%
Liquor Profits	26,896	29,000	27,600	(1,400)	28,300	(700)	2.5
Insurance Premiums	47,722	49,000	50,100	1,100	52,700	3,700	5.2
Beer, Cigarette, and Tobacco	60,037	61,000	55,000	(6,000)	54,000	(7,000)	(1.8)
Oil and Gas Severance Tax	7,943	11,000	10,000	(1,000)	11,000	0	10.0
Metal Severance Tax	5,112	5,500	5,300	(200)	6,200	700	17.0
Inheritance Tax	8,238	11,000	9,500	(1,500)	9,000	(2,000)	(5.3)
Investment Income	15,032	16,000	13,000	(3,000)	14,000	(2,000)	7.7
Other	38,273	35,663	41,200 (e)	5,537	39,000	3,337	(5.3)
Property and Energy Credit	(5,291)	(4,000)	(4,500)	(500)	(4,200)	(200)	(6.7)
Subtotal General Fund	\$1,520,366	\$1,574,034	\$1,573,200	(\$834)	\$1,640,000	\$65,966	4.2%
<b>School Funds (SF)</b>							
<b>(Includes Income Tax Revenue for Higher Education)</b>							
Individual Income Tax	\$1,463,897	\$1,560,374	\$1,560,000	(\$374)	\$1,665,000	\$104,626	6.7%
Corporate Franchise Tax	184,295	184,070	187,000	2,930	178,000	(6,070)	(4.8)
Permanent School Fund Interest	6,812	4,800	4,013	(787)	4,775	(25)	19.0
Gross Receipts Tax	7,926	7,200	7,700	500	7,700	500	0.0
Other	7,576	4,500	6,000	1,500	6,000	1,500	0.0
Subtotal School Funds	\$1,670,506	\$1,760,944	\$1,764,713	\$3,769	\$1,861,475	\$100,531	5.5%
Subtotal GF/SF	\$3,190,872	\$3,334,978	\$3,337,913	\$2,935	\$3,501,475	\$166,497	4.9%
<b>Transportation Fund</b>							
Motor Fuel Tax	\$224,691	\$224,500	\$238,000	\$13,500	\$245,000	\$20,500	2.9%
Special Fuel Tax	73,699	77,500	76,000	(1,500)	78,000	500	2.6
Other	58,470	56,350	60,000	3,650	61,500	5,150	2.5
Subtotal Transportation Fund	\$356,860	\$358,350	\$374,000	\$15,650	\$384,500	\$26,150	2.8%
<b>Mineral Lease (Table 5)</b>							
(f) Royalties	\$27,502	\$28,500	\$26,500	(\$2,000)	\$26,000	(\$2,500)	(1.9%)
Bonus	4,132	2,500	4,500	2,000	4,500	2,000	0.0
Subtotal Mineral Lease	\$31,634	\$31,000	\$31,000	\$0	\$30,500	(\$500)	(1.6%)
<b>TOTAL</b>	<b>\$3,579,366</b>	<b>\$3,724,328</b>	<b>\$3,742,913</b>	<b>\$18,585</b>	<b>\$3,916,475</b>	<b>\$192,147</b>	<b>4.6%</b>

(a) Recommended FY 2000 is based upon updated revenue projections.

(b) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2000. These dollars represent new one-time revenues.

(c) Difference is from Authorized FY 2000 adopted in the 1999 legislative session to Recommended FY 2001. These dollars represent new ongoing revenues.

(d) Change is from Recommended FY 2000 to Recommended FY 2001.

(e) Includes rebate of \$3,017,000 from Public Employees Health Plan Long-Term Disability.

(f) For Actual FY 1999, Royalties includes royalty revenue of \$27,246,000, and a net beginning balance of \$256,000.

Table 4 shows actual revenue collections for FY 1999 and estimated revenue collections for FY 2000 and FY 2001.

These include state revenues only; federal funds, licenses, fees, etc., are not included.

Table 5

**MINERAL LEASE FUNDS**  
**Three-Year Comparison**  
(In Thousands of Dollars)

	Actual FY 1999	Appropriated FY 2000	Governor Leavitt's Recommendations	
			Recommended FY 2000 (a)	Recommended FY 2001
<b>MINERAL LEASE ACCOUNT</b>				
<b>Sources of Funding</b>				
Beginning Balance	(\$1,881)	\$0	\$0	\$0
Transfer from ML Bonus Account	3,377	750	4	0
Mineral Lease Royalties	27,246	28,500	26,500	26,000
<b>TOTAL FUNDING</b>	<b>\$28,742</b>	<b>\$29,250</b>	<b>\$26,504</b>	<b>\$26,000</b>
<b>Statutory Allocations</b>				
Community Impact Fund	\$11,019	\$10,470	\$9,633	\$10,259
DCED - county special service districts	681	1,425	1,325	1,300
Higher Education Institutions	2,803	1,439	1,424	0
Board of Education	608	641	592	581
Utah Geological Survey	608	641	592	581
USU Water Research Lab	613	641	596	585
Transportation - county special service districts	8,174	9,975	9,275	10,400
Payment in Lieu of Taxes	2,117	2,210	2,200	2,200
Constitutional Defense Restricted Account	0	278	258	85
Rural Development Fund	10	0	9	9
Subtotal Statutory Allocation	\$26,633	\$27,720	\$25,904	\$26,000
<b>Discretionary Allocations</b>				
Rural Telemedicine Initiatives	\$100	\$100	\$100	\$0
Primary Care Grants	500	500	500	0
Transfer to General Fund	1,509	930	0	0
Subtotal Discretionary Allocation	\$2,109	\$1,530	\$600	\$0
<b>TOTAL ROYALTIES ALLOCATION</b>	<b>\$28,742</b>	<b>\$29,250</b>	<b>\$26,504</b>	<b>\$26,000</b>
Ending Balance	\$0	\$0	\$0	\$0
<b>MINERAL LEASE BONUS ACCOUNT</b>				
<b>Sources of Funding</b>				
Beginning Balance	\$2,137	\$0	\$0	\$0
Transfer to Mineral Lease Account	(3,377)	(750)	(4)	0
Mineral Lease Bonus	4,132	2,500	4,500	4,500
<b>TOTAL FUNDING</b>	<b>\$2,892</b>	<b>\$1,750</b>	<b>\$4,496</b>	<b>\$4,500</b>
<b>Allocations</b>				
Community Impact Fund	\$2,892	\$1,750	\$3,150	\$3,150
Transfer to General Fund	0	0	1,346	1,350
<b>TOTAL BONUS ALLOCATION</b>	<b>\$2,892</b>	<b>\$1,750</b>	<b>\$4,496</b>	<b>\$4,500</b>
Ending Balance	\$0	\$0	\$0	\$0
<b>TOTAL ALLOCATION</b>	<b>\$31,634</b>	<b>\$31,000</b>	<b>\$31,000</b>	<b>\$30,500</b>

(a) Governor Leavitt's recommendations for FY 2000 are based upon updated revenue projections.

Table 5 shows the actual and appropriated allocation of Mineral Lease revenue.  
This revenue comes from mineral leases on federal lands in Utah. Statutory allocations are set by formula.  
Discretionary allocations are made from revenues in excess of those allocated by formula.

Table 6

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT**  
**Appropriations from General Fund and School Funds**  
**(In Thousands of Dollars)**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations					Percent Change B01-R01 (d)	Percent Change R00-R01 (e)
			Recom- mended FY 2000 (a)	Base FY 2001 (b)	Adjust- ments (c)	Recom- mended FY 2001			
<b>Plan of Financing</b>									
General Fund	\$1,536,480	\$1,590,237	\$1,591,756	\$1,572,650	\$71,631	\$1,644,281	4.6%	3.3%	
School Funds	1,711,754	1,776,693	1,777,109	1,752,308	119,832	1,872,140	6.8	5.3	
<b>Total Financing</b>	<b>\$3,248,234</b>	<b>\$3,366,930</b>	<b>\$3,368,865</b>	<b>\$3,324,958</b>	<b>\$191,463</b>	<b>\$3,516,421</b>	<b>5.8%</b>	<b>4.4%</b>	
<b>Programs</b>									
Administrative Services	\$30,142	\$23,852	\$23,444	\$23,412	\$477	\$23,889	2.0%	1.9%	
Commerce and Revenue	103,163	106,409	108,116	105,668	5,445	111,113	5.2	2.8	
Corrections (Adult and Youth)	210,792	229,668	226,572	229,120	21,359	250,479	9.3	10.6 (f)	
Courts	82,736	85,953	86,760	86,404	2,716	89,120	3.1	2.7	
Econ. Development/Human Res.	36,831	33,706	36,086	34,212	2,727	36,939	8.0	2.4	
Elected Officials	26,712	28,802	28,954	27,904	1,736	29,640	6.2	2.4	
Environmental Quality	9,084	9,489	10,105	9,422	1,169	10,591	12.4	4.8	
Health	174,081	183,774	183,630	183,033	10,753	193,786	5.9	5.5	
Higher Education	507,768	528,133	528,130	524,466	40,262	564,728	7.7	6.9 (g)	
Human Services	180,571	193,809	193,931	195,104	10,263	205,367	5.3	5.9	
Legislature	12,427	12,533	12,533	12,413	867	13,280	7.0	6.0	
National Guard	3,299	3,478	3,475	3,492	61	3,553	1.7	2.2	
Natural Resources	40,363	41,693	41,838	41,520	1,178	42,698	2.8	2.1	
Public Education	1,487,787	1,535,445	1,535,356	1,526,653	112,614	1,639,267	7.4	6.8 (g)	
Public Safety	39,743	42,138	42,057	42,196	2,624	44,820	6.2	6.6	
Transportation	1,093	1,698	1,698	1,146	0	1,146	0.0	(32.5) (h)	
<b>Total Operations Budget</b>	<b>\$2,946,592</b>	<b>\$3,060,580</b>	<b>\$3,062,685</b>	<b>\$3,046,165</b>	<b>\$214,251</b>	<b>\$3,260,416</b>	<b>7.0%</b>	<b>6.5%</b>	
Capital Budget	\$213,264	\$214,991	\$214,981	\$187,434	(\$24,805)	\$162,629	(13.2)	(24.4) (g)	
Debt Service	88,378	91,359	91,199	91,359	2,017	93,376	2.2	2.4	
<b>Total Budget</b>	<b>\$3,248,234</b>	<b>\$3,366,930</b>	<b>\$3,368,865</b>	<b>\$3,324,958</b>	<b>\$191,463</b>	<b>\$3,516,421</b>	<b>5.8%</b>	<b>4.4%</b>	

See footnotes on next page

Table 6 shows the budgeted use of major state tax revenue (sales and income taxes) by state agency. It is a summary of the department tables found in a following section.

**Table 6**

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT  
Appropriations from General Fund and School Funds**

**Footnotes**

- (a) Recommended FY 2000 is based on updated revenue projections and includes recommended supplemental appropriations.
- (b) Base FY 2001 is the FY 2000 recommended amount adjusted for one-time FY 2000 appropriations, program transfers between departments, internal service fund rate changes, base cuts, and personal service adjustments.
- (c) Recommended adjustments are shown in more detail in the individual department sections of the book.
- (d) The percent change is the difference from the Base Budget FY 2001 (which is primarily the FY 2000 Recommended less one-time appropriations in FY 2000) to Recommended FY 2001.
- (e) The percent change is the difference from Recommended FY 2000 to Recommended FY 2001. Both years include one-time appropriations.
- (f) FY 2001 includes costs for seven new adult and youth correctional facilities, plus increased jail program funding.
- (g) Governor Leavitt recommends shifting funds from capital projects and road construction to Public and Higher Education.
- (h) Transportation received one-time funds for Aeronautics and Weber County in FY 2000.

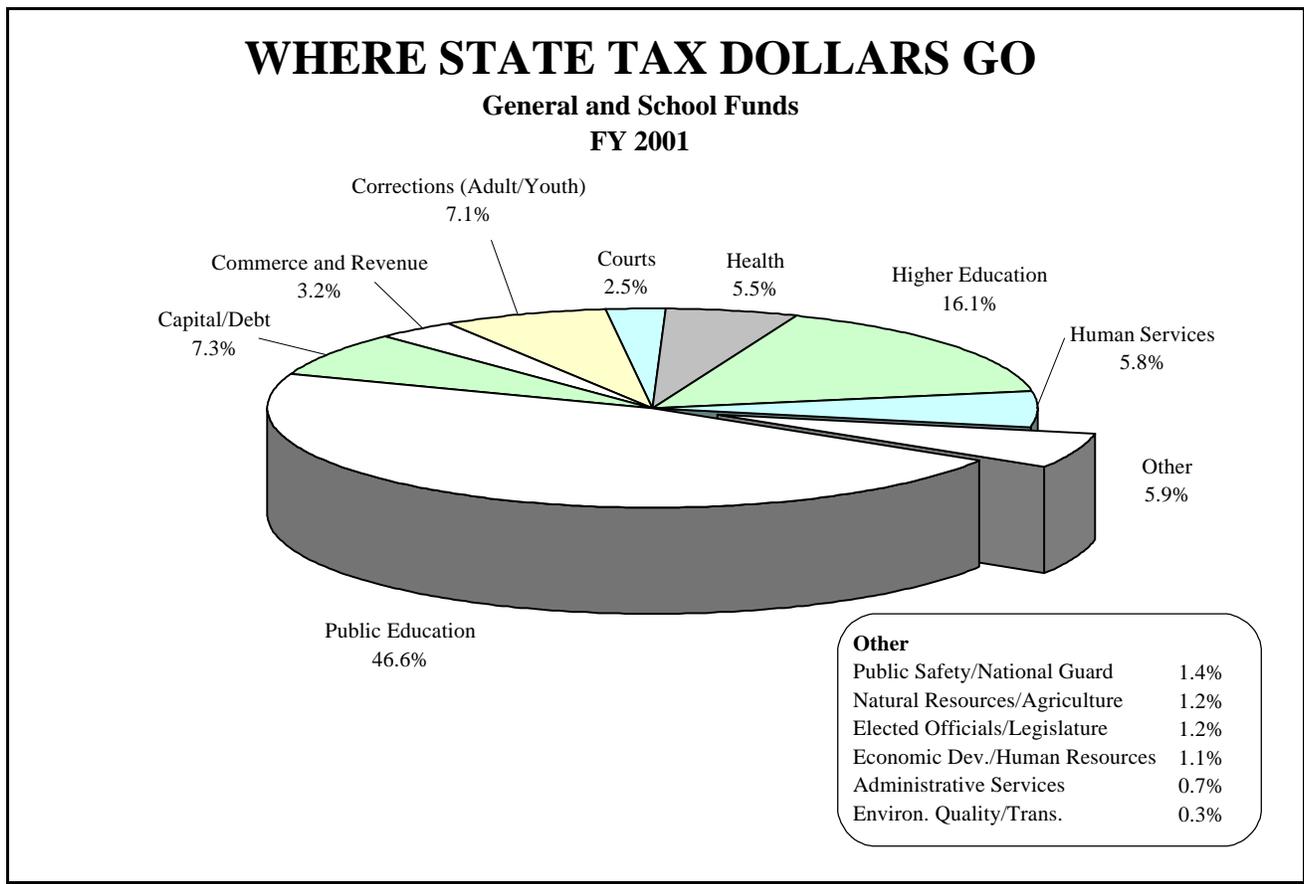


Table 7

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT**  
**Appropriations from All Sources of Funding**  
**(In Thousands of Dollars)**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations				Percent Change R00-R01 (d)
			Recom- mended FY 2000 (a)	Base FY 2001 (b)	Adjust- ments (c)	Recom- mended FY 2001	
<b>Plan of Financing</b>							
General Fund	\$1,536,480	\$1,590,237	\$1,591,756	\$1,572,650	\$71,631	\$1,644,281	3.3%
School Funds	1,711,754	1,776,693	1,777,109	1,752,308	119,832	1,872,140	5.3
Transportation Fund	353,164	373,472	374,000	376,123	8,377	384,500	2.8
Federal Funds	1,480,298	1,533,190	1,537,294	1,508,662	65,709	1,574,371	2.4
Dedicated Credits	412,277	409,406	410,036	408,164	10,649	418,813	2.1
Mineral Lease	31,634	31,000	31,000	31,924	(1,424)	30,500	(1.6)
Restricted and Trust Funds	225,164	235,352	237,959	230,694	22,557	253,251	6.4
Transfers	174,542	166,283	164,992	160,841	5,425	166,266	0.8
Other Funds	(4,803)	1,486	2,010	835	6,857	7,692	282.7
Pass-through Funds	2,705	33	33	33	0	33	0.0
Beginning Balances	148,307	149,620	147,560	89,848	0	89,848	(39.1)
Closing Balances	(149,620)	(88,694)	(88,694)	(81,014)	104	(80,910)	(8.8)
Lapsing Funds	(48,047)	0	0	0	0	0	--
Local Property Tax	291,450	311,575	311,575	311,575	20,138	331,713	6.5
<b>Total Financing</b>	<b>\$6,165,305</b>	<b>\$6,489,653</b>	<b>\$6,496,630</b>	<b>\$6,362,643</b>	<b>\$329,855</b>	<b>\$6,692,498</b>	<b>3.0%</b>
<b>Programs</b>							
Administrative Services	\$30,044	\$29,689	\$29,031	\$20,980	\$522	\$21,502	(25.9%) (e)
Commerce and Revenue	364,627	375,298	380,938	375,716	15,280	390,996	2.6
Corrections (Adult and Youth)	227,545	262,496	257,340	249,578	22,394	271,972	5.7
Courts	91,300	95,509	96,638	94,183	2,948	97,131	0.5
Econ. Development/Human Res.	108,584	128,852	129,672	124,138	5,917	130,055	0.3
Elected Officials	52,703	61,089	61,257	58,609	3,151	61,760	0.8
Environmental Quality	31,745	37,718	38,314	35,070	2,465	37,535	(2.0)
Health	919,486	966,211	965,918	946,116	69,814	1,015,930	5.2
Higher Education	680,062	713,822	713,818	709,806	46,512	756,318	6.0
Human Services	397,133	422,706	421,706	423,568	17,053	440,621	4.5
Legislature	12,022	12,873	12,873	12,744	972	13,716	6.5
National Guard	19,365	15,259	15,247	14,442	232	14,674	(3.8) (f)
Natural Resources	107,694	129,197	129,380	127,612	4,075	131,687	1.8
Public Education	2,039,651	2,134,369	2,135,870	2,110,820	135,557	2,246,377	5.2
Public Safety	84,871	91,325	91,378	89,690	3,310	93,000	1.8
Transportation	186,650	187,933	188,870	185,245	9,567	194,812	3.1
<b>Total Operations Budget</b>	<b>\$5,353,482</b>	<b>\$5,664,346</b>	<b>\$5,668,250</b>	<b>\$5,578,317</b>	<b>\$339,769</b>	<b>\$5,918,086</b>	<b>4.4%</b>
Capital Budget	\$658,283	\$670,188	\$670,648	\$630,736	(\$14,371)	\$616,365	(8.1)
Debt Service	153,540	155,119	157,732	153,590	4,457	158,047	0.2
<b>Total Budget</b>	<b>\$6,165,305</b>	<b>\$6,489,653</b>	<b>\$6,496,630</b>	<b>\$6,362,643</b>	<b>\$329,855</b>	<b>\$6,692,498</b>	<b>3.0%</b>

See footnotes on next page

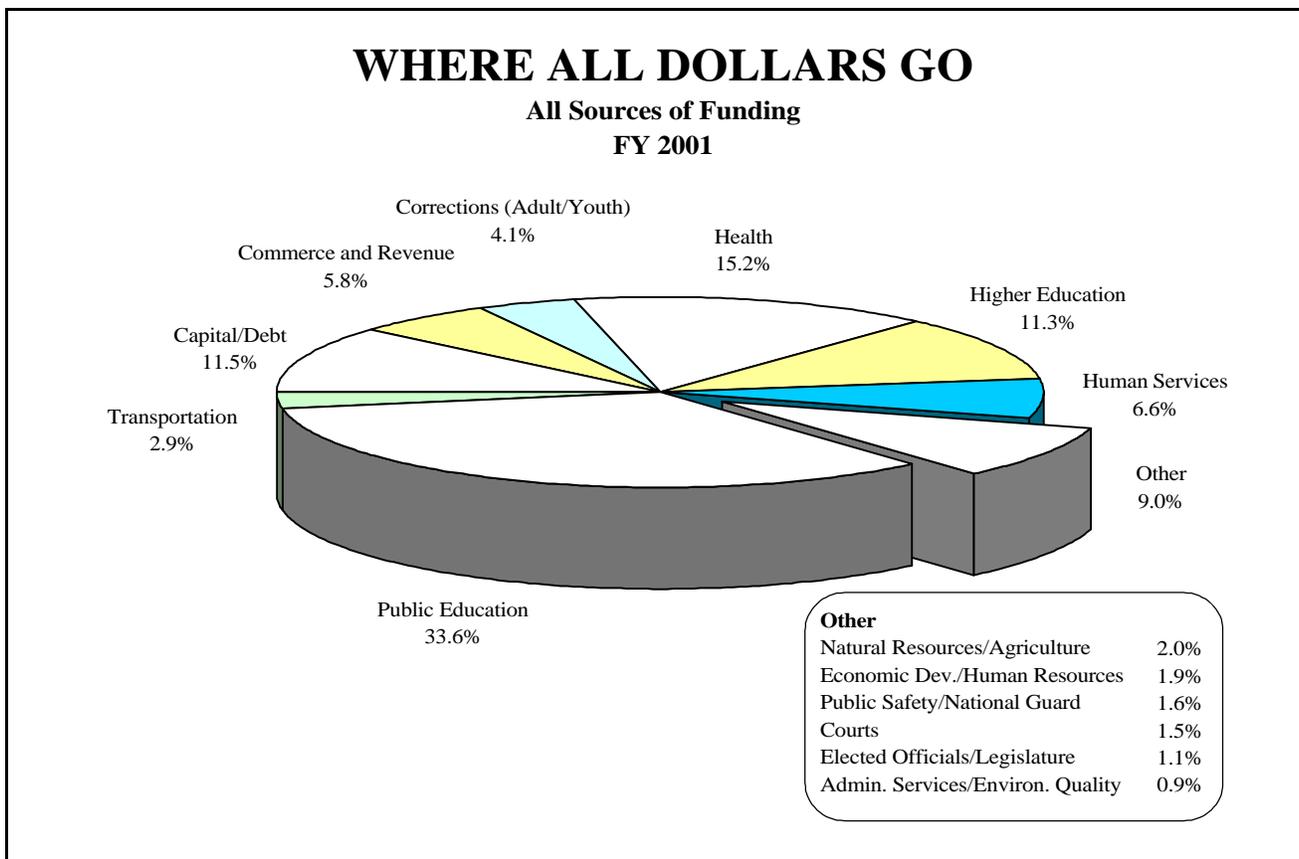
Table 7 shows the budgeted use of all sources of funding by state agency.  
It is a summary of the department tables found in the following section.

**Table 7**

**SUMMARY OF RECOMMENDATIONS BY DEPARTMENT  
Appropriations from All Sources of Funding**

**Footnotes**

- (a) Recommended FY 2000 is based on updated revenue projections and includes recommended supplemental appropriations.
- (b) Base FY 2001 is the FY 2000 recommended amount adjusted for one-time FY 2000 appropriations, program transfers between departments, internal service fund rate changes, base cuts, and personal service adjustments.
- (c) Recommended adjustments are shown in more detail in the individual department sections of the book.
- (d) The Percent Change is the difference from Recommended FY 2000 to Recommended FY 2001. Both years include one-time appropriations.
- (e) Administrative Services Recommended FY 2000 amount includes one-time funding for fuel mitigation and Year 2000 Programming costs.
- (f) Reduction for National Guard is due to decline in federal funding.



**Table 8**  
**SUMMARY PLAN OF FINANCING BY DEPARTMENT AND SOURCES OF FUNDING**

	General Fund	School Funds	Transportation Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted/Trust Funds	Other	Property Tax	Total
<b>Administrative Services</b>										
Actual FY 1999	\$25,018,100	\$5,124,400	\$450,000	\$0	\$1,667,500	\$0	\$2,228,300	(\$4,444,600)	\$0	\$30,043,700
Authorized FY 2000	23,851,900	0	450,000	150,000	1,914,900	0	1,318,700	2,003,900	0	29,689,400
Recommended FY 2001	23,889,700	0	450,000	75,000	1,962,300	0	1,355,700	(6,230,400)	0	21,502,300
<b>Commerce and Revenue</b>										
Actual FY 1999	87,015,400	16,147,400	4,857,400	196,423,800	12,433,700	0	54,081,400	(6,331,700)	0	364,627,400
Authorized FY 2000	90,043,000	16,365,400	4,857,400	188,999,400	12,704,000	0	48,926,300	13,402,300	0	375,297,800
Recommended FY 2001	94,536,800	16,576,800	4,857,400	199,556,900	12,986,400	0	51,041,500	11,440,700	0	390,996,500
<b>Corrections (Adult and Youth)</b>										
Actual FY 1999	210,792,100	0	0	3,498,300	5,009,400	0	300,000	7,945,300	0	227,545,100
Authorized FY 2000	229,668,400	0	0	3,131,000	6,055,400	0	500,000	23,141,100	0	262,495,900
Recommended FY 2001	250,478,300	0	0	4,113,400	5,847,400	0	400,000	11,132,800	0	271,971,900
<b>Courts</b>										
Actual FY 1999	82,736,000	0	0	0	1,200,500	0	5,719,500	1,643,500	0	91,299,500
Authorized FY 2000	85,953,000	0	0	182,000	1,149,500	0	5,756,700	2,467,400	0	95,508,600
Recommended FY 2001	89,119,500	0	0	186,100	1,211,600	0	5,906,600	707,100	0	97,130,900
<b>Economic Development and Human Resources</b>										
Actual FY 1999	36,830,700	0	118,000	25,388,700	20,661,000	468,300	31,437,400	(6,320,300)	0	108,583,800
Authorized FY 2000	33,706,400	0	118,000	31,810,600	22,298,200	472,500	35,347,200	5,099,200	0	128,852,100
Recommended FY 2001	36,939,100	0	118,000	32,222,800	22,321,200	505,000	37,778,400	170,600	0	130,055,100
<b>Elected Officials</b>										
Actual FY 1999	26,711,600	0	0	10,921,200	11,029,600	0	2,763,900	1,276,400	0	52,702,700
Authorized FY 2000	28,802,300	0	0	16,191,600	10,618,300	0	2,782,700	2,693,900	0	61,088,800
Recommended FY 2001	29,640,000	0	0	17,109,800	10,987,200	0	3,012,100	1,010,600	0	61,759,700
<b>Environmental Quality</b>										
Actual FY 1999	9,084,100	0	0	10,835,700	5,839,800	0	9,427,100	(3,441,200)	0	31,745,500
Authorized FY 2000	9,489,200	0	0	14,758,900	6,198,800	0	6,721,400	549,600	0	37,717,900
Recommended FY 2001	10,591,400	0	0	12,851,000	6,334,600	0	6,861,900	896,800	0	37,535,700
<b>Health</b>										
Actual FY 1999	174,081,500	0	0	616,661,000	73,713,400	600,000	13,715,700	40,714,300	0	919,485,900
Authorized FY 2000	183,773,700	0	0	649,661,900	74,936,200	600,000	15,145,000	42,094,400	0	966,211,200
Recommended FY 2001	193,785,200	0	0	683,902,600	76,544,100	0	20,423,500	41,274,400	0	1,015,929,800
<b>Higher Education</b>										
Actual FY 1999	363,176,800	144,591,400	0	4,716,600	165,163,500	3,416,300	568,600	(1,570,600)	0	680,062,600
Authorized FY 2000	364,246,600	163,886,700	0	4,592,300	178,323,700	2,020,400	752,700	0	0	713,822,400
Recommended FY 2001	438,057,100	126,671,300	0	4,592,300	185,659,500	585,000	752,700	0	0	756,317,900
<b>Human Services</b>										
Actual FY 1999	180,571,100	0	0	101,703,500	7,364,000	0	2,540,000	104,954,000	0	397,132,600
Authorized FY 2000	193,809,300	0	0	100,082,400	7,932,900	0	2,050,000	118,831,800	0	422,706,400
Recommended FY 2001	205,366,900	0	0	100,664,100	9,216,700	0	2,050,000	123,323,100	0	440,620,800



**Table 9**  
**SUMMARY OF FY 2001 ADJUSTMENTS BY DEPARTMENT**  
**Ongoing and One-time**  
**All Sources of Funding**

	General Fund	School Funds	Transportation Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted and Trust Funds	Other	Property Tax	Total
<b>Appropriations by Department</b>										
Administrative Services	\$477,300	\$0	\$0	\$0	\$28,100	\$0	\$0	\$16,400	\$0	\$521,800
Commerce and Revenue	4,980,400	465,000	0	8,085,300	150,300	0	1,558,100	41,000	0	15,280,100
Corrections (Youth and Adult)	21,358,600	0	0	1,012,600	0	0	0	22,900	0	22,394,100
Courts	2,715,600	0	0	3,900	22,300	0	203,900	2,500	0	2,948,200
Econ. Development/Human Res.	2,726,700	0	0	66,000	27,500	0	3,297,200	(200,000)	0	5,917,400
Elected Officials	1,735,800	0	0	878,300	315,900	0	218,200	2,400	0	3,150,600
Environmental Quality	1,169,100	0	0	522,600	144,600	0	625,000	4,100	0	2,465,400
Health	10,752,500	0	0	48,217,500	553,900	0	10,175,700	114,400	0	69,814,000
Higher Education	37,348,100	2,914,600	0	0	7,673,400	(1,424,100)	0	0	0	46,512,000
Human Services	10,262,600	0	0	1,334,900	575,000	0	200,000	4,680,600	0	17,053,100
Legislature	867,400	0	0	0	0	0	0	104,300	0	971,700
National Guard	61,400	0	0	170,500	0	0	0	0	0	231,900
Natural Resources	1,177,900	0	0	293,800	254,700	0	2,343,000	5,300	0	4,074,700
Public Education	0	112,613,600	0	996,700	538,500	0	1,500	1,269,300	20,137,700	135,557,300
Public Safety	2,623,700	0	0	(744,400)	26,000	0	1,373,200	31,400	0	3,309,900
Transportation	0	0	6,117,100	726,200	162,200	0	2,561,700	0	0	9,567,200
<b>Total Operations Adj.</b>	<b>\$98,257,100</b>	<b>\$115,993,200</b>	<b>\$6,117,100</b>	<b>\$61,563,900</b>	<b>\$10,472,400</b>	<b>(\$1,424,100)</b>	<b>\$22,557,500</b>	<b>\$6,094,600</b>	<b>\$20,137,700</b>	<b>\$339,769,400</b>
Capital Budget	(\$26,626,200)	\$1,821,200	\$2,260,100	\$4,144,800	\$0	\$0	\$0	\$4,029,100	\$0	(\$14,371,000)
Debt Service	0	2,017,200	0	0	176,900	0	0	2,262,400	0	4,456,500
<b>Total Budget Adjustments</b>	<b>\$71,630,900</b>	<b>\$119,831,600</b>	<b>\$8,377,200</b>	<b>\$65,708,700</b>	<b>\$10,649,300</b>	<b>(\$1,424,100)</b>	<b>\$22,557,500</b>	<b>\$12,386,100</b>	<b>\$20,137,700</b>	<b>\$329,854,900</b>

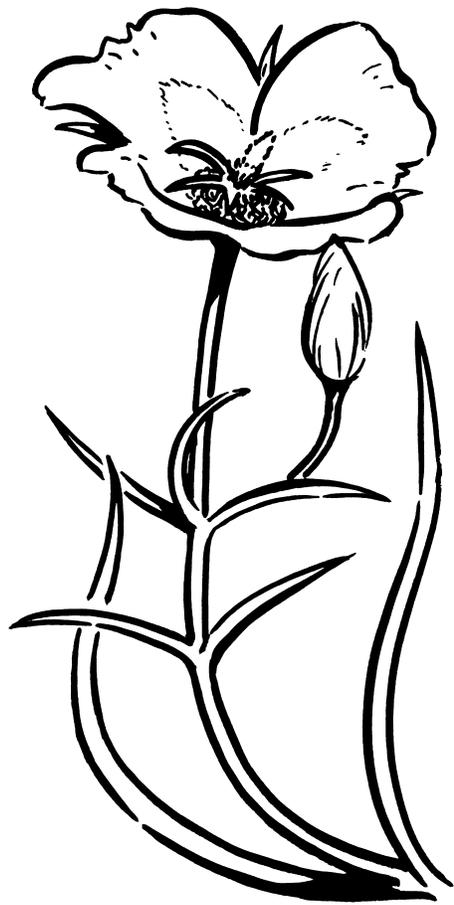
Table 9 shows recommended FY 2001 ongoing and one-time appropriations by department.

Table 10

**SUMMARY OF FY 2000 SUPPLEMENTALS BY DEPARTMENT**  
**All Sources of Funding**

	General Fund	School Funds	Transportation Fund	Federal Funds	Dedicted Credits	Mineral Lease	Restricted and Trust Funds	Other	Total
<b>Appropriations by Department</b>									
Administrative Services	(\$408,100)	\$0	\$0	\$0	\$158,100	\$0	(\$408,600)	(\$100)	(\$658,700)
Commerce and Revenue	1,835,100	(127,100)	0	3,670,200	(31,000)	0	292,700	(100)	5,639,800
Corrections (Youth and Adult)	(3,096,300)	0	0	0	0	0	0	(2,060,000)	(5,156,300)
Courts	807,200	0	0	0	0	0	322,400	0	1,129,600
Econ. Development/Human Res.	2,379,800	0	0	(1,300)	1,300	0	199,800	(1,759,800)	819,800
Elected Officials	151,600	0	0	24,700	(6,400)	0	(1,200)	0	168,700
Environmental Quality	615,900	0	0	(9,200)	(4,200)	0	(6,500)	0	596,000
Health	(143,900)	0	0	(191,400)	43,800	0	0	(2,100)	(293,600)
Higher Education	(3,900)	0	0	0	0	0	0	0	(3,900)
Human Services	121,700	0	0	(1,009,500)	2,100	0	0	(114,900)	(1,000,600)
Legislature	(200)	0	0	0	0	0	0	0	(200)
National Guard	(3,100)	0	0	(9,500)	0	0	0	0	(12,600)
Natural Resources	145,600	0	0	2,600	11,800	0	23,900	(700)	183,200
Public Education	0	(88,900)	0	(12,800)	489,500	0	(200)	1,114,200	1,501,800
Public Safety	(80,600)	0	0	(10,200)	(3,000)	0	150,300	(3,000)	53,500
Transportation	0	0	527,700	0	(125,600)	0	535,000	0	937,100
<b>Total Operations Adjustments</b>	<b>\$2,320,800</b>	<b>(\$216,000)</b>	<b>\$527,700</b>	<b>\$2,453,600</b>	<b>\$536,400</b>	<b>\$0</b>	<b>\$1,107,600</b>	<b>(\$2,826,500)</b>	<b>\$3,903,600</b>
Capital Budget	(\$9,900)	\$0	\$0	\$1,650,000	\$0	\$0	\$1,500,000	(\$2,679,800)	\$460,300
Debt Service	(792,200)	631,600	0	0	93,700	0	0	2,679,800	2,612,900
<b>Total Budget Adjustments</b>	<b>\$1,518,700</b>	<b>\$415,600</b>	<b>\$527,700</b>	<b>\$4,103,600</b>	<b>\$630,100</b>	<b>\$0</b>	<b>\$2,607,600</b>	<b>(\$2,826,500)</b>	<b>\$6,976,800</b>

Table 10 shows recommended FY 2000 supplemental appropriations by department, including internal service fund rate reductions.



## BASIS OF BUDGETING

### GOVERNOR'S BUDGET

The governor's budget for general government type funds (see Table 1 for General and School Funds and Table 8, column 3, for Transportation Fund) is prepared on a modified accrual basis. Revenues are recognized when measurable and available to finance operations during the year. Expenditures are recognized when liabilities are incurred. Modifications to the accrual basis of accounting include 1) recognizing inventories of materials and supplies as current expenditures when purchased, 2) recognizing prepaid expenses as current expenditures, and 3) recognizing principal and interest on general long-term debt as liabilities when due. Buildings and other fixed assets are not depreciated.

Internal service fund budgets and enterprise fund budgets (see Internal Service and Enterprise Funds section) are prepared on a full accrual basis with revenues recognized when earned and expenditures recognized when the related liabilities are incurred. Buildings and equipment are depreciated on a straight-line basis over their estimated useful lives.

### RELATIONSHIP TO THE COMPREHENSIVE ANNUAL FINANCIAL REPORT

The Division of Finance issues the *Comprehensive Annual Financial Report* (CAFR), which includes all funds and account groups. The CAFR is prepared on a modified accrual basis with enterprise and internal service funds prepared on a full accrual basis. There are several major differences between the CAFR and the budget. The CAFR 1) does not accrue property taxes, 2) eliminates intrafund revenues and expenditures, 3) accrues the value of medicines, food stamps, and commodities received for school lunch programs, 4) accrues Medicaid expenditures beyond budgetary cutoffs, and 5) accrues debt service interest due July 1. Also, the CAFR includes assets acquired with proceeds of general obligation and revenue bonds as current year expenditures; the budget does not. In addition, the budget reports higher education revenue accruals not reported in the CAFR.

### BUDGETARY CONTROL

Budgetary control is maintained at the functional or orga-

nizational level as identified by line items in the appropriations acts. Budget accounts, other than General Fund, Uniform School Fund, Transportation Fund, and restricted funds, may be modified subject to the governor's approval if actual revenue differs from original estimates authorized by the legislature. If funding sources are not sufficient to cover the appropriation, the governor is required to reduce the budget by the amount of the deficiency. Any other changes to the budget must be approved by the legislature in a supplemental appropriation.

### YEAR END BALANCES

Unexpended balances at year end may 1) lapse to unrestricted balances for future appropriation, 2) lapse to restricted balances for future appropriations restricted for specific purposes as defined in statute, or 3) remain in the fund as nonlapsing balances. Nonlapsing balances are considered automatically reappropriated as authorized by statute, by the appropriations act, or by limited commitments related to unperformed contracts for goods or services. ■

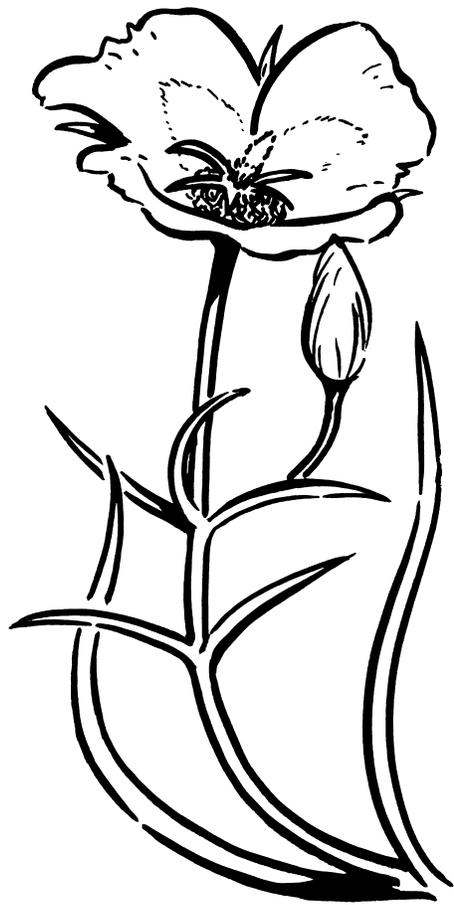


## THE BUDGET PROCESS

The publication of Governor Leavitt's budget recommendations, prepared by the Governor's Office of Planning and Budget (GOPB), is the culmination of several months of preparation and deliberation. The director of GOPB, the statutory state budget officer, oversees preparation of the governor's budget recommendations.

Item:	<b><i>Forms and Guidelines</i></b>	<i>GOPB distributes forms and guidelines to agencies for use in preparing agency operating and capital budget requests. The budget preparation calendar and parameters for requesting budget enhancements are established.</i>
By:	<b><i>GOPB</i></b>	
Dates:	<b><i>June and July</i></b>	
Item:	<b><i>Agency Requests</i></b>	<i>State agencies prepare budget requests for the governor's review in accordance with agency goals and GOPB guidelines. A copy is forwarded to the Office of the Legislative Fiscal Analyst.</i>
By:	<b><i>State Agencies</i></b>	
Dates:	<b><i>July to September</i></b>	
Item:	<b><i>GOPB Recommendations</i></b>	<i>GOPB collects and analyzes agency requests. GOPB prepares recommendations on base budgets and proposed enhancements for the governor.</i>
By:	<b><i>GOPB</i></b>	
Dates:	<b><i>September to October</i></b>	
Item:	<b><i>Budget Briefings</i></b>	<i>GOPB holds budget briefings with the governor's office, state agencies, and the judicial branch. Preliminary GOPB recommendations are reviewed. Policy matters with budgetary implications are discussed.</i>
By:	<b><i>Governor's Office/GOPB</i></b>	
Dates:	<b><i>October to November</i></b>	

<p>Item: <b><i>Budget Release</i></b>  By: <b><i>Governor</i></b>  Dates: <b><i>Mid-December</i></b></p>	<p><i>The governor releases his budget recommendations to the public.</i></p>
<p>Item: <b><i>Fiscal Analyst's Analysis</i></b>  By: <b><i>Legislative Fiscal Analyst</i></b>  Dates: <b><i>December to Mid-January</i></b></p>	<p><i>The legislative fiscal analyst analyzes the governor's budget recommendations, independently projects revenue (with review by GOPB), and prepares operating and capital budget recommendations for consideration by the legislature.</i></p>
<p>Item: <b><i>Legislative Deliberations</i></b>  By: <b><i>Legislature</i></b>  Dates: <b><i>Mid-January to March</i></b></p>	<p><i>The legislature's Executive Appropriations Committee has nine subcommittees which hold hearings on the budget. The subcommittees gather relevant testimony on agency budgets before sending their recommendations to the Executive Appropriations Committee. The Executive Appropriations Committee prepares appropriations bills to be considered by the full legislature. The legislature passes the bills and forwards them to the governor for signature, veto, or passage into law without signature.</i></p>
<p>Item: <b><i>Budget Implementation</i></b>  By: <b><i>Governor/Agencies</i></b>  Dates: <b><i>March to June</i></b></p>	<p><i>The governor signs or vetoes the enrolled appropriations bills. The state constitution allows the governor line item veto authority.</i></p>
<p>Item: <b><i>Budget Amendments</i></b>  By: <b><i>Legislature/Governor</i></b>  Dates: <b><i>As Needed</i></b></p>	<p><i>Generally, budget amendments affecting state appropriations must be made by the legislature. The legislature can amend a current year budget through a supplemental appropriations bill. Supplementals can be positive or negative adjustments. The governor has emergency authority to reduce state budgets. This authority has not been used recently. ■</i></p>



# State of Utah

## Capital Budget Summary and Debt Service

- Capital budget table guide
- Capital budget overview
- Bonding limit
- Capital projects recommended for FY 2001
- Capital budget table showing three-year comparison of appropriations by department
- Debt service table showing three-year comparisons

## CAPITAL BUDGET TABLE GUIDE

The total capital budget table shows revenue and expenditures for the past fiscal year (FY 1999), authorized and recommended revenue and expenditures for the current fiscal year (FY 2000), and recommended revenue and expenditures for the budget fiscal year (FY 2001). The guide below illustrates how to read this table.

**Capital Budget**  
All Source of Funding

*The "Recommended FY 2000" column represents the authorized year plus recommended supplementals.*

*The "Total" column shows all state-funded recommendations.*

**Governor Leavitt's Recommendations**

	Actual FY 1999	Authorized FY 2000	Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
G	\$18,600			\$125,17,500	\$12,000,000	\$137,517,500
M	7,414			7,310,700		7,310,700
Restricted and Trust Funds	74,543			7,894,000		7,894,000
Transfers	(49,000)			2,985,000		2,985,000
Other Funds	599			8,418,100		8,418,100
Pass-through Funds				7,976,900		7,976,900
Beginning Balances	34,960			16,299,000	0	16,299,000
Closing Balances	(33,061,200)			(12,400,000)	0	(12,000,000)
Lapsing Funds	(26,290,000)			0	0	0
Local Property Tax	0	0				0
<b>Total Financing</b>	<b>\$594,686,000</b>	<b>\$590,870,500</b>				<b>\$637,284,800</b>
			<i>The "Base FY 2001" column includes funding from non-bond sources for ongoing capital programs.</i>			
<b>Departments</b>						
Administrative Services	\$0	\$0	\$0	\$0	\$0	\$0
Econ. Dev./Human Res.	17,369,500	16,793,800	16,793,800	16,333,100	0	16,233,100
Environmental Quality	3,496,400	10,104,200	10,104,200	10,115,800	0	10,115,800
Higher Education	0				0	0
Natural Resources	28,578,200				0	32,372,000
Public Education	0				0	0
Transportation	545,241,900				10,202,000	578,563,900
<b>Total Budget</b>	<b>\$594,686,000</b>	<b>\$590,870,500</b>	<b>\$588,608,100</b>	<b>\$627,082,800</b>	<b>\$10,202,000</b>	<b>\$637,284,800</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>8.3%</b>

*The amounts in each column are detailed in the individual department capital tables.*



## CAPITAL BUDGET

### OVERVIEW

The capital budget includes acquisition, development, construction, and improvement of fixed public assets. Capital funds from Environmental Quality, Economic Development, and Public Education help finance projects for local agencies and school districts. A portion of the Natural Resources capital budget goes toward local water projects. All other agencies' capital projects are classified as developments, improvements, or planning.

*Capital developments* include 1) remodeling, site, or utility projects costing \$1,000,000 or more; 2) new space costing more than \$100,000; and 3) land acquisitions where an appropriation is requested.

*Capital improvements* are major remodeling, alterations, repairs, or improvements of fixed capital assets costing less than \$1,000,000. State law requires annual capital improvement funding to be at least 0.9

percent of the estimated replacement cost of all state facilities. For FY 2001, this amount is \$36,753,000. The Utah State Building Board allocates capital improvement funds to priority projects.

*Capital planning* is the programming process conducted before a project is considered for further funding. It provides the basis for choosing among alternatives.

### BONDING LIMIT

The Utah Constitution Article XIV, Section 1 limits general obligation debt to 1.5 percent of the total fair market value of taxable property. As of December 1, 1999, the state's total outstanding general obligation debt is \$1,212,325,000, which is \$806,683,000 below the constitutional debt limit.

Section 63-38c-402 UCA further limits outstanding general obligation debt to no more than 20 percent of the

maximum allowable limit on appropriations from the General Fund, school funds, and Transportation Fund, less debt service. Considering \$908,000,000 of transportation bonds are exempt from this limit, the state's net outstanding general obligation debt is \$430,067,000 below the appropriation debt limit.

Because of the state's sound debt administration, the state continues to enjoy a triple "A" rating on general obligation bonds and a double "A" rating on revenue bonds from Moody's Investors Service Inc., Standard and Poor's Corporation, and Fitch IBCA Inc. These are the highest credit ratings available and save the state millions of dollars of interest on general obligation and revenue bonds.

### REVIEW PROCESS

Before recommending a capital budget, the governor considers agency requests and recommendations of the Utah State

Building Board. The board’s seven voting members are appointed by the governor and serve as policy makers for the Division of Facilities Construction and Management.

Other boards which play a role in the capital budget process include the Board of Regents, Board of Education, Transportation Commission, Solid and Hazardous Waste Control Board, Board of Water Resources, Permanent Community Impact Fund Board, Board of Parks and Recreation, Wildlife Board, and Soil Conservation Commission.

**RECOMMENDATIONS**

Governor Leavitt recommends a total FY 2001 capital budget of \$616,365,400. The table on page 45 lists the capital projects recommended for FY 2001 as well as proposed sources of funding for each facility. The capital budget table on page 46 shows a three-year comparison by department for all state agencies combined.

The governor recommends no bond this year for capital facilities. However, should the legislature choose to authorize a bond, he will support the State Building Board’s top four remaining priorities and a courts project in Logan.

The Board of Regents and the state’s colleges and universities

<b>State Building Board’s Remaining Priorities</b>	
Utah State University Heat Plant/Infrastructure	\$31,000,000
State Hospital Rampton Building	14,300,000
Dixie College Fine Arts Building*	16,319,000
Utah Valley State College Classrooms	1,465,000
<b>Governor’s Other Priorities</b>	
Logan Courts Building	14,200,000
<b>TOTAL</b>	<b>\$77,284,000</b>
* The governor will support this project after further review of the program.	

have requested permission to build ten new facilities at a total cost of \$58,393,300, all of which will be funded through private donations or student fees. Jordan School District has also proposed to build three applied technology education facilities on the Salt Lake Community College campus for approximately \$18,000,000. The governor supports all of these non-state funded projects.

For debt service, the governor recommends an FY 2001 appropriation of \$158,046,300 and an FY 2000 supplemental appropriation of \$2,612,900.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

When necessary to meet interest requirements on variable rate demand obligations issued to finance highway construction projects, the Division of Finance may request a transfer of funds from the Department of Trans-

portation. After receiving such a request, the Department of Transportation shall transfer monies from the Centennial Highway Fund to the Debt Service Fund to pay interest on variable rate demand obligations issued to finance highway construction projects.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

When necessary to meet interest requirements on variable rate demand obligations issued to finance highway construction projects, the Division of Finance may request a transfer of funds from the Department of Transportation. After receiving such a request, the Department of Transportation shall transfer monies from the Centennial Highway Fund to the Debt Service Fund to pay interest on variable rate demand obligations issued to finance highway construction projects. ■

## CAPITAL PROJECTS

### All Sources of Funding

	Governor Leavitt's FY 2001 Recommendations					Future State O and M
	General Fund / School Funds	Trans- portation Fund	Federal Funds	Donations / Private / Other	Total Recom- mended	
<b>STATE FUNDED PROJECTS</b>						
<b>Statewide</b>						
Capital Improvements	\$36,753,000	\$0	\$0	\$0	\$36,753,000	\$0
<b>Capitol Preservation Board</b>						
State Capitol Strategic Plan	0	0	0	1,661,500 (a)	1,661,500	0
<b>Corrections</b>						
Privatized Parole Transition Center	0	0	2,777,800	0	2,777,800	0
St. George Youth Corrections Expansion	0	0	1,170,000	130,000 (b)	1,300,000	0
<b>Transportation</b>						
Cache Junction Maintenance Complex	0	910,900	0	0	910,900	73,500
Echo Maintenance Complex	0	681,500	0	0	681,500	14,800
Richfield District Warehouse	0	667,700	0	0	667,700	49,000
Lehi Construction of New Facility	0	0	0	1,500,000 (c)	1,500,000	0
Orem/Provo Canyon Maintenance Stations	0	0	0	3,000,000 (d)	3,000,000	0
<b>Workforce Services</b>						
Logan Property Purchase	0	0	197,000	0	197,000	0
<b>TOTAL STATE FUNDED PROJECTS</b>	<b>\$36,753,000</b>	<b>\$2,260,100</b>	<b>\$4,144,800</b>	<b>\$6,291,500</b>	<b>\$49,449,400</b>	<b>\$137,300</b>
<b>NON-STATE FUNDED PROJECTS</b>						
<b>Board of Regents</b>						
New Office Building	\$0	\$0	\$0	\$8,000,000	\$8,000,000	\$0
<b>Salt Lake Community College</b>						
Jordan School District Technology Ctrs.	0	0	0	18,000,000	18,000,000	0
<b>Snow College South</b>						
Student Housing	0	0	0	2,400,000	2,400,000	0
<b>Southern Utah University</b>						
Athletes' Weight Training Facility	0	0	0	350,000	350,000	0
<b>University of Utah</b>						
Bookstore Expansion	0	0	0	499,700	499,700	0
College of Science Math Center	0	0	0	1,813,900	1,813,900	0
Burbidge Athletics Academic Center	0	0	0	2,400,000	2,400,000	0
Health Sciences Center	0	0	0	27,990,500	27,990,500	687,000
<b>Utah State University</b>						
Edith Bowen Lab School Renovation	0	0	0	9,989,200	9,989,200	210,000
<b>Utah Valley State College</b>						
Baseball Stadium	0	0	0	750,000	750,000	0
<b>Weber State University</b>						
Stadium Addition	0	0	0	4,200,000	4,200,000	0
<b>TOTAL NON-STATE FUNDED PROJECTS</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$76,393,300</b>	<b>\$76,393,300</b>	<b>\$897,000</b>
<b>TOTAL - ALL SOURCES OF FUNDING</b>	<b>\$36,753,000</b>	<b>\$2,260,100</b>	<b>\$4,144,800</b>	<b>\$82,684,800</b>	<b>\$125,842,700</b>	<b>\$1,034,300</b>

(a) Funding includes \$1,460,000 from the DFCM Project Reserve Fund and \$201,500 from proceeds from the sale of a state-owned building.

(b) Federal prison construction grant match of 10 percent comes from the Youth Corrections' operating budget.

(c) A private entity will build a new facility on a nearby location in exchange for the existing land.

(d) The facilities will be funded with proceeds from the sale of UDOT-owned land in Orem.

**CAPITAL BUDGET**

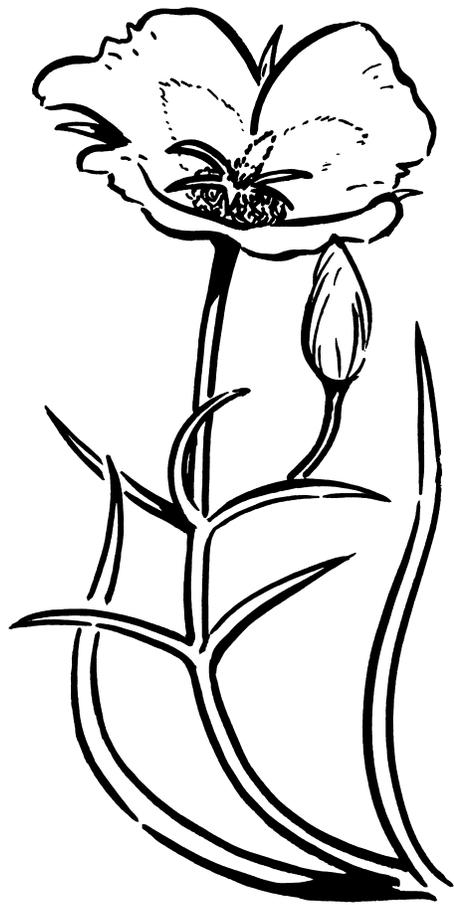
## All Sources of Funding

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$163,405,500	\$173,816,900	\$173,807,000	\$139,947,500	(\$26,626,200)	\$113,321,300
School Funds	49,858,000	41,174,100	41,174,100	47,486,000	1,821,200	49,307,200
Transportation Fund	211,824,100	230,764,400	230,764,400	232,550,500	2,260,100	234,810,600
Federal Funds	219,842,600	203,049,600	204,699,600	188,333,900	4,144,800	192,478,700
Dedicated Credits	20,980,500	2,040,000	2,040,000	2,985,000	0	2,985,000
Mineral Lease	24,424,200	25,377,400	25,377,400	26,899,000	0	26,899,000
Restricted and Trust Funds	33,565,600	42,552,500	44,052,500	45,567,800	0	45,567,800
Transfers	(37,505,500)	(47,435,300)	(50,115,100)	(47,400,000)	(2,262,400)	(49,662,400)
Other Funds	(5,800,600)	(8,075,000)	(8,075,000)	(9,932,300)	6,291,500	(3,640,800)
Beginning Balances	15,201,100	11,222,700	11,222,700	4,299,000	0	4,299,000
Closing Balances	(11,222,700)	(4,299,000)	(4,299,000)	0	0	0
Lapsing Funds	(26,290,000)	0	0	0	0	0
<b>Total Financing</b>	<b>\$658,282,800</b>	<b>\$670,188,300</b>	<b>\$670,648,600</b>	<b>\$630,736,400</b>	<b>(\$14,371,000)</b>	<b>\$616,365,400</b>
<b>Departments</b>						
Administrative Services	\$51,673,400	\$41,702,900	\$41,702,900	\$33,558,000	\$9,131,300	\$42,689,300
Econ. Dev./Human Res.	17,369,500	16,406,200	16,406,200	14,299,000	0	14,299,000
Environmental Quality	3,265,100	9,616,700	9,616,700	9,616,700	0	9,616,700
Higher Education	19,519,300	17,452,000	17,452,000	0	0	0
Natural Resources	4,834,700	12,672,100	15,812,200	11,930,000	0	11,930,000
Public Education	30,858,000	33,292,000	33,292,000	28,358,000	0	28,358,000
Transportation	530,762,800	539,046,400	536,366,600	532,974,700	(23,502,300)	509,472,400
<b>Total Budget</b>	<b>\$658,282,800</b>	<b>\$670,188,300</b>	<b>\$670,648,600</b>	<b>\$630,736,400</b>	<b>(\$14,371,000)</b>	<b>\$616,365,400</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(8.1%)</b>

**DEBT SERVICE**

## All Sources of Funding

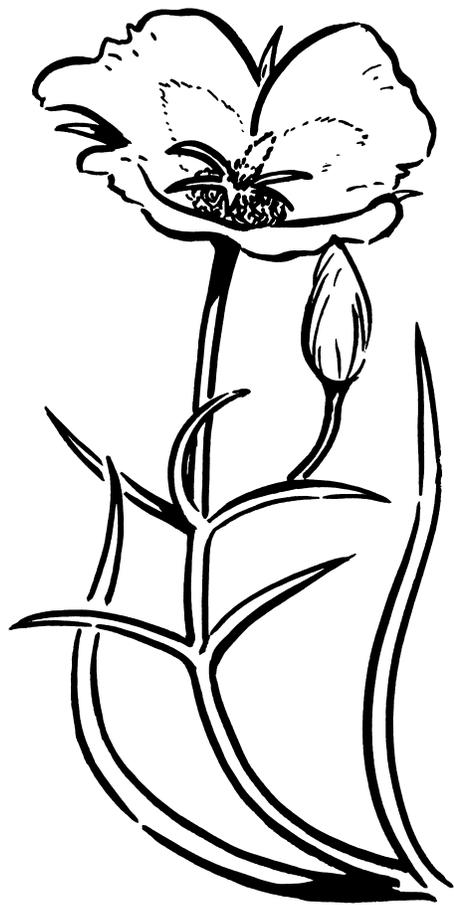
Plan of Financing	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
General Fund	\$79,951,300	\$71,206,700	\$70,414,500	\$52,878,600	\$0	\$52,878,600
School Funds	8,426,400	20,152,500	20,784,100	38,480,600	2,017,200	40,497,800
Dedicated Credits	19,816,900	23,388,600	23,482,300	23,388,600	176,900	23,565,500
Transfers	45,902,600	38,842,000	41,521,800	38,842,000	2,262,400	41,104,400
Beginning Balances	7,567,100	8,124,300	8,124,300	6,595,100	0	6,595,100
Closing Balances	(8,124,300)	(6,595,100)	(6,595,100)	(6,595,100)	0	(6,595,100)
<b>Total Financing</b>	<b>\$153,540,000</b>	<b>\$155,119,000</b>	<b>\$157,731,900</b>	<b>\$153,589,800</b>	<b>\$4,456,500</b>	<b>\$158,046,300</b>
<b>Programs</b>						
G.O. Bond Principal	\$73,785,000	\$77,200,000	\$77,200,000	\$77,200,000	\$4,125,000	\$81,325,000
G.O. Bond Interest	56,213,000	54,207,900	56,264,700	52,678,700	(312,300)	52,366,400
G.O. Bond Fees	1,878,100	99,500	561,900	99,500	466,900	566,400
Revenue Bond Principal	8,375,000	11,105,000	11,103,400	11,105,000	563,400	11,668,400
Revenue Bond Interest	12,337,100	12,441,400	12,537,000	12,441,400	(387,100)	12,054,300
Revenue Bond Fees	951,800	65,200	64,900	65,200	600	65,800
<b>Total Budget</b>	<b>\$153,540,000</b>	<b>\$155,119,000</b>	<b>\$157,731,900</b>	<b>\$153,589,800</b>	<b>\$4,456,500</b>	<b>\$158,046,300</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>0.2%</b>



# State of Utah

## Operating and Capital Budgets by Department

- Table guides
- State of Utah organizational chart
- Department sections in alphabetical order by category
- Mission statement for each department reflecting its general purpose
- Purpose of department divisions and programs
- Selected goals reflecting what the department hopes to achieve through its programs
- Governor Leavitt's major budget recommendations
- Effective base budget cuts
- Intent statements recommended by the governor for the FY 2000 supplemental appropriations act and the FY 2001 appropriations act
- Three-year comparison of operating and capital budgets. Principal and interest for prior year bond projects are shown in the Debt Service budget
- Detailed FY 2001 base adjustments, FY 2001 ongoing and one-time appropriations, and FY 2000 supplemental appropriations



## GUIDES TO OPERATING AND CAPITAL BUDGET TABLES

The departmental operating and capital budget tables show revenue and expenditures for the past fiscal year (FY 1999), authorized and recommended revenue and expenditures for the current fiscal year (FY 2000), and recommended revenue and expenditures for the budget fiscal year (FY 2001). The guide below illustrates how to read these tables.

	Actual FY 1999	Authorized FY 2000	Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>PUBLIC SAFETY</b>						
<b>Operating Budget</b>						
		<i>The "Recommended FY 2000" column represents the authorized year plus recommended supplementals.</i>			<i>The "Total FY 2001" column represents the base budget and all recommended adjustments.</i>	
<b>Governor Leavitt's Recommendations</b>						
<b>Plan of Financing</b>						
General Fund	\$39,743,500	\$42,137,800	\$42,137,800	\$41,884,200	\$2,623,700	\$44,507,900
Transportation Fund	5,495,500	5,495,500			0	5,495,500
Federal Funds	2,200,000	12,000,000			(744,000)	12,021,900
Interfund	1,100,000	5,000,000			26,000	5,088,000
Other	3,300,000	22,000,000			1,373,200	23,679,500
Total	52,840,000	87,652,600			31,400	1,555,100
Bequests	320,000	1,000,000			0	285,300
Closing Balances	(1,701,500)	(1,701,500)			0	0
Lapsing Funds	(2,308,300)	(2,308,300)			0	0
<b>Total Financing</b>	<b>\$92,628,200</b>	<b>\$91,325,000</b>	<b>\$91,378,400</b>	<b>\$89,689,800</b>	<b>\$3,309,900</b>	<b>\$92,632,200</b>
		<i>The "Authorized FY 2000" column reflects state tax expenditures as appropriated by the legislature, modified by revisions to federal revenues and other anticipated collections. Supplemental recommendations are not included.</i>			<i>The "Adjustments" column displays one-time and ongoing funding changes to existing or new programs, including the recommended compensation package. The "Ongoing Adjustments" and "One-Time Adjustments" sections show these in detail.</i>	
Highway Patrol	34,751,000	37,066,600	37,066,600	37,066,600		
Management Information	1,769,200	1,829,600	1,829,600	1,829,600		
Fire Marshal	2,888,700	3,101,500	3,101,500	3,076,100	33,000	3,109,100
Liquor Law Enforcement			1,004,200	968,400	26,300	994,700
<b>Total Budget</b>			<b>1,378,400</b>	<b>\$89,689,800</b>	<b>\$3,309,900</b>	<b>\$92,632,800</b>
		<i>The number of full-time equivalent positions (employees) is shown for reference.</i>				
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>-3.2%</b>
FTE Positions	--	1,097.8	1,097.8	1,097.8	(9.0)	1,088.8

# ONGOING, ONE-TIME, AND SUPPLEMENTAL TABLE GUIDES

These tables show in detail the governor's recommendations for base budget adjustments, ongoing program appropriations, one-time program increases, and supplemental appropriations. Ongoing program appropriations authorize expenditures on a recurring basis. One-time program increases are expenditures on a non-recurring or limited basis. Supplemental appropriations are adjustments to authorized expenditures in the current fiscal year only.

HIGHER EDUCATION		General Fund	School Funds	Other Funds	Total Funds
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 OPERATING BUDGET</b>					
<b>Beginning Base Budget</b>					
A1	FY 2000 appropriated budget	\$0	\$0	\$0	\$0
A2	Less one-time FY 2000 appropriations				
<b>Total FY 2001 Utah System of Higher Education Base Budget</b>					
<b>Ongoing</b>					
A9	Brief title				
<b>One-time Adjustments</b>					
A14	Brief title/description here	0	0	0	0
<b>Total FY 2001 Utah System of Higher Education Adjustments</b>					
<b>Total FY 2001 Utah System of Higher Education Operating Budget</b>					
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2000 OPERATING BUDGET</b>					
A19	Brief title/description here				
<b>Total FY 2000 Utah System of Higher Education Supplementals</b>					
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 CAPITAL BUDGET</b>					
<b>One-time Adjustments</b>					
A33	Brief title/description here				
<b>Total FY 2001 USHE Capital Adjustments</b>		0	0	0	0
<b>Total FY 2001 Utah System of Higher Education Capital Budget</b>		\$0	\$0	\$0	\$0
<b>UTAH EDUCATION NETWORK FY 2001 OPERATING BUDGET</b>					
<b>Beginning Base Budget</b>					
A43	FY 2000 appropriated budget	\$0			\$0
<b>Total FY 2001 Utah Education Network Base Budget</b>		0			0
<b>Total FY 2001 Utah Education Network Adjustments</b>		0			0
<b>Total FY 2001 Utah Education Network Operating Budget</b>		\$0			\$0
<b>HIGHER EDUCATION TOTALS</b>					
<b>FY 2001 Operating Base Budget</b>		\$0			\$0
<b>FY 2001 Operating Adjustments</b>		0			0
<b>FY 2001 Operating Recommendation</b>		0			0
<b>FY 2000 Operating Supplementals</b>		0	0	0	0
<b>FY 2001 Capital Base Budget</b>		0	0	0	0
<b>FY 2001 Capital Adjustments</b>		0	0	0	0
<b>FY 2001 Capital Supplementals</b>		0	0	0	0
<b>FY 2000 Capital Supplementals</b>		0	0	0	0

*These numbers are for ease in referencing.*

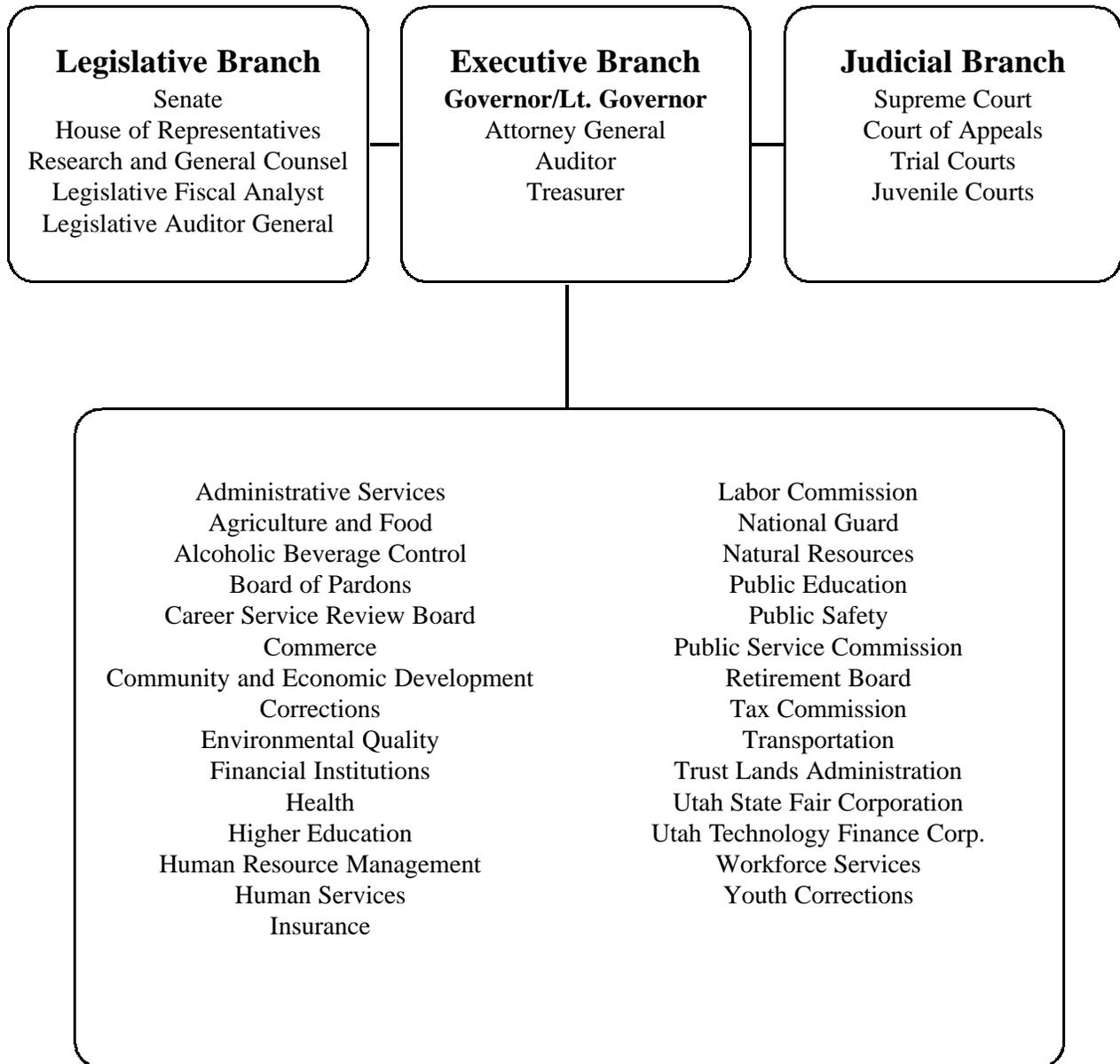
*Separate columns are provided for different funding sources. The Total Funds column represents combined funding from all sources.*

*Each agency has its own section for operating and capital base budget, ongoing, one-time, and supplemental adjustments. The total base budget, total adjustments, and total budget rows for each department match the base budget, adjustments, and total columns in the operating or capital tables.*

*Each item has a brief description.*

*The "Total" section combines base budget, adjustment, recommendation, and supplemental information for all agencies in a department section for easy comparison to operating and capital tables.*

# State of Utah Organizational Chart





## ADMINISTRATIVE SERVICES

*Administrative Services consists of the Department of Administrative Services and the Office of the Capitol Preservation Board. Their primary focus is to provide specialized agency support services, to eliminate unnecessary duplication of services within state government, and to preserve the state capitol building and grounds.*

### PROGRAMS

- **Administrative Services**

**Executive Director** provides financial management, strategic planning, and organizational development for the department.

**Administrative Rules** administers rule making procedures and publishes and distributes administrative rules.

**Archives** administers records management programs and serves as the depository for official state records.

**Debt Collection** oversees collection of state receivables and provides training and technical assistance to state agencies on collection-related matters.

**Facilities Construction and Management** oversees con-

struction and maintenance of facilities owned or occupied by the state.

**Finance** provides statewide accounting, processes payroll, and produces the state's annual financial report.

**Finance - Mandated - Judicial Conduct Commission** screens, investigates, and resolves complaints against Utah judges.

**Finance - Mandated - Other** provides accounting services for indigent defense programs, Year 2000 Programming activities, and land conservation funding.

**Fleet Services** assists state fleets in management issues and operates the central fleet as an internal service fund. Its appropriated budget includes fleet capitalization funding.

**Fuel Mitigation** oversees replacement of state-owned

underground fuel tanks and decontamination of state-owned fuel sites.

**Information Technology Services (ITS)** uses internal service funds to provide data processing and telecommunication services to state agencies. Appropriated budget includes geographic information services for public and private customers.

**Purchasing and General Services** procures goods and services and manages contracts for state agencies.

- **Office of the Capitol Preservation Board** provides strategic planning and direction to the Capitol Preservation Board's efforts to renovate the state capitol building and grounds. The office also oversees ongoing maintenance and preservation of all facilities on Capitol Hill.

**SELECTED DEPARTMENT GOALS**

• **Administrative Services**

Administratively centralize the entire state motor pool.

Develop internet and intranet infrastructure to support the governor's digital state initiative, including the implementation of electronic procurement.

Develop electronic fund transfer capabilities and place state data warehouse and payroll functions on the web.

• **Capitol Preservation Board**

Begin planning for restoration of the state capitol building.

Provide for the safety of the employees on Capitol Hill.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 63-69 and 100-102.*

**MAJOR BUDGET RECOMMENDATIONS**

- Recognize that efforts to preserve open space should be part of the base budget. The governor recommends restoring \$2,750,000 of General Fund to the base budget for the LeRay McAllister Critical Land Conservation Fund.

- Authorize ITS to spend up to \$1,000,000 for the Chief Information Officer's Information Technology (IT) Innovation Program to fund IT projects critical to Utah becoming a digital state.
- Provide \$1,661,500 from available capital resources to begin planning for restoration of the state capitol building and grounds.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

• **Administrative Services**

Funds for statewide Year 2000 Programming are nonlapsing. Any funds not used for Y2K mitigation are to be used for electronic commerce initiatives as approved and directed by the state Chief Information Officer and the executive director of the Department of Administrative Services.

• **Capitol Preservation Board**

Funds for the Office of the Capitol Preservation Board are nonlapsing.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

• **Administrative Services**

Funds for the Executive Director's Office are nonlapsing.

Funds for the Division of Administrative Rules are nonlapsing.

Funds for the Division of Archives are nonlapsing.

Funds for the Division of Facilities Construction and Management are nonlapsing.

Funds for the Office of State Debt Collection are nonlapsing.

Funds for the Division of Finance are nonlapsing.

Funds for the Judicial Conduct Commission are nonlapsing.

Funds for Post-Conviction Indigent Defense are nonlapsing.

Funds for the Division of Purchasing are nonlapsing.

Funds for Year 2000 Programming are nonlapsing.

Debt Collection shall pass on to the debtor all reasonable costs of collection including attorney fees, legal costs, and administrative costs unless inappropriate or prohibited by law.

The Tax Commission shall outsource all accounts over 24 months old which are not in litigation, under a payment agreement, assigned to a collector for active collection, or whose outsourcing would be

in violation of state or federal law. The Tax Commission shall report the results of its outsourcing efforts to Debt Collection.

Administrative Services internal service funds may add FTEs beyond the authorized level if it represents a benefit to the state and a decrease of

FTEs in the user agency. Total FTEs within state government shall not change with any shift of FTEs.

The Division of Facilities Construction and Management internal service fund may add FTEs beyond the authorized level if new facilities come on line or

maintenance agreements are requested. Any added FTEs will be reviewed and approved by the legislature at the next legislative session.

- **Capitol Preservation Board**

Funds for the Office of the Capitol Preservation Board are nonlapsing.

**ADMINISTRATIVE SERVICES**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			Total FY 2001
			Recommended FY 2000	Base FY 2001	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$25,018,100	\$23,851,900	\$23,443,800	\$23,412,400	\$477,300	\$23,889,700
School Funds	5,124,400	0	0	0	0	0
Transportation Fund	450,000	450,000	450,000	450,000	0	450,000
Federal Funds	0	150,000	150,000	75,000	0	75,000
Dedicated Credits	1,667,500	1,914,900	2,073,000	1,934,200	28,100	1,962,300
Restricted and Trust Funds	2,228,300	1,318,700	910,100	1,355,700	0	1,355,700
Transfers	989,800	(6,234,800)	(6,234,900)	(6,173,800)	16,400	(6,157,400)
Beginning Balances	3,065,100	8,483,700	8,483,700	245,000	0	245,000
Closing Balances	(8,483,700)	(245,000)	(245,000)	(318,000)	0	(318,000)
Lapsing Funds	(15,800)	0	0	0	0	0
<b>Total Financing</b>	<b>\$30,043,700</b>	<b>\$29,689,400</b>	<b>\$29,030,700</b>	<b>\$20,980,500</b>	<b>\$521,800</b>	<b>\$21,502,300</b>
<b>Programs</b>						
<b>Administrative Services</b>						
Executive Director	\$891,100	\$832,700	\$832,600	\$864,200	\$23,300	\$887,500
Fuel Mitigation	1,705,300	449,100	449,100	0	0	0
Administrative Rules	246,900	299,300	299,300	262,900	8,100	271,000
Archives	1,802,800	1,859,700	1,859,600	1,860,500	47,600	1,908,100
Debt Collection	172,600	220,100	220,100	178,800	5,700	184,500
DFCM						
Administration	3,053,000	3,037,500	3,036,300	3,026,600	92,100	3,118,700
Facilities Management	2,524,600	2,533,400	435,000	437,600	(135,100)	302,500
Finance						
Administration	10,257,900	10,768,300	10,213,700	9,209,100	192,200	9,401,300
Mandated - Judicial Conduct Com.	218,900	265,100	265,100	218,100	6,500	224,600
Mandated - Other <sup>(1)</sup>	6,085,300	6,762,600	6,432,600	45,000	0	45,000
Information Technology Services	1,779,400	1,314,700	1,314,700	1,096,200	0	1,096,200
Purchasing	1,305,900	1,346,900	1,346,000	1,334,800	40,400	1,375,200
<i>Subtotal Administrative Services</i>	<i>30,043,700</i>	<i>29,689,400</i>	<i>26,704,100</i>	<i>18,533,800</i>	<i>280,800</i>	<i>18,814,600</i>
<b>Capitol Preservation Board</b>						
Administration	0	0	2,326,600	2,446,700	241,000	2,687,700
<b>Total Budget</b>	<b>\$30,043,700</b>	<b>\$29,689,400</b>	<b>\$29,030,700</b>	<b>\$20,980,500</b>	<b>\$521,800</b>	<b>\$21,502,300</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(25.9%)</b>
FTE Positions	--	203.5	205.5	205.5	0.0	205.5
<i>(1) - Primarily Year 2000 Programming (Y2K) for statewide uses.</i>						

**ADMINISTRATIVE SERVICES****Capital Budget**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$46,186,900	\$34,058,300	\$34,058,300	\$14,430,000	\$1,373,800	\$15,803,800
School Funds	1,980,700	3,682,100	3,682,100	19,128,000	1,821,200	20,949,200
Federal Funds	3,505,800	3,662,500	3,662,500	0	4,144,800	4,144,800
Other Funds	0	300,000	300,000	0	1,791,500	1,791,500
<b>Total Financing</b>	<b>\$51,673,400</b>	<b>\$41,702,900</b>	<b>\$41,702,900</b>	<b>\$33,558,000</b>	<b>\$9,131,300</b>	<b>\$42,689,300</b>
<b>Projects</b>						
Statewide Capital Improvements	\$31,893,500	\$33,558,000	\$33,558,000	\$33,558,000	\$3,195,000	\$36,753,000
Statewide Capital Planning	40,000	50,000	50,000	0	0	0
Youth Corrections	7,321,500	4,432,400	4,432,400	0	0	0
Children's Special Health Care	7,100,000	0	0	0	0	0
Corrections Forensic Remodel	1,100,000	0	0	0	0	0
Vernal Courts	87,600	0	0	0	0	0
Ogden City - Defense Depot Land	500,000	0	0	0	0	0
Gunnison Prison/Sewer	3,505,800	0	0	0	0	0
Draper Prison Survey	125,000	0	0	0	0	0
Corrections - Draper 300 Beds	0	3,662,500	3,662,500	0	0	0
State Capitol Strategic Plan	0	0	0	0	1,661,500	1,661,500
Youth Corrections - Washington County	0	0	0	0	1,300,000	1,300,000
Workforce Services - Logan	0	0	0	0	197,000	197,000
Corrections - Privatized Transition Ctr.	0	0	0	0	2,777,800	2,777,800
<b>Total Budget</b>	<b>\$51,673,400</b>	<b>\$41,702,900</b>	<b>\$41,702,900</b>	<b>\$33,558,000</b>	<b>\$9,131,300</b>	<b>\$42,689,300</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>2.4%</b>

*Note - The capital projects are included in the Administrative Services budget because they are administered by the Division of Facilities Construction and Management, a division of the Department of Administrative Services.*

**ADMINISTRATIVE SERVICES**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADMINISTRATIVE SERVICES FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
A1	FY 2000 appropriated budget	\$0	\$150,000	\$2,033,000	\$1,318,700	\$1,384,300	\$28,737,900
A2	Less one-time FY 2000 appropriations	(2,800,000)	0	0	0	(300,000)	(3,100,000)
A3	Critical Land Conservation Fund restore to ongoing	2,750,000	0	0	0	(2,750,000)	0
A4	Personal services adjustments for fewer working days in FY 2001	(81,400)	0	(2,200)	0	0	(83,600)
A5	Adjustments in non-state funding levels	0	(75,000)	(251,000)	146,400	(4,135,800)	(4,315,400)
A6	Base reduction in ITS appropriated budget	(15,000)	0	0	0	0	(15,000)
A7	Base budget transfer to Capitol Preservation Board	(2,098,400)	0	0	0	0	(2,098,400)
	<i>Subtotal Beginning Base Budget - Administrative Services</i>	<i>21,607,100</i>	<i>75,000</i>	<i>1,779,800</i>	<i>1,465,100</i>	<i>(5,801,500)</i>	<i>19,125,500</i>
<b>Base Adjustments</b>							
A8	Internal service fund adjustments	(481,100)	0	(87,000)	(109,400)	2,600	(674,900)
A9	Market comparability adjustments	32,100	0	200	0	1,000	33,300
A10	Personal services adjustments	47,500	0	1,300	0	1,100	49,900
	<i>Subtotal Base Adjustments - Administrative Services</i>	<i>(401,500)</i>	<i>0</i>	<i>(85,500)</i>	<i>(109,400)</i>	<i>4,700</i>	<i>(591,700)</i>
	<b>Total FY 2001 Administrative Services Base Budget</b>	<b>21,205,600</b>	<b>75,000</b>	<b>1,694,300</b>	<b>1,355,700</b>	<b>(5,796,800)</b>	<b>18,533,800</b>
<b>Ongoing Adjustments</b>							
A11	Base budget transfer to Capitol Preservation Board	(197,800)	0	0	0	0	(197,800)
A12	Compensation package	395,300	0	7,600	0	16,400	419,300
	<i>Subtotal Ongoing Adjustments - Administrative Services</i>	<i>197,500</i>	<i>0</i>	<i>7,600</i>	<i>0</i>	<i>16,400</i>	<i>221,500</i>
<b>One-time Adjustments</b>							
A13	800 megahertz equipment	59,300	0	0	0	0	59,300
	<b>Total FY 2001 Administrative Services Adjustments</b>	<b>256,800</b>	<b>0</b>	<b>7,600</b>	<b>0</b>	<b>16,400</b>	<b>280,800</b>
	<b>Total FY 2001 Administrative Services Operating Budget</b>	<b>\$21,462,400</b>	<b>\$0</b>	<b>\$1,701,900</b>	<b>\$1,355,700</b>	<b>(\$5,780,400)</b>	<b>\$18,814,600</b>
<b>ADMINISTRATIVE SERVICES FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
A14	Internal service fund adjustments	(\$408,100)	\$0	(\$70,100)	(\$78,600)	(\$100)	(\$556,900)
A15	Return funds to Commerce for non-Y2K project	0	0	0	(330,000)	0	(330,000)
A16	Base budget transfer to Capitol Preservation Board	(2,098,400)	0	0	0	0	(2,098,400)
	<b>Total FY 2000 Administrative Services Supplementals</b>	<b>(\$2,506,500)</b>	<b>\$0</b>	<b>(\$70,100)</b>	<b>(\$408,600)</b>	<b>(\$100)</b>	<b>(\$2,985,300)</b>

**ADMINISTRATIVE SERVICES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADMINISTRATIVE SERVICES FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
A.17	FY 2000 appropriated budget	\$3,682,100	\$3,662,500	\$0	\$0	\$0	\$41,402,900
A.18	Less one-time FY 2000 appropriations	(500,300)	(3,662,500)	0	0	0	(7,844,900)
A.19	Shift funding sources to match historical capital funding allocation	19,128,000	0	0	0	0	0
	<b>Total FY 2001 Administrative Services Capital Base Budget</b>	<b>19,128,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>33,558,000</b>
<b>Ongoing Adjustments</b>							
A.20	Increase in capital improvement funds mandated by statute	1,821,200	0	0	0	0	3,195,000
<b>One-time Adjustments</b>							
A.21	Workforce Services Logan property purchase	0	197,000	0	0	0	197,000
A.22	Corrections privatized transition center	0	2,777,800	0	0	0	2,777,800
A.23	State Capital Strategic Plan	0	0	0	0	1,661,500	1,661,500
A.24	Youth Corrections - Washington County	0	1,170,000	0	0	130,000	1,300,000
	<i>Subtotal One-time Capital Adjustments - Admin. Services</i>	<i>0</i>	<i>4,144,800</i>	<i>0</i>	<i>0</i>	<i>1,791,500</i>	<i>5,936,300</i>
	<b>Total FY 2001 Administrative Services Capital Adjustments</b>	<b>1,821,200</b>	<b>4,144,800</b>	<b>0</b>	<b>0</b>	<b>1,791,500</b>	<b>9,131,300</b>
	<b>Total FY 2001 Administrative Services Capital Budget</b>	<b>\$15,803,800</b>	<b>\$4,144,800</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,791,500</b>	<b>\$42,689,300</b>
<b>CAPITOL PRESERVATION BOARD FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
A.25	FY 2000 appropriated budget	\$0	\$0	\$0	\$0	\$0	\$0
A.26	Base budget transfer from Administrative Services	2,098,400	0	0	228,200	0	2,326,600
	<i>Subtotal Beginning Base Budget - Capitol Preserv. Board</i>	<i>2,098,400</i>	<i>0</i>	<i>0</i>	<i>228,200</i>	<i>0</i>	<i>2,326,600</i>
<b>Base Adjustments</b>							
A.27	Internal service fund adjustments	107,900	0	0	11,700	0	119,600
A.28	Personal services adjustments	500	0	0	0	0	500
	<i>Subtotal Base Adjustments - Capitol Preserv. Board</i>	<i>108,400</i>	<i>0</i>	<i>0</i>	<i>11,700</i>	<i>0</i>	<i>120,100</i>
	<b>Total FY 2001 Capitol Preservation Board Base Budget</b>	<b>2,206,800</b>	<b>0</b>	<b>0</b>	<b>239,900</b>	<b>0</b>	<b>2,446,700</b>

**ADMINISTRATIVE SERVICES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Ongoing Adjustments</b>							
A29 Base budget transfer from Administrative Services	197,800	0	0	20,000	0	0	217,800
A30 Permanent funding for administrative assistant	18,300	0	0	0	0	0	18,300
A31 Compensation package	4,400	0	0	500	0	0	4,900
<i>Subtotal Ongoing Adjustments - Capitol Preserv. Board</i>	<i>220,500</i>	<i>0</i>	<i>0</i>	<i>20,500</i>	<i>0</i>	<i>0</i>	<i>241,000</i>
<b>Total FY 2001 Capitol Preservation Board Adjustments</b>	<b>220,500</b>	<b>0</b>	<b>0</b>	<b>20,500</b>	<b>0</b>	<b>0</b>	<b>241,000</b>
<b>Total FY 2001 Capitol Preservation Board Operating Budget</b>	<b>\$2,427,300</b>	<b>\$0</b>	<b>\$0</b>	<b>\$260,400</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,687,700</b>
<b>CAPITOL PRESERVATION BOARD FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
A32 Base budget transfer from Administrative Services	\$2,098,400	\$0	\$0	\$228,200	\$0	\$0	\$2,326,600
<b>Total FY 2000 Capitol Preservation Board Supplementals</b>	<b>\$2,098,400</b>	<b>\$0</b>	<b>\$0</b>	<b>\$228,200</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,326,600</b>
<b>ADMINISTRATIVE SERVICES TOTALS</b>							
FY 2001 Operating Base Budget	\$23,412,400	\$0	\$75,000	\$1,934,200	\$1,355,700	(\$5,796,800)	\$20,980,500
FY 2001 Operating Adjustments	477,300	0	0	28,100	0	16,400	521,800
FY 2001 Operating Recommendation	23,889,700	0	75,000	1,962,300	1,355,700	(5,780,400)	21,502,300
FY 2000 Operating Supplementals	(408,100)	0	0	158,100	(408,600)	(100)	(658,700)
FY 2001 Capital Base Budget	14,430,000	19,128,000	0	0	0	0	33,558,000
FY 2001 Capital Adjustments	1,373,800	1,821,200	4,144,800	0	0	1,791,500	9,131,300
FY 2001 Capital Recommendation	15,803,800	20,949,200	4,144,800	0	0	1,791,500	42,689,300



## COMMERCE AND REVENUE

*Commerce and Revenue consists of departments that encourage employment, provide temporary assistance, collect taxes, and maintain an appropriate balance between business development and regulation.*

### DEPARTMENTS

- **Alcoholic Beverage Control** regulates the sale and use of alcoholic beverages in Utah.
- **Commerce** administers state laws regulating professional occupations and business practices.
- **Financial Institutions** examines and regulates all state-chartered financial institutions.
- **Insurance** regulates insurance companies and individual agents to assure equitable and competitive business practices.
- **Labor Commission** provides regulatory and educational services in the areas of workers' compensation, workplace safety, and discrimination.
- **Public Service Commission** sets utility service rates and regulates public utility companies.
- **Tax Commission** collects revenue for state and local governments and administers tax and related motor vehicle laws.
- **Workforce Services** provides coordinated employment-related and temporary public assistance and training services for workers, employers, and communities.
- **Labor Commission** — Assure a safe, healthful, fair, nondiscriminatory work environment; assure fair housing practices; and promote the general welfare of Utah's employees and employers without needless interference.
- **Public Service Commission** — Ensure delivery of efficient, reliable, high quality, reasonably priced utility services for all Utah customers.
- **Tax Commission** — Effectively communicate and build working relationships with all customers and deliver quality products and services.
- **Workforce Services** — Provide quality, accessible, and comprehensive employment-related and supportive services responsive to the needs of employers, job seekers, and the community.

### SELECTED DEPARTMENT GOALS

- **Commerce** — Protect the public by ensuring fair commerce and professional practices.
- **Financial Institutions** — Promote the availability of sound financial services by chartering, regulating, and supervising financial institutions under its jurisdiction.
- **Insurance** — Protect the public by reasonably and fairly regulating the insurance industry, fostering a competitive insurance

*A comprehensive list of goals and performance measurements can be found in the Utah Tomorrow Strategic Plan, 1998 Annual Report, pages 58-62, 63-69, 77-90, and 98-102.*

## MAJOR BUDGET RECOMMENDATIONS

- **Commerce** — Reallocate \$330,000 in restricted funds in FY 2000 from Finance - Mandated Year 2000 Programming to Commerce for the completion of a new integrated computer system and a one-stop service center.
- **Financial Institutions** — Fund Senate Bill 57, *Regulation of Check Cashing*, passed in the 1999 legislature, with restricted funds of \$55,300 in FY 2000 and \$54,800 in FY 2001.
- **Insurance** — Appropriate \$143,900 in ongoing General Fund for two market conduct examiners and one financial examiner.
- **Insurance** — Appropriate \$388,500 in supplemental General Fund and \$267,500 in one-time General Fund for the acquisition of COSMOS, a new e-commerce computer system.
- **Workforce Services** — Provide a supplemental General Fund appropriation of \$1,600,000 and an ongoing General Fund appropriation of \$1,600,000 for

the General Assistance program.

- **Workforce Services** — Fund the Federal Food Stamp match rate change with a General Fund supplemental appropriation of \$1,531,000 and a General Fund ongoing appropriation of \$940,000. This increase addresses a change in federal law that requires all states to pay additional Food Stamp administration costs.
- **Workforce Services** — Provide an ongoing General Fund appropriation of \$829,000 for employment training services.
- **Workforce Services** — Utilize federal funds to expand training and employment programs for Temporary Assistance for Needy Families (TANF) recipients in the amounts of \$5,000,000 in FY 2000 and \$2,000,000 ongoing and \$3,000,000 one-time in FY 2001.
- **Workforce Services** — Provide an ongoing appropriation of \$371,700 General Fund and \$944,700 in other funds for the reclassification of employment counselors.
- **Alcoholic Beverage Control** — Fund computer hardware, software, equipment, and electronic data interchange consultants with restricted fund amounts of \$290,000

one-time and \$125,000 ongoing.

- **Alcoholic Beverage Control** — Provide a 4.0 percent funding adjustment for Type III Packaging Agencies in the amount of \$39,600 restricted funds.

## EFFECTIVE BASE BUDGET CUTS

### Tax Commission

- Fund the Tax Commission's computer maintenance fee requirements from existing resources in the amount of \$461,000 for both FY 2000 and FY 2001.

### Workforce Services

- Fund child care needs with federal TANF funds in the amount of \$3,450,000.

## FY 2000 PROPOSED LEGISLATIVE INTENT

### Commerce

Unused Committee on Consumer Services funds be transferred to the Committee's Professional and Technical Services fund and be nonlapsing up to \$500,000.

Funds for the Division of Public Utilities - Professional and Technical Services are nonlapsing.

**Insurance**

Unexpended year-end balances be carried forward to purchase hardware, software, and training for e-commerce development and implementation.

development and implementation.

Funds for Bail Bond Surety are nonlapsing.

Funds for the Title Insurance Program are nonlapsing.

Funds for License Plate Production are nonlapsing.

The Tax Commission shall outsource all accounts over 24-months old that are not in litigation, under a payment agreement, assigned to a collector for active collection, or whose outsourcing would be in violation of state or federal law. The Tax Commission shall report the results of its outsourcing to Debt Collection.

Up to \$6,600,000 of all bad debts collected from outsourcing should be appropriated to the Tax Commission to complete UTAX.

The Tax Commission should track additional revenues resulting from UTAX and report the results quarterly to the Legislative Fiscal Analyst, the Governor's Office of Planning and Budget, and the Division of Finance.

**Tax Commission**

The Tax Commission may carry forward unexpended year-end balances for costs directly related to UTAX and other computer-related needs.

**Labor Commission**

Fees collected from sponsoring and holding seminars are nonlapsing, allowing the agency to continue offering yearly training seminars using funds collected from fees.

Funds remaining at the end of the fiscal year are nonlapsing for the purchase of computer equipment.

**Public Service Commission**

Funds for Research and Analysis are nonlapsing.

Funds for Speech and Hearing Impaired are nonlapsing.

Funds for Universal Public Telecommunications Service Support Fund are nonlapsing.

Funds for Lifeline Telecommunications Support Fund are nonlapsing.

**Workforce Services**

All funds appropriated to Workforce Services are nonlapsing.

Funds in the amount of \$876,888 distributed from the Unemployment Trust Fund as a Reed Act Distribution be allocated to fund Unemployment Insurance Administration and be obligated within two years from the date of appropriation by the Legislature.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Commerce**

Unused Committee on Consumer Services funds be transferred to the Committee's Professional and Technical Services fund and be nonlapsing up to \$500,000.

Funds for the Division of Public Utilities - Professional and Technical Services are nonlapsing.

Funds for Real Estate Education are nonlapsing.

**Insurance**

Funds for the Comprehensive Health Insurance Pool (HIP) are nonlapsing.

Unexpended year-end balances be carried forward to purchase hardware, software, and training for e-commerce

**Tax Commission**

The Tax Commission may carry forward unexpended year-end balances for costs directly related to UTAX and other computer-related needs.

## COMMERCE AND REVENUE

### Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$87,015,400	\$90,043,000	\$91,878,100	\$89,556,400	\$4,980,400	\$94,536,800
School Funds	16,147,400	16,365,400	16,238,300	16,111,800	465,000	16,576,800
Transportation Fund	4,857,400	4,857,400	4,857,400	4,857,400	0	4,857,400
Federal Funds	196,423,800	188,999,400	192,669,600	191,471,600	8,085,300	199,556,900
Dedicated Credits	12,433,700	12,704,000	12,673,000	12,836,100	150,300	12,986,400
Restricted and Trust Funds	54,081,400	48,926,300	49,219,000	49,483,400	1,558,100	51,041,500
Transfers	4,144,900	0	0	0	0	0
Other Funds	0	9,139,100	9,139,000	9,157,000	41,000	9,198,000
Pass-through Funds	2,675,400	0	0	0	0	0
Beginning Balances	19,622,600	31,377,600	31,377,600	27,114,400	0	27,114,400
Closing Balances	(31,377,600)	(27,114,400)	(27,114,400)	(24,871,700)	0	(24,871,700)
Lapsing Funds	(1,397,000)	0	0	0	0	0
<b>Total Financing</b>	<b>\$364,627,400</b>	<b>\$375,297,800</b>	<b>\$380,937,600</b>	<b>\$375,716,400</b>	<b>\$15,280,100</b>	<b>\$390,996,500</b>
<b>Programs</b>						
Alcoholic Beverage Control	\$13,758,900	\$14,091,100	\$14,088,400	\$13,990,500	\$866,700	\$14,857,200
Commerce	13,889,600	15,779,400	16,083,300	15,205,600	400,400	15,606,000
Financial Institutions	2,618,700	2,770,200	2,825,400	2,740,000	137,500	2,877,500
Insurance	4,119,000	4,822,300	5,206,200	4,796,000	552,800	5,348,800
Health Insurance Pool	5,229,800	8,750,300	7,750,300	9,409,400	(1,000,000)	8,409,400
Labor Commission	7,811,000	8,462,300	8,434,700	8,351,700	227,100	8,578,800
Public Service Commission	1,384,300	1,456,700	1,456,600	1,462,200	45,400	1,507,600
Hearing Impaired Fund	1,827,500	2,307,500	2,307,500	2,307,500	0	2,307,500
Universal Trust Fund	5,193,900	5,193,900	5,193,900	5,193,800	0	5,193,800
Lifeline Trust Fund	5,400	1,102,300	1,102,300	1,250,000	0	1,250,000
Tax Commission <sup>(1)</sup>	53,144,100	61,036,600	60,542,600	59,142,700	1,428,400	60,571,100
Workforce Services	255,645,200	249,525,200	255,946,400	251,867,000	12,621,800	264,488,800
<b>Total Budget</b>	<b>\$364,627,400</b>	<b>\$375,297,800</b>	<b>\$380,937,600</b>	<b>\$375,716,400</b>	<b>\$15,280,100</b>	<b>\$390,996,500</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>2.6%</b>
FTE Positions	--	3,489.7	3,488.7	3,489.9	4.0	3,493.9
<i>(1) - Tax Commission amounts do not include authorized bonding amounts for computer systems as follows:</i>						
FY 98 Bonds	8,500,000					
FY 99 Bonds	15,650,000					
FY 00 Bonds (unissued)	7,000,000					

COMMERCE AND REVENUE

ALCOHOLIC BEVERAGE CONTROL FY 2001 OPERATING BUDGET							
	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Beginning Base Budget</b>							
B1	\$0	\$0	\$0	\$0	\$13,991,100	\$0	\$13,991,100
B2	0	0	0	0	(100,000)	0	(100,000)
B3	0	0	0	0	(61,000)	0	(61,000)
B4	0	0	0	0	400	0	400
	0	0	0	0	13,830,500	0	13,830,500
<i>Subtotal Beginning Base Budget - Alcoholic Beverage Control</i>							
<b>Base Adjustments</b>							
B5	0	0	0	0	(2,300)	0	(2,300)
B6	0	0	0	0	107,200	0	107,200
B7	0	0	0	0	55,100	0	55,100
	0	0	0	0	160,000	0	160,000
<i>Subtotal Base Adjustments - Alcoholic Beverage Control</i>							
	0	0	0	0	13,990,500	0	13,990,500
<b>Total FY 2001 Alcoholic Beverage Control Base Budget</b>							
<b>Ongoing Adjustments</b>							
B8	0	0	0	0	40,000	0	40,000
B9	0	0	0	0	20,000	0	20,000
B10	0	0	0	0	25,000	0	25,000
B11	0	0	0	0	40,000	0	40,000
B12	0	0	0	0	31,000	0	31,000
B13	0	0	0	0	39,600	0	39,600
B14	0	0	0	0	268,100	0	268,100
	0	0	0	0	463,700	0	463,700
<i>Subtotal Ongoing Adjustments - Alcoholic Beverage Control</i>							
<b>One-time Adjustments</b>							
B15	0	0	0	0	85,000	0	85,000
B16	0	0	0	0	28,000	0	28,000
B17	0	0	0	0	290,000	0	290,000
	0	0	0	0	403,000	0	403,000
<i>Subtotal One-time Adjustments - Alcoholic Beverage Control</i>							
	0	0	0	0	866,700	0	866,700
<b>Total FY 2001 Alcoholic Beverage Control Adjustments</b>							
<b>Total FY 2001 Alcoholic Beverage Control Operating Budget</b>							
	\$0	\$0	\$0	\$0	\$14,857,200	\$0	\$14,857,200

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ALCOHOLIC BEVERAGE CONTROL FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B18 Internal service fund adjustments	\$0	\$0	\$0	\$0	(\$2,700)	\$0	(\$2,700)
<b>Total FY 2000 Alcoholic Beverage Control Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,700)</b>	<b>\$0</b>	<b>(\$2,700)</b>
<b>COMMERCE FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B19 FY 2000 appropriated budget	\$33,000	\$0	\$138,000	\$289,000	\$14,672,600	\$214,500	\$15,349,100
B20 Less one-time FY 2000 appropriations	(35,000)	0	0	0	(100,000)	0	(135,000)
B21 Personal services adjustments for fewer working days in FY 2001	0	0	0	(800)	(75,800)	0	(74,600)
B22 Adjustments in non-state funding levels	0	0	2,000	17,600	0	(61,400)	(41,800)
<i>Subtotal Beginning Base Budget - Commerce</i>	0	0	140,000	305,800	14,498,800	153,100	15,097,700
<b>Base Adjustments</b>							
B23 Internal service fund adjustments	0	0	(300)	200	100	(100)	(100)
B24 Market comparability adjustments	0	0	100	100	40,700	0	40,900
B25 Personal services adjustments	0	0	200	300	66,600	0	67,100
<i>Subtotal Base Adjustments - Commerce</i>	0	0	0	600	107,400	(100)	107,900
<b>Total FY 2001 Commerce Base Budget</b>	<b>0</b>	<b>0</b>	<b>140,000</b>	<b>306,400</b>	<b>14,606,200</b>	<b>153,000</b>	<b>15,205,600</b>
<b>Ongoing Adjustments</b>							
B26 Compensation package	0	0	5,700	900	393,800	0	400,400
<b>Total FY 2001 Commerce Adjustments</b>	<b>0</b>	<b>0</b>	<b>5,700</b>	<b>900</b>	<b>393,800</b>	<b>0</b>	<b>400,400</b>
<b>Total FY 2001 Commerce Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$145,700</b>	<b>\$307,300</b>	<b>\$15,000,000</b>	<b>\$153,000</b>	<b>\$15,606,000</b>
<b>COMMERCE FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B27 Internal service fund adjustments	\$0	\$0	(\$300)	(\$900)	(\$24,800)	(\$100)	(\$26,100)
B28 Reallocation of Y2K funds	0	0	0	0	330,000	0	330,000
<b>Total FY 2000 Commerce Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$300)</b>	<b>(\$900)</b>	<b>\$305,200</b>	<b>(\$100)</b>	<b>\$303,900</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>FINANCIAL INSTITUTIONS FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B29	\$0	\$0	\$0	\$0	\$2,770,200	\$0	\$2,770,200
B30	0	0	0	0	(15,600)	0	(15,600)
	0	0	0	0	2,754,600	0	2,754,600
	<i>Subtotal Beginning Base Budget - Financial Institutions</i>						
<b>Base Adjustments</b>							
B31	0	0	0	0	(400)	0	(400)
B32	0	0	0	0	4,800	0	4,800
B33	0	0	0	0	12,500	0	12,500
B34	0	0	0	0	(31,500)	0	(31,500)
	0	0	0	0	(14,600)	0	(14,600)
	<i>Subtotal Base Adjustments - Financial Institutions</i>						
<b>Total FY 2001 Financial Institutions Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,740,000</b>	<b>0</b>	<b>2,740,000</b>
<b>Ongoing Adjustments</b>							
B35	0	0	0	0	54,800	0	54,800
B36	0	0	0	0	82,700	0	82,700
	0	0	0	0	137,500	0	137,500
	<i>Subtotal Ongoing Adjustments - Financial Institutions</i>						
<b>Total FY 2001 Financial Institutions Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>137,500</b>	<b>0</b>	<b>137,500</b>
<b>Total FY 2001 Financial Institutions Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,877,500</b>	<b>\$0</b>	<b>\$2,877,500</b>
<b>FINANCIAL INSTITUTIONS FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B37	\$0	\$0	\$0	\$0	(\$100)	\$0	(\$100)
B38	0	0	0	0	55,300	0	55,300
<b>Total FY 2000 Financial Institutions Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$55,200</b>	<b>\$0</b>	<b>\$55,200</b>
<b>INSURANCE FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B39	\$3,756,000	\$0	\$0	\$914,100	\$15,000	(\$62,200)	\$4,622,900
B40	(20,800)	0	0	(4,000)	0	0	(24,800)
B41	0	0	0	(34,700)	0	207,200	172,500
	3,735,200	0	0	875,400	15,000	145,000	4,770,600
	<i>Subtotal Beginning Base Budget - Insurance</i>						

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
B-42	600	0	0	(4,800)	0	0	(4,200)
B-43	7,900	0	0	0	0	0	7,900
B-44	18,900	0	0	2,800	0	0	21,700
	<i>Subtotal Base Adjustments - Insurance</i>	<i>27,400</i>	<i>0</i>	<i>(2,000)</i>	<i>0</i>	<i>0</i>	<i>25,400</i>
<b>Total FY 2001 Insurance Base Budget</b>	<b>3,762,600</b>	<b>0</b>	<b>0</b>	<b>873,400</b>	<b>15,000</b>	<b>145,000</b>	<b>4,796,000</b>
<b>Ongoing Adjustments</b>							
B-45	98,600	0	0	0	0	0	98,600
B-46	45,300	0	0	0	0	0	45,300
B-47	121,100	0	0	20,300	0	0	141,400
	<i>Subtotal Ongoing Adjustments - Insurance</i>	<i>265,000</i>	<i>0</i>	<i>20,300</i>	<i>0</i>	<i>0</i>	<i>285,300</i>
<b>One-time Adjustments</b>							
B-48	267,500	0	0	0	0	0	267,500
	COSMOS computer system						
<b>Total FY 2001 Insurance Adjustments</b>	<b>532,500</b>	<b>0</b>	<b>0</b>	<b>20,300</b>	<b>0</b>	<b>0</b>	<b>552,800</b>
<b>Total FY 2001 Insurance Operating Budget</b>	<b>\$4,295,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$893,700</b>	<b>\$15,000</b>	<b>\$145,000</b>	<b>\$5,348,800</b>
<b>INSURANCE FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B-49	(\$200)	0	0	(\$4,400)	0	0	(\$4,600)
B-50	388,500	0	0	0	0	0	388,500
	COSMOS computer system						
<b>Total FY 2000 Insurance Supplementals</b>	<b>\$388,300</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$4,400)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$383,900</b>
<b>LABOR COMMISSION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B-51	\$4,747,400	0	\$2,144,900	0	\$1,547,900	0	\$8,440,200
B-52	(\$8,000)	0	(9,600)	0	(2,400)	0	(50,000)
B-53	0	0	3,100	0	(82,200)	0	(79,100)
	<i>Adjustments in non-state funding levels</i>						
	<i>Subtotal Beginning Base Budget - Labor Commission</i>	<i>4,709,400</i>	<i>2,138,400</i>	<i>0</i>	<i>1,463,300</i>	<i>0</i>	<i>8,311,100</i>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
B54	(15,400)	0	(9,700)	0	(2,100)	0	(27,200)
B55	35,100	0	0	0	0	0	35,100
B56	23,100	0	5,700	0	3,900	0	32,700
	<i>Subtotal Base Adjustments - Labor Commission</i>	<i>0</i>	<i>(4,000)</i>	<i>0</i>	<i>1,800</i>	<i>0</i>	<i>40,600</i>
	<b>Total FY 2001 Labor Commission Base Budget</b>	<b>0</b>	<b>2,134,400</b>	<b>0</b>	<b>1,465,100</b>	<b>0</b>	<b>8,351,700</b>
<b>Ongoing Adjustments</b>							
B57	169,300	0	43,000	0	14,800	0	227,100
	<b>Total FY 2001 Labor Commission Adjustments</b>	<b>0</b>	<b>43,000</b>	<b>0</b>	<b>14,800</b>	<b>0</b>	<b>227,100</b>
	<b>Total FY 2001 Labor Commission Operating Budget</b>	<b>\$0</b>	<b>\$2,177,400</b>	<b>\$0</b>	<b>\$1,479,900</b>	<b>\$0</b>	<b>\$8,578,800</b>
<b>LABOR COMMISSION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B58	(\$16,400)	\$0	(\$8,900)	\$0	(\$2,300)	\$0	(\$27,600)
	<b>Total FY 2000 Labor Commission Supplementals</b>	<b>\$0</b>	<b>(\$8,900)</b>	<b>\$0</b>	<b>(\$2,300)</b>	<b>\$0</b>	<b>(\$27,600)</b>
<b>PUBLIC SERVICE COMMISSION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B59	\$1,393,700	\$0	\$0	\$61,200	\$0	\$50,000	\$1,504,900
B60	(8,400)	0	0	0	0	0	(8,400)
B61	0	0	0	1,800	0	(50,000)	(48,200)
	<i>Subtotal Beginning Base Budget - Public Service Commission</i>	<i>1,385,300</i>	<i>0</i>	<i>63,000</i>	<i>0</i>	<i>0</i>	<i>1,448,300</i>
<b>Base Adjustments</b>							
B62	500	0	0	0	0	0	500
B63	12,700	0	0	0	0	0	12,700
B64	700	0	0	0	0	0	700
	<i>Subtotal Base Adjustments - Public Service Commission</i>	<i>13,900</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>13,900</i>
	<b>Total FY 2001 Public Service Commission Base Budget</b>	<b>1,399,200</b>	<b>0</b>	<b>63,000</b>	<b>0</b>	<b>0</b>	<b>1,462,200</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Ongoing Adjustments</b>							
B65 Compensation package	45,400	0	0	0	0	0	45,400
<b>Total FY 2001 Public Service Commission Adjustments</b>	<b>45,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>45,400</b>
<b>Total FY 2001 Public Service Commission Operating Budget</b>	<b>\$1,444,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$63,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,507,600</b>
<b>PUBLIC SERVICE COMMISSION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B66 Internal service fund adjustments	(\$100)	\$0	\$0	\$0	\$0	\$0	(\$100)
<b>Total FY 2000 Public Service Commission Supplementals</b>	<b>(\$100)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$100)</b>
<b>TAX COMMISSION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B67 FY 2000 appropriated budget	\$24,509,600	\$16,365,400	\$412,000	\$6,765,200	\$4,886,900	\$5,866,000	\$58,805,100
B68 Less one-time FY 2000 appropriations		(100,000)	0	0	(10,100)	(180,000)	(290,100)
B69 Personal services adjustments for fewer working days in FY 2001	(125,800)	(87,600)	0	(18,400)	(23,800)	0	(255,600)
B70 Adjustments in non-state funding levels	0	0	107,500	(423,900)	0	1,481,800	1,165,400
<i>Subtotal Beginning Base Budget - Tax Commission</i>	<i>24,383,800</i>	<i>16,177,800</i>	<i>519,500</i>	<i>6,322,900</i>	<i>4,853,600</i>	<i>7,167,800</i>	<i>59,424,800</i>
<b>Base Adjustments</b>							
B71 Internal service fund adjustments	(396,100)	(212,300)	0	4,900	(96,900)	0	(700,400)
B72 Market comparability adjustments	77,400	66,100	0	2,200	19,000	0	164,700
B73 Personal services adjustments	135,300	80,200	0	7,300	30,800	0	253,600
<i>Subtotal Base Adjustments - Tax Commission</i>	<i>(183,400)</i>	<i>(66,000)</i>	<i>0</i>	<i>14,400</i>	<i>(47,100)</i>	<i>0</i>	<i>(282,100)</i>
<b>Total FY 2001 Tax Commission Base Budget</b>	<b>24,200,400</b>	<b>16,111,800</b>	<b>519,500</b>	<b>6,337,300</b>	<b>4,805,900</b>	<b>7,167,800</b>	<b>59,142,700</b>
<b>Ongoing Adjustments</b>							
B74 800 megahertz	9,200	0	0	0	0	0	9,200
B75 Compensation package	745,500	465,000	0	23,000	145,300	0	1,378,800
<i>Subtotal Ongoing Adjustments - Tax Commission</i>	<i>754,700</i>	<i>465,000</i>	<i>0</i>	<i>23,000</i>	<i>145,300</i>	<i>0</i>	<i>1,388,000</i>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>One-time Adjustments</b>							
B76	800 megahertz equipment	40,400	0	0	0	0	40,400
<b>Total FY 2001 Tax Commission Adjustments</b>		<b>795,100</b>	<b>465,000</b>	<b>0</b>	<b>23,000</b>	<b>0</b>	<b>1,428,400</b>
<b>Total FY 2001 Tax Commission Operating Budget</b>		<b>\$24,995,500</b>	<b>\$16,576,800</b>	<b>\$519,500</b>	<b>\$6,360,300</b>	<b>\$7,167,800</b>	<b>\$60,571,100</b>
<b>TAX COMMISSION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B77	Internal service fund adjustments	(\$301,600)	(\$127,100)	\$0	(\$2,600)	(\$62,700)	(\$494,000)
<b>Total FY 2000 Tax Commission Supplementals</b>		<b>(\$301,600)</b>	<b>(\$127,100)</b>	<b>\$0</b>	<b>(\$2,600)</b>	<b>(\$62,700)</b>	<b>(\$494,000)</b>
<b>WORKFORCE SERVICES FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B78	FY 2000 appropriated budget	\$51,466,200	\$0	\$194,834,500	\$2,790,000	\$0	\$4,038,700
B79	Personal services adjustments for fewer working days in FY 2001	(110,000)	0	(472,000)	0	0	0
B80	Adjustments in non-state funding levels	0	0	(4,345,600)	(52,200)	0	5,083,800
	<i>Subtotal Beginning Base Budget - Workforce Services</i>	<i>\$1,336,200</i>	<i>0</i>	<i>190,016,900</i>	<i>2,737,800</i>	<i>0</i>	<i>9,122,500</i>
<b>Base Adjustments</b>							
B81	Internal service fund adjustments	(203,400)	0	(1,814,700)	(12,700)	0	(2,030,800)
B82	Market comparability adjustments	29,200	0	92,200	3,100	0	3,400
B83	Personal services adjustments	124,900	0	383,300	13,500	0	14,800
	<i>Subtotal Base Adjustments - Workforce Services</i>	<i>(49,300)</i>	<i>0</i>	<i>(1,339,200)</i>	<i>3,900</i>	<i>0</i>	<i>18,200</i>
<b>Total FY 2001 Workforce Services Base Budget</b>		<b>\$1,306,900</b>	<b>0</b>	<b>188,677,700</b>	<b>2,741,700</b>	<b>0</b>	<b>9,140,700</b>
<b>Ongoing Adjustments</b>							
B84	General Assistance	1,600,000	0	0	0	0	1,600,000
B85	Federal Food Stamp match rate change	940,000	0	0	0	0	940,000
B86	Universal employment services	829,000	0	0	0	0	829,000
B87	Employer specialized supervision contracts	0	0	1,000,000	0	0	1,000,000
B88	Intensive case management contracts	0	0	1,000,000	0	0	1,000,000
B89	Reclassification of employment counselors	371,700	0	869,200	34,500	0	41,000
B90	Compensation package	697,400	0	2,167,400	71,600	0	2,936,400
	<i>Subtotal Ongoing Adjustments - Workforce Services</i>	<i>4,438,100</i>	<i>0</i>	<i>5,036,600</i>	<i>106,100</i>	<i>0</i>	<i>9,621,800</i>

COMMERCE AND REVENUE - CONTINUED

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>One-time Adjustments</b>							
B91	Employment training for TANF eligible individuals	0	0	3,000,000	0	0	3,000,000
<b>Total FY 2001 Workforce Services Adjustments</b>		<b>4,438,100</b>	<b>0</b>	<b>8,036,600</b>	<b>106,100</b>	<b>0</b>	<b>12,621,800</b>
<b>Total FY 2001 Workforce Services Operating Budget</b>		<b>\$85,745,000</b>	<b>\$0</b>	<b>\$196,714,300</b>	<b>\$2,847,800</b>	<b>\$0</b>	<b>\$264,488,800</b>
<b>WORKFORCE SERVICES FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B92	Internal service fund adjustments (\$366,100)	\$0	0	(\$1,320,600)	(\$23,100)	\$0	(\$1,709,800)
B93	General Assistance	1,600,000	0	0	0	0	1,600,000
B94	Federal Food Stamp match rate change	1,531,000	0	0	0	0	1,531,000
B95	Employer specialized supervision contracts	0	0	1,000,000	0	0	1,000,000
B96	Intensive case management contracts	0	0	1,000,000	0	0	1,000,000
B97	Employment training for TANF eligible individuals	0	0	3,000,000	0	0	3,000,000
<b>Total FY 2000 Workforce Services Supplementals</b>		<b>\$2,764,900</b>	<b>\$0</b>	<b>\$3,679,400</b>	<b>(\$23,100)</b>	<b>\$0</b>	<b>\$6,421,200</b>
<b>COMPREHENSIVE HEALTH INSURANCE POOL FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B98	FY 2000 appropriated budget	\$4,135,100	\$0	\$0	\$0	\$5,390,000	\$7,909,400
B99	Adjustments in non-state funding levels	0	0	0	0	(201,000)	1,500,000
	<i>Subtotal Beginning Base Budget - Comp. Health Ins. Pool</i>	<i>4,135,100</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>5,189,000</i>	<i>9,409,400</i>
<b>Total FY 2001 Comp. Health Insurance Pool Base Budget</b>		<b>4,135,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,189,000</b>	<b>9,409,400</b>
<b>One-time Adjustments</b>							
B100	Use of excess base funding	(1,000,000)	0	0	0	0	(1,000,000)
<b>Total FY 2001 Comp. Health Insurance Pool Adjustments</b>		<b>(1,000,000)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(1,000,000)</b>
<b>Total FY 2001 Comp. Health Insurance Pool Operating Budget</b>		<b>\$3,135,100</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,189,000</b>	<b>\$85,300</b>	<b>\$8,409,400</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>COMPREHENSIVE HEALTH INSURANCE POOL FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
B101 Use of excess base funding	(\$1,000,000)	\$0	\$0	\$0	\$0	\$0	(\$1,000,000)
<b>Total FY 2000 Comp. Health Insurance Pool Supplementals</b>	<b>(\$1,000,000)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$1,000,000)</b>
<b>UNIVERSAL TELECOMMUNICATIONS SERVICE SUPPORT FUND FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B102 FY 2000 appropriated budget	\$0	\$0	\$0	\$0	\$6,131,100	(\$631,000)	\$5,500,100
B103 Adjustments in non-state funding levels	0	0	0	0	(599,200)	292,900	(306,300)
<i>Subtotal Beginning Base Budget - Universal Telecom.</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>5,531,900</i>	<i>(338,100)</i>	<i>5,193,800</i>
<b>Total FY 2001 Universal Telecommunications Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,531,900</b>	<b>(338,100)</b>	<b>5,193,800</b>
<b>Total FY 2001 Universal Telecommunications Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,531,900</b>	<b>(\$338,100)</b>	<b>\$5,193,800</b>
<b>SPEECH AND HEARING IMPAIRED FUND FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B104 FY 2000 appropriated budget	\$0	\$0	\$0	\$3,236,100	\$0	\$994,100	\$4,230,200
B105 Adjustments in non-state funding levels	0	0	0	(721,800)	0	(1,200,900)	(1,922,700)
<i>Subtotal Beginning Base Budget - Speech/Hearing Impaired</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>2,514,300</i>	<i>0</i>	<i>(206,800)</i>	<i>2,307,500</i>
<b>Total FY 2001 Speech and Hearing Impaired Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,514,300</b>	<b>0</b>	<b>(206,800)</b>	<b>2,307,500</b>
<b>Total FY 2001 Speech and Hearing Impaired Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,514,300</b>	<b>\$0</b>	<b>(\$206,800)</b>	<b>\$2,307,500</b>
<b>LIFELINE TELECOMMUNICATIONS SERVICE FUND FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
B106 FY 2000 appropriated budget	\$0	\$0	\$0	\$0	\$600,000	\$0	\$600,000
B107 Adjustments in non-state funding levels	0	0	0	0	539,800	110,200	650,000
<i>Subtotal Beginning Base Budget - Lifeline Telecom.</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>1,139,800</i>	<i>110,200</i>	<i>1,250,000</i>
<b>Total FY 2001 Lifeline Telecommunications Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,139,800</b>	<b>110,200</b>	<b>1,250,000</b>
<b>Total FY 2001 Lifeline Telecommunications Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,139,800</b>	<b>\$110,200</b>	<b>\$1,250,000</b>

**COMMERCE AND REVENUE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>COMMERCE AND REVENUE TOTALS</b>							
FY 2001 Operating Base Budget	\$89,556,400	\$16,111,800	\$191,471,600	\$12,836,100	\$49,483,400	\$16,257,100	\$375,716,400
FY 2001 Operating Adjustments	4,980,400	465,000	8,085,300	150,300	1,558,100	41,000	15,280,100
FY 2001 Operating Recommendation	94,536,800	16,576,800	199,556,900	12,986,400	51,041,500	16,298,100	390,996,500
FY 2000 Operating Supplementals	1,835,100	(127,100)	3,670,200	(31,000)	292,700	(100)	5,639,800



## CORRECTIONS (ADULT AND YOUTH)

Mission: *Protect the public, provide adult offenders with skills training to enhance their prospects for success after release, and provide rehabilitation programs for young offenders.*

### PROGRAMS

- **Adult Corrections**

**Administration** provides administrative support for each division.

**Field Operations** supervises offenders on probation or parole in a community setting.

**Institutional Operations** directs prison operations at Draper, Gunnison, and Iron County facilities, and oversees state inmates housed at county jails.

**Clinical Services** ensures proper medical and mental health treatment for inmates.

**Forensic Services** assists mentally ill offenders through a contract with the State Hospital.

**Jail Programs** reimburses counties for offenders sentenced up to one year in county jail as a condition of probation, and also provides for housing state inmates in county jails.

- **Board of Pardons and Parole**, a state constitutional board, determines parole revocations and prison releases.

- **Youth Corrections**

**Administration/Case Management/Parole** provides administrative support and assigns case managers/parole officers to provide supervision and individual treatment for juvenile offenders.

**Community-based Alternatives** provides supervision and treatment for juvenile offenders through contracts with private providers.

**Out-of-State Placement** provides funding to place juvenile offenders in programs outside of the state.

**Alternatives to Institutional Care** includes work camps, day and night reporting centers, observation and assessment centers, and other innovative alternatives outside of institutional care.

**Institutional Care** directs operations in long-term secure care facilities, detention centers, and multi-purpose facilities.

**Receiving Centers** offer local law enforcement one-stop locations to deliver youth for nondetainable or status offenses.

**Youth Parole Authority**, a citizen board, determines release of juvenile offenders from long-term secure facilities and conditions of their parole.

**SELECTED DEPARTMENT GOALS**

• **Board of Pardons and Parole**

Expand the hearing process to include a procedure for the Drug Hearing program.

Refine the parole violation process to include a procedure for telephonic hearings for cases in outlying areas.

• **Adult Corrections**

Manage offenders in the most cost effective way.

Have safe and secure prisons while helping offenders lead crime-free lives.

Fairly compensate and promote career mobility for staff.

• **Youth Corrections**

Stress accountability by expanding opportunities for juvenile offenders to work off or pay restitution to victims.

Increase competency development by providing educational and vocational opportunities for youth in the custody of the state.

Provide for public safety in the community by appropriate placement of youth in programs that are based on

their individual needs for security and treatment.

Enable all staff to complete 100 percent of the mandatory training requirements as defined in their individual job classification.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report, pages 103-118.***

**MAJOR BUDGET RECOMMENDATIONS**

**Adult Corrections**

- In total, add 445 beds and slots for adult offenders. This includes 295 beds for prison population growth, plus 150 for parolees housed in transition centers.
- Delay opening of the 288-bed addition at Gunnison, as well as delay the construction of the privatized 500-bed, medium-security men's prison. These delays are possible because the growth in inmate population has slowed from about 450 inmates to 250 inmates annually.
- Relocate the 210 inmates housed at Camp Williams to the new 300-bed minimum-security dormitories being constructed at the Draper prison site.

- Provide \$2,000,000 in General Fund to Adult Corrections to address salary inequities between corrections officers and local law enforcement.
- Provide \$600,000 from the General Fund to establish a privatized parole transition center to assist released inmates in reestablishing themselves in the community. This transition center will allow the offenders to find jobs and reintegrate with their families.
- Increase ongoing funding for jail programs by \$6,699,100 from the General Fund. This funding allows for an increase in capacity for adult offenders in county jails, plus funding to implement provisions of House Bill 118, *Sentencing of Convicted Felons*, which was enacted during the 1999 legislative session.
- Provide \$586,200 in General Fund to allow medical staff in the Department of Corrections to become post certified. This will increase the safety of medical staff and will implement recommendations of the November 1998 performance audit conducted by the Legislative Auditor General.
- Continue support of the 800 megahertz program by increasing funding by

\$1,467,900 in General Fund for radios and the ongoing costs.

- Allocate \$2,500,000 in federal prison construction funds to construct, purchase, or renovate up to two parole transition centers for offenders being released from prison. The additional required 10 percent construction match will be provided from Utah's share of the federal State Criminal Alien Assistance Program.
- Include \$400,000 from the General Fund to complete key components of an offender-based information tracking system.

**Youth Corrections**

- Add 26 slots and beds for juvenile offenders.
- Provide \$6,658,900 in operational funding from the General Fund for new or expanded youth facilities in

Ogden, Price (Carbon and Emery counties), Richfield, and St. George. Operational costs are greatly increased in FY 2001 due to completion or planned construction of five new facilities. In addition, funding for the Millcreek facility was cut during the 1999 General Session of the legislature. This funding needs to be restored.

- Provide \$83,600 from General Fund to Youth Corrections for participation in the 800 megahertz program.
- Include \$1,300,000 in federal construction and other funds for a 26-bed expansion of the St. George youth facility.

**EFFECTIVE BASE BUDGET CUTS**

**Adult Corrections**

- Pay increased rent expenses associated with the Salt Lake adult probation and parole

administrative offices from base budget.

**Youth Corrections**

- Absorb \$55,000 from the base budget for an additional hearing officer for the Youth Parole Authority.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Adult Corrections - Jail Programs**

Funds for jail programs are nonlapsing.

**Board of Pardons and Parole**

Funds for the Board of Pardons and Parole are nonlapsing.

**Youth Corrections -Youth Parole Authority**

Funds for the Youth Parole Authority are nonlapsing.

**CORRECTIONS (ADULT AND YOUTH)**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$210,792,100	\$229,668,400	\$226,572,100	\$229,119,700	\$21,358,600	\$250,478,300
Federal Funds	3,498,300	3,131,000	3,131,000	3,100,800	1,012,600	4,113,400
Dedicated Credits	5,009,400	6,055,400	6,055,400	5,847,400	0	5,847,400
Restricted and Trust Funds	300,000	500,000	500,000	400,000	0	400,000
Transfers	10,186,900	15,410,500	15,410,500	11,109,900	22,900	11,132,800
Beginning Balances	5,489,000	7,730,600	5,670,600	0	0	0
Closing Balances	(7,730,600)	0	0	0	0	0
<b>Total Financing</b>	<b>\$227,545,100</b>	<b>\$262,495,900</b>	<b>\$257,339,600</b>	<b>\$249,577,800</b>	<b>\$22,394,100</b>	<b>\$271,971,900</b>
<b>Programs</b>						
<b>Adult Corrections</b>						
Administration	\$8,567,000	\$8,882,200	\$8,845,300	\$8,390,000	\$598,900	\$8,988,900
Field Operations	31,737,500	37,668,200	37,647,200	36,309,200	2,510,200	38,819,400
Institutional Operations	90,469,500	94,938,200	89,014,000	89,525,400	1,897,300	91,422,700
Clinical Services	14,604,300	15,651,800	15,650,900	17,243,800	979,400	18,223,200
Forensic Services	190,000	190,000	190,000	190,000	0	190,000
Jail Programs <sup>(1)</sup>	7,428,200	20,553,200	21,453,900	19,237,500	6,699,100	25,936,600
<i>Subtotal Adult Corrections</i>	<i>152,996,500</i>	<i>177,883,600</i>	<i>172,801,300</i>	<i>170,895,900</i>	<i>12,684,900</i>	<i>183,580,800</i>
<b>Board of Pardons</b>						
Board of Pardons Operations	\$2,318,400	\$2,620,400	\$2,614,600	\$2,450,600	\$53,800	\$2,504,400
<i>Subtotal Board of Pardons</i>	<i>2,318,400</i>	<i>2,620,400</i>	<i>2,614,600</i>	<i>2,450,600</i>	<i>53,800</i>	<i>2,504,400</i>
<b>Youth Corrections</b>						
Admin./Case Management/Parole	\$9,532,200	\$13,686,200	\$13,653,600	\$8,668,200	\$2,259,900	\$10,928,100
Community Based Alternatives	18,631,600	22,083,700	22,081,900	21,824,200	6,000	21,830,200
Out-of-State Placement	2,258,500	2,456,400	2,456,400	2,456,400	0	2,456,400
Alternatives to Institutional Care	12,737,800	12,965,000	12,946,400	12,300,900	227,100	12,528,000
Institutional Care	26,696,800	27,928,400	27,916,000	28,142,900	7,106,800	35,249,700
Receiving Centers	2,131,300	2,536,400	2,533,600	2,503,800	50,700	2,554,500
Youth Parole Authority	242,000	335,800	335,800	334,900	4,900	339,800
<i>Subtotal Youth Corrections</i>	<i>72,230,200</i>	<i>81,991,900</i>	<i>81,923,700</i>	<i>76,231,300</i>	<i>9,655,400</i>	<i>85,886,700</i>
<b>Total Budget</b>	<b>\$227,545,100</b>	<b>\$262,495,900</b>	<b>\$257,339,600</b>	<b>\$249,577,800</b>	<b>\$22,394,100</b>	<b>\$271,971,900</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>5.7%</b>
FTE Positions	--	3,019.5	3,019.5	3,019.5	79.0	3,098.5

(1) - FY 1999 represents jail reimbursement. FY 2000 and FY 2001 includes jail contracting.

**CORRECTIONS (ADULT AND YOUTH)**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADULT CORRECTIONS FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
C1	FY 2000 appropriated budget	\$0	\$1,824,300	\$5,101,600	\$0	\$2,709,600	\$171,435,800
C2	Less one-time FY 2000 appropriations	(9,600)	0	0	0	0	(9,600)
C3	Personal services adjustments for fewer working days in FY 2001	(1,140,600)	0	0	0	0	(1,140,600)
C4	Adjustments in non-state funding levels	0	(266,000)	(1,680,000)	0	(1,204,400)	(3,150,400)
C5	Base budget transfers from DHRM	3,500,000	0	0	0	0	3,500,000
	<i>Subtotal Beginning Base Budget - Adult Corrections</i>	<i>164,150,100</i>	<i>1,558,300</i>	<i>3,421,600</i>	<i>0</i>	<i>1,505,200</i>	<i>170,635,200</i>
<b>Base Adjustments</b>							
C6	Internal service fund adjustments	(186,200)	0	0	0	0	(186,200)
C7	Market comparability adjustments	287,400	0	0	0	0	287,400
C8	Personal services adjustments	159,500	0	0	0	0	159,500
	<i>Subtotal Base Adjustments - Adult Corrections</i>	<i>260,700</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>260,700</i>
	<b>Total FY 2001 Adult Corrections Base Budget</b>	<b>164,410,800</b>	<b>1,558,300</b>	<b>3,421,600</b>	<b>0</b>	<b>1,505,200</b>	<b>170,895,900</b>
<b>Ongoing Adjustments</b>							
C9	Field operations - 75 privatized parole transition center beds	600,000	0	0	0	0	600,000
C10	Inst. operations - 300 minimum-security dormitory beds	4,359,900	0	0	0	0	4,359,900
C11	Inst. operations - move 210 Camp Williams inmates to Draper	(2,650,000)	0	0	0	0	(2,650,000)
C12	Inst. operations - county jail medical and transportation costs	328,500	0	0	0	0	328,500
C13	Inst. operations - delay 288 beds at CUCF II opening	(1,958,300)	0	0	0	0	(1,958,300)
C14	Inst. operations - delay private prison opening	(1,890,000)	0	0	0	0	(1,890,000)
C15	County jail beds - 205 new beds	3,227,300	0	0	0	0	3,227,300
C16	County jail programs/reimbursement HB 118 impact	3,471,800	0	0	0	0	3,471,800
C17	800 megahertz	307,500	0	0	0	0	307,500
C18	Post certification of medical staff	586,200	0	0	0	0	586,200
C19	Special salary adjustments for corrections officers	2,000,000	0	0	0	0	2,000,000
C20	Compensation package	2,741,600	0	0	0	0	2,741,600
	<i>Subtotal Ongoing Adjustments - Adult Corrections</i>	<i>11,124,500</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>11,124,500</i>
<b>One-time Adjustments</b>							
C21	800 megahertz equipment	1,160,400	0	0	0	0	1,160,400
C22	Offender tracking information system	400,000	0	0	0	0	400,000
	<i>Subtotal One-time Adjustments - Adult Corrections</i>	<i>1,560,400</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>1,560,400</i>
	<b>Total FY 2001 Adult Corrections Adjustments</b>	<b>12,684,900</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>12,684,900</b>
	<b>Total FY 2001 Adult Corrections Operating Budget</b>	<b>\$177,095,700</b>	<b>\$0</b>	<b>\$3,421,600</b>	<b>\$0</b>	<b>\$1,505,200</b>	<b>\$183,580,800</b>

**CORRECTIONS (ADULT AND YOUTH) - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ADULT CORRECTIONS FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
C23	Inst. operations - transfer from CUCF to jail contracts	(\$901,600)	\$0	\$0	\$0	\$0	(\$901,600)
C24	Jail program - transfer to jail contracting from CUCF	901,600	0	0	0	0	901,600
C25	Reduce institutional operations due to delay in facilities	(2,896,700)	0	0	0	(2,060,000)	(4,956,700)
C26	Internal service fund adjustments	(125,600)	0	0	0	0	(125,600)
	<b>Total FY 2000 Adult Corrections Supplementals</b>	<b>(\$3,022,300)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,060,000)</b>	<b>(\$5,082,300)</b>
<b>BOARD OF PARDONS AND PAROLE FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
C27	FY 2000 appropriated budget	\$2,467,400	\$0	\$2,000	\$0	\$145,100	\$2,614,500
C28	Less one-time FY 2000 appropriations	0	0	0	0	0	0
C29	Personal services adjustments for fewer working days in FY 2001	(12,400)	0	0	0	0	(12,400)
C30	Adjustments in non-state funding levels	0	0	0	0	(145,100)	(145,100)
	<i>Subtotal Beginning Base Budget - Bd. of Pardons and Parole</i>	<i>2,455,000</i>	<i>0</i>	<i>2,000</i>	<i>0</i>	<i>0</i>	<i>2,457,000</i>
<b>Base Adjustments</b>							
C31	Internal service fund adjustments	(6,300)	0	0	0	0	(6,300)
C32	Personal services adjustments	(100)	0	0	0	0	(100)
	<i>Subtotal Base Adjustments - Board of Pardons and Parole</i>	<i>(6,400)</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>(6,400)</i>
	<b>Total FY 2001 Board of Pardons and Parole Base Budget</b>	<b>2,448,600</b>	<b>0</b>	<b>2,000</b>	<b>0</b>	<b>0</b>	<b>2,450,600</b>
<b>Ongoing Adjustments</b>							
C33	Compensation package	53,800	0	0	0	0	53,800
	<b>Total FY 2001 Board of Pardons and Parole Adjustments</b>	<b>53,800</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>53,800</b>
	<b>Total FY 2001 Board of Pardons and Parole Operating Budget</b>	<b>\$2,502,400</b>	<b>\$0</b>	<b>\$2,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,504,400</b>
<b>BOARD OF PARDONS AND PAROLE FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
C34	Internal service fund adjustments	(\$5,800)	\$0	\$0	\$0	\$0	(\$5,800)
	<b>Total FY 2000 Board of Pardons and Parole Supplementals</b>	<b>(\$5,800)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$5,800)</b>

**CORRECTIONS (ADULT AND YOUTH) - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>YOUTH CORRECTIONS FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
C35	\$61,900,700	\$0	\$3,094,700	\$2,332,000	\$500,000	\$9,061,500	\$76,888,900
C36	0	0	(300,000)	0	0	0	(300,000)
C37	(177,400)	0	0	0	0	0	(177,400)
C38	0	0	(1,254,700)	91,800	(100,000)	538,500	(724,400)
C39	309,000	0	0	0	0	0	309,000
	<i>Subtotal Beginning Base Budget - Youth Corrections</i>	<i>62,032,300</i>	<i>1,540,000</i>	<i>2,423,800</i>	<i>-400,000</i>	<i>9,600,000</i>	<i>75,996,100</i>
<b>Base Adjustments</b>							
C40	(80,100)	0	0	0	0	0	(80,100)
C41	75,200	0	0	0	0	0	75,200
C42	232,900	0	2,500	0	0	4,700	240,100
	<i>Subtotal Base Adjustments - Youth Corrections</i>	<i>238,000</i>	<i>2,500</i>	<i>0</i>	<i>0</i>	<i>4,700</i>	<i>235,200</i>
	<b>Total FY 2001 Youth Corrections Base Budget</b>	<b>62,260,300</b>	<b>1,542,500</b>	<b>2,423,800</b>	<b>400,000</b>	<b>9,604,700</b>	<b>76,231,300</b>
<b>Ongoing Adjustments</b>							
C43	1,000,000	0	1,000,000	0	0	0	2,000,000
C44	3,648,800	0	0	0	0	0	3,648,800
C45	1,005,200	0	0	0	0	0	1,005,200
C46	1,033,800	0	0	0	0	0	1,033,800
C47	971,100	0	0	0	0	0	971,100
C48	15,500	0	0	0	0	0	15,500
C49	877,400	0	12,600	0	0	22,900	912,900
	<i>Subtotal Ongoing Adjustments - Youth Corrections</i>	<i>8,551,800</i>	<i>1,012,600</i>	<i>0</i>	<i>0</i>	<i>22,900</i>	<i>9,587,300</i>
<b>One-time Adjustments</b>							
C50	68,100	0	0	0	0	0	68,100
	<b>Total FY 2001 Youth Corrections Adjustments</b>	<b>8,619,900</b>	<b>1,012,600</b>	<b>0</b>	<b>0</b>	<b>22,900</b>	<b>9,655,400</b>
	<b>Total FY 2001 Youth Corrections Operating Budget</b>	<b>\$70,880,200</b>	<b>\$0</b>	<b>\$2,555,100</b>	<b>\$400,000</b>	<b>\$9,627,600</b>	<b>\$85,886,700</b>

**CORRECTIONS (ADULT AND YOUTH) - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>YOUTH CORRECTIONS FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
C57 Internal service fund adjustments	(\$68,200)	\$0	\$0	\$0	\$0	\$0	(\$68,200)
<b>Total FY 2000 Youth Corrections Supplementals</b>	<b>(\$68,200)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$68,200)</b>
<b>CORRECTIONS TOTALS</b>							
FY 2001 Operating Base Budget	\$229,119,700	\$0	\$3,100,800	\$5,847,400	\$400,000	\$11,109,900	\$249,577,800
FY 2001 Operating Adjustments	21,358,600	0	1,012,600	0	0	22,900	22,394,100
<b>FY 2001 Operating Recommendation</b>	<b>250,478,300</b>	<b>0</b>	<b>4,113,400</b>	<b>5,847,400</b>	<b>400,000</b>	<b>11,132,800</b>	<b>271,971,900</b>
FY 2000 Operating Supplementals	(3,096,300)	0	0	0	0	(2,060,000)	(5,156,300)



## COURTS

Mission: *Ensure equity and fairness for all Utah citizens through judicial review of laws and cases.*

### PROGRAMS

- **Supreme Court** serves as the court of last resort for the state court system.
- **Law Library** provides public and judicial access to legal documents.
- **Court of Appeals** hears cases assigned by the State Supreme Court or statutory definition.
- **Administration** serves as judicial council staff and provides administrative support for judges.
- **Federal Grants** administers federal grants received by state courts.
- **Judicial Education** provides education and training opportunities for judges.
- **Contracts/Leases** administers facility lease arrangements.
- **Justice Courts** provide administrative support to local justice courts.
- **Data Processing** coordinates data processing needs for all state courts.
- **Trial Courts** serve as the state court of general jurisdiction.
- **Court Security** contracts with local governments for courtroom security.
- **Juvenile Court** renders decisions for youth under age 18 in cases of child abuse, neglect, termination of parental rights, and delinquent actions.
- **Guardian ad Litem** provides proper attorney representation for children involved in court proceedings.
- **Grand Jury** provides funding for grand juries as well as prosecution expenses in grand jury cases.

- **Juror/Witness/Interpreter Fees** provides payment to jurors, witnesses, expert witnesses, and interpreters.

### SELECTED COURTS GOALS

- Adopt an organizational culture that promotes meaningful participation and cooperation and which places decision making at the most appropriate level.
- Establish and maintain a court structure that incorporates an appropriate number of court levels, minimizes concurrent jurisdiction, and provides for flexibility in the deployment of resources.
- Obtain and effectively manage resources to accomplish the mission of the courts.
- Strengthen and maintain public confidence in the judiciary.

- Preserve the independence of the judicial branch from improper influence of the executive and legislative branch at federal, state, and local levels while recognizing the interdependence of all three branches and fostering an open, healthy relationship.
- Provide an ongoing planning process for the needs of the judiciary.
- Ensure that the judicial system is accessible to all citizens of the state.
- Develop a comprehensive educational process to assist judges and staff to fulfill the mission of the judiciary.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 103-118.*

### MAJOR BUDGET RECOMMENDATIONS

- Include additional General Fund resources of \$1,262,000 to pay for increased lease and utility costs associated with court

facilities. A General Fund supplemental appropriation of \$363,700 is also included for contracts and leases.

- Provide a General Fund supplemental appropriation of \$636,300 and an ongoing General Fund increase of \$585,000 for costs associated with the juror/witness/interpreter program.
- Provide General Fund appropriations of \$250,000 for Justice Courts automation and \$84,000 for additional civil commitment hearings.
- Continue the support for the juvenile justice information system reengineering project using federal resources from the Juvenile Accountability Incentive Block Grant. This collaborative project between Courts, Youth Corrections, and the Commission on Criminal and Juvenile Justice is in its second year of development. This system will greatly enhance the capability of authorized users to track youth offenders. The information system will include modules that monitor youth within correctional facilities, track individual program changes, document

case management, and record diagnostic evaluations.

### EFFECTIVE BASE BUDGET CUTS

- Fund career ladder salary adjustments for 60 probation officers at an estimated cost of \$201,600 within existing resources.
- Fund data processing needs of \$400,000 within existing base budgets.

### FY 2001 PROPOSED LEGISLATIVE INTENT

#### Judicial Council—Court Administrator-Contracts and Leases

Funds for the contracts and leases program are nonlapsing to ensure that delayed contracted projects may be funded from funds appropriated for that purpose.

#### Judicial Council—Court Administrator-Guardian ad Litem

Funds for the Guardian ad Litem program are nonlapsing.

**COURTS**

Operating Budget

	Actual FY 1999	Authorized FY 2000	Courts' Request FY 2001	Governor Leavitt's Recommendations		
				Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$82,736,000	\$85,953,000	\$90,450,300	\$86,403,900	\$2,715,600	\$89,119,500
Federal Funds	0	182,000	181,000	182,200	3,900	186,100
Dedicated Credits	1,200,500	1,149,500	1,182,500	1,189,300	22,300	1,211,600
Restricted and Trust Funds	5,719,500	5,756,700	5,872,200	5,702,700	203,900	5,906,600
Transfers	985,000	1,022,200	705,600	705,700	2,500	708,200
Beginning Balances	1,177,000	422,800	131,600	131,600	0	131,600
Closing Balances	(422,800)	1,022,400	(132,700)	(132,700)	0	(132,700)
Lapsing Funds	(95,700)	0	0	0	0	0
<b>Total Financing</b>	<b>\$91,299,500</b>	<b>\$95,508,600</b>	<b>\$98,390,500</b>	<b>\$94,182,700</b>	<b>\$2,948,200</b>	<b>\$97,130,900</b>
<b>Programs</b>						
Supreme Court	\$1,863,400	\$1,881,000	\$1,876,200	\$1,881,100	\$55,100	\$1,936,200
Law Library	534,000	475,100	474,100	474,700	4,100	478,800
Court of Appeals	2,546,800	2,605,800	2,583,700	2,589,600	71,600	2,661,200
Administration	2,948,600	2,967,400	2,939,800	2,965,500	63,600	3,029,100
Federal Grants	1,265,300	1,328,600	992,200	993,900	8,700	1,002,600
Judicial Education	347,300	350,200	348,500	349,200	7,400	356,600
Contracts/Leases	15,175,100	15,888,600	17,845,400	16,430,800	805,400	17,236,200
Justice Courts	168,600	165,400	233,000	165,000	252,600	417,600
Data Processing	4,525,900	4,336,300	4,296,500	3,738,300	91,100	3,829,400
Trial Courts	31,328,200	32,525,600	33,018,800	32,076,300	906,700	32,983,000
Court Security	2,122,400	2,216,000	2,216,000	2,216,000	0	2,216,000
Juvenile Courts	23,841,500	25,940,200	26,096,700	26,010,600	19,000	26,029,600
Guardian ad Litem	2,815,200	2,971,200	3,603,600	2,944,400	77,900	3,022,300
Grand Jury	1,000	1,000	1,000	1,000	0	1,000
Juror/Witness/Interpreter Fees	1,816,200	1,856,200	1,865,000	1,346,300	585,000	1,931,300
<b>Total Budget</b>	<b>\$91,299,500</b>	<b>\$95,508,600</b>	<b>\$98,390,500</b>	<b>\$94,182,700</b>	<b>\$2,948,200</b>	<b>\$97,130,900</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>0.5%</b>
FTE Positions	--	1,259.0	1,273.7	1,245.2	0.0	1,245.2
<p><i>In addition to the amounts listed in the Authorized 2000 column, Governor Leavitt recommends a supplemental appropriation of \$363,700 from the General Fund and \$72,400 from restricted funds for contracts and leases; \$636,300 from the General Fund for juror, witness, and interpreter fees; and \$250,000 in restricted funds to the Trial Court for collections programs and court reporter technology. The governor recommends a General Fund supplemental of (\$192,800) for internal service fund adjustments.</i></p> <p><i>The closing balance in FY 2000 is not equal to the beginning balance in the FY 2001 Courts' request because the FY 2000 Authorized budget does not show the recommended FY 2000 supplementals.</i></p> <p><i>Courts' Request does not include adjustments for items determined on a statewide basis, such as compensation increases and internal service fund adjustments.</i></p>						

# COURTS

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>COURTS FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
D1	\$85,933,000	\$0	\$987,600	\$1,311,500	\$5,756,700	\$60,500	\$94,069,700
D2	451,400	0	(987,600)	0	0	0	(536,200)
D3	(462,000)	0	0	(64,400)	(49,600)	0	(576,000)
D4	0	0	181,000	(64,600)	(11,700)	643,600	748,300
	<i>Subtotal Beginning Base Budget - Courts</i>	<i>0</i>	<i>181,000</i>	<i>1,182,500</i>	<i>5,695,400</i>	<i>704,500</i>	<i>93,705,800</i>
<b>Base Adjustments</b>							
D5	(181,600)	0	0	0	0	0	(181,600)
D6	318,300	0	0	0	0	0	318,300
D7	324,800	0	1,200	6,800	7,300	100	340,200
	<i>Subtotal Base Adjustments - Courts</i>	<i>0</i>	<i>1,200</i>	<i>6,800</i>	<i>7,300</i>	<i>100</i>	<i>476,900</i>
	<b>86,403,900</b>	<b>0</b>	<b>182,200</b>	<b>1,189,300</b>	<b>5,702,700</b>	<b>704,600</b>	<b>94,182,700</b>
<b>Ongoing Adjustments</b>							
D8	1,262,000	0	0	0	176,800	0	1,438,800
D9	(585,000)	0	0	0	0	0	(585,000)
D10	585,000	0	0	0	0	0	585,000
D11	40,000	0	0	0	0	0	40,000
D12	84,000	0	0	0	0	0	84,000
D13	250,000	0	0	0	0	0	250,000
D14	1,719,600	0	3,900	22,300	27,100	2,500	1,775,400
	<i>Subtotal Ongoing Adjustments - Courts</i>	<i>0</i>	<i>3,900</i>	<i>22,300</i>	<i>203,900</i>	<i>2,500</i>	<i>3,588,200</i>
<b>One-time Adjustments</b>							
D15	(640,000)	0	0	0	0	0	(640,000)
	<b>2,715,600</b>	<b>0</b>	<b>3,900</b>	<b>22,300</b>	<b>203,900</b>	<b>2,500</b>	<b>2,948,200</b>
	<b>\$89,119,500</b>	<b>\$0</b>	<b>\$186,100</b>	<b>\$1,211,600</b>	<b>\$5,906,600</b>	<b>\$707,100</b>	<b>\$97,130,900</b>
<b>COURTS FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
D16	\$363,700	\$0	\$0	\$0	\$72,400	\$0	\$436,100
D17	636,300	0	0	0	0	0	636,300
D18	0	0	0	0	200,000	0	200,000
D19	0	0	0	0	50,000	0	50,000
D20	(192,800)	0	0	0	0	0	(192,800)
	<b>\$807,200</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$322,400</b>	<b>\$0</b>	<b>\$1,129,600</b>



## ECONOMIC DEVELOPMENT AND HUMAN RESOURCES

*Economic Development and Human Resources includes the Department of Community and Economic Development, Utah State Fair Corporation, Utah Technology Finance Corporation, Department of Human Resource Management, Career Service Review Board, and Utah Retirement Board.*

### PROGRAMS

- **Community and Economic Development**

**Administration** provides support services for all divisions.

**Business Development** promotes business opportunities and serves as a resource for business and local leaders.

**Community Development** provides technical and financial assistance to help communities plan and provide basic services.

**Energy Services** promotes development and efficient use of energy and administers the clean fuels loan program.

**Ethnic Affairs Offices** act as liaisons between state government and Utah's Asian, Polynesian, Black, and Hispanic communities. Division of Indian Affairs serves as the state's Native American affairs authority.

**Fine Arts** administers a grants program and community outreach programs to broaden appreciation for the arts.

**Historical Society** serves as the fund-raising and membership arm of the Division of State History.

**Incentive Funds** provide incentives for corporate expansion and relocation into the state through the Industrial Assistance Fund and the Private Activity Bonding program.

**State History** preserves historic resources and promotes understanding of Utah's heritage.

**State Library** provides support services to public libraries and direct services to individuals with visual and physical disabilities.

**Travel Development** promotes Utah tourism.

- **Utah State Fair Corporation** manages activities relating to the annual state fair, and promotes and manages the year round use of the state fairpark facility.
- **Utah Technology Finance Corporation** improves the formation of capital available to Utah businesses and provides technical assistance to entrepreneurs.

- **Human Resource Management** manages state employee classification, compensation, recruitment, selection, and development.
- **Career Service Review Board** administers state employee grievances and appeal procedures, and mediates disputes between agencies and employees.
- **Utah Retirement Board** administers public employee retirement systems, deferred compensation plans, and group insurance plans.

**SELECTED DEPARTMENT GOALS**

- **Community and Economic Development** — Create quality jobs for all of Utah through company expansions and relocations.

Optimize the business, economic, and tourism benefits presented by the Olympic opportunity in 2002 and thereafter.

- **Utah State Fair Corporation** — Showcase agriculture and innovation technology.
- **Utah Technology Finance Corporation** — Leverage public funds to enhance

private capital formation while seeking to create self-reliant funding sources.

- **Human Resource Management** — Streamline recruitment, selection, and employee tracking functions by enhancing the state’s HR Enterprise database.
- **Retirement Board** — Generate 8.0 percent return on investment portfolios and outperform inflation by 4.0 percent. Keep medical and dental insurance costs at or below state and national trends.

*A comprehensive list of goals and performance measurements can be found in the Utah Tomorrow Strategic Plan, 1998 Annual Report, pages 1-9, 10-19, and 67-69.*

**MAJOR BUDGET RECOMMENDATIONS**

- **Community and Economic Development** — Provide a supplemental General Fund appropriation of \$1,759,800 to the Industrial Assistance Fund to replenish earned credits from company expansions, relocations, and job creation.
- **Community and Economic Development** — Enhance the efforts of Business and

Economic Development in coordinating business partnering for the Olympics through one-time funding of \$500,000 from the Utah Sports Authority Fund.

- **Community and Economic Development** — Enhance the efforts of the Division of Travel Development to create awareness of the “Utah!” brand for the Olympics through one-time funding of \$500,000 from the Utah Sports Authority Fund.
- **Community and Economic Development** — Transfer \$200,000 from the General Fund to the Tourism Marketing Performance Fund. A 7.1 percent increase in tourism revenue during FY 1999 triggers this statutory transfer.
- **Community and Economic Development** — Provide \$500,000 in supplemental funding and \$1,500,000 in ongoing support from the General Fund for targeted recruitment of private enterprises through the Custom Fit training program.
- **Community and Economic Development** — Support quality growth planning by the Association of Governments through both a supplemental and ongoing General Fund appropriation of \$125,000.

- **Special Initiatives** — Encourage the coordination efforts of the private sector to develop sports marketing in Utah through the Utah Sports Council Initiative.
- **Utah State Fair Corporation** — Provide \$230,000 in ongoing General Fund to enhance the state’s support of the Utah State Fair and Fairpark operations.

**EFFECTIVE BASE BUDGET CUTS**

- **Community and Economic Development** — Remodel the Rio Grande Depot for relocation of the Arts Council staff and state art collection from within the base budget.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

**Community and Economic Development**

Funds to replenish earned credits for the Industrial Assistance Fund are nonlapsing.

**Career Service Review Board**

Funds for the Career Service Review Board are nonlapsing.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Community and Economic Development**

Funds for the Department of Community and Economic Development are nonlapsing.

**Utah State Fair Corporation**

Funds for Utah State Fair Corporation are nonlapsing.

**Utah Technology Finance Corporation**

Funds for Utah Technology Finance Corporation are nonlapsing.

**Career Service Review Board**

Funds for Career Service Review Board are nonlapsing.

**Human Resource Management**

Funds for the Department of Human Resource Management are nonlapsing.

The FY 2001 compensation package for state employees has retrospective application to June 24, 2000, to coincide with the state payroll period.

The FY 2001 total compensation package for state employees is to be increased by 4.5 percent, comprised of a 4.0 percent salary increase and a 0.5 percent benefits increase.

Health insurance premiums for the Group Insurance Division (PEHP) are increased 14.8 percent for FY 2001. The increase includes the deferred increase of 5.0 percent in FY 2000.

State employees enrolled in the PEHP Preferred health care plan will pay 5.0 percent of bi-weekly premium payments.

Dental insurance premiums for the Group Insurance Division (PEHP) are increased by 3.0 percent for FY 2001.

Retirement rates for FY 2001 are a weighted average of 3.8 percent below FY 2000 rates.

## ECONOMIC DEVELOPMENT AND HUMAN RESOURCES

### Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			Total FY 2001
			Recommended FY 2000	Base FY 2001	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$36,830,700	\$33,706,400	\$36,086,200	\$34,212,400	\$2,726,700	\$36,939,100
Transportation Fund	118,000	118,000	118,000	118,000	0	118,000
Federal Funds	25,388,700	31,810,600	31,809,300	32,156,800	66,000	32,222,800
Dedicated Credits	20,661,000	22,298,200	22,299,500	22,293,700	27,500	22,321,200
Mineral Lease	468,300	472,500	472,500	505,000	0	505,000
Restricted and Trust Funds	31,437,400	35,347,200	35,547,000	34,481,200	3,297,200	37,778,400
Transfers	(5,186,000)	(761,200)	(2,521,000)	(827,200)	(200,000)	(1,027,200)
Other Funds	888,700	1,229,100	1,229,100	1,246,300	0	1,246,300
Beginning Balances	15,100,000	14,656,200	14,656,200	10,024,900	0	10,024,900
Closing Balances	(14,656,200)	(10,024,900)	(10,024,900)	(10,073,400)	0	(10,073,400)
Lapsing Funds	(2,466,800)	0	0	0	0	0
<b>Total Financing</b>	<b>\$108,583,800</b>	<b>\$128,852,100</b>	<b>\$129,671,900</b>	<b>\$124,137,700</b>	<b>\$5,917,400</b>	<b>\$130,055,100</b>
<b>Programs</b>						
<b>Community and Economic Development</b>						
Administration	\$2,140,500	\$2,485,900	\$2,479,800	\$2,676,300	\$65,300	\$2,741,600
Business Development	7,954,900	11,657,300	11,657,200	7,884,800	606,400	8,491,200
Community Development <sup>(1)</sup>	24,179,400	31,465,100	31,588,600	31,079,900	342,300	31,422,200
Energy Services	4,613,400	5,733,100	5,733,100	6,498,500	19,300	6,517,800
Ethnic Affairs Offices	640,100	892,000	892,000	747,400	19,800	767,200
Fine Arts	3,555,200	3,896,200	3,894,600	3,449,300	37,900	3,487,200
Historical Society	252,000	465,100	465,100	341,000	1,900	342,900
Incentive Funds	225,000	246,000	746,000	260,800	1,504,600	1,765,400
Special Initiatives	(70,000)	350,900	350,900	0	0	0
State History	2,754,000	2,795,400	2,794,100	2,492,300	60,000	2,552,300
State Library	6,215,500	6,579,800	6,590,500	7,443,400	112,200	7,555,600
Travel Development	4,326,200	4,935,800	5,135,700	4,333,100	739,700	5,072,800
Utah State Fair Corporation	3,330,300	3,755,300	3,755,300	3,625,200	230,000	3,855,200
Utah Technology Finance Corp.	5,879,300	6,474,200	6,474,200	6,513,500	0	6,513,500
<i>Subtotal Comm./Econ. Devl.</i>	<i>65,995,800</i>	<i>81,732,100</i>	<i>82,557,100</i>	<i>77,345,500</i>	<i>3,739,400</i>	<i>81,084,900</i>
<b>Human Resources</b>						
Human Resource Management	3,177,600	3,546,600	3,541,400	3,219,300	86,600	3,305,900
Career Service Review Board	152,400	160,600	160,600	160,100	4,300	164,400
Retirement Office	39,258,000	43,412,800	43,412,800	43,412,800	2,087,100	45,499,900
<i>Subtotal Human Resources</i>	<i>42,588,000</i>	<i>47,120,000</i>	<i>47,114,800</i>	<i>46,792,200</i>	<i>2,178,000</i>	<i>48,970,200</i>
<b>Total Budget</b>	<b>\$108,583,800</b>	<b>\$128,852,100</b>	<b>\$129,671,900</b>	<b>\$124,137,700</b>	<b>\$5,917,400</b>	<b>\$130,055,100</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>0.3%</b>
FTE Positions	--	396.9	396.9	397.8	0.0	397.8

(1) Low-Income Housing budget amounts for FY 1999 and FY 2000 are reported in the capital budget table.

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES**

## Capital Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$3,551,100	\$564,100	\$564,100	\$0	\$0	\$0
Federal Funds	712,300	1,018,000	1,018,000	0	0	0
Mineral Lease	14,133,700	13,902,400	13,902,400	14,299,000	0	14,299,000
Restricted and Trust Funds	11,890,300	20,947,500	20,947,500	22,837,800	0	22,837,800
Transfers	0	(12,572,500)	(12,572,500)	(12,905,500)	0	(12,905,500)
Other Funds	(8,391,200)	(8,375,000)	(8,375,000)	(9,932,300)	0	(9,932,300)
Beginning Balances	1,752,500	921,700	921,700	0	0	0
Closing Balances	(921,700)	0	0	0	0	0
Lapsing Funds	(5,357,500)	0	0	0	0	0
<b>Total Financing</b>	<b>\$17,369,500</b>	<b>\$16,406,200</b>	<b>\$16,406,200</b>	<b>\$14,299,000</b>	<b>\$0</b>	<b>\$14,299,000</b>
<b>Projects</b>						
Business/Economic Development	\$395,800	\$354,200	\$354,200	\$0	\$0	\$0
Community Assistance Program	12,273,100	13,902,400	13,902,400	14,299,000	0	14,299,000
Energy Services	2,200	0	0	0	0	0
Ethnic and Indian Affairs	0	300,000	300,000	0	0	0
Low-Income Housing <sup>(1)</sup>	1,276,400	1,582,100	1,582,100	0	0	0
Special Initiatives	3,122,000	267,500	267,500	0	0	0
Utah State Fair Corporation	300,000	0	0	0	0	0
<b>Total Budget</b>	<b>\$17,369,500</b>	<b>\$16,406,200</b>	<b>\$16,406,200</b>	<b>\$14,299,000</b>	<b>\$0</b>	<b>\$14,299,000</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(12.8%)</b>

(1) Low-Income Housing budget recommendations for FY 2001 are reported in the operating budget table under Community Development.

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E1	FY 2000 appropriated budget	\$30,113,500	\$35,355,000	\$2,539,700	\$472,500	\$1,229,900	\$72,104,200
E2	Less one-time FY 2000 appropriations	(40,800)	0	0	0	(900,000)	(940,800)
E3	Personal services adjustments for fewer working days in FY 2001	(82,200)	(16,000)	(7,200)	0	(2,400)	(107,800)
E4	Adjustments in non-state funding levels	0	(4,286,800)	(54,500)	32,500	507,400	(5,657,900)
E5	Base budget transfers from Capital Budget	564,100	1,018,000	0	0	0	1,582,100
	<i>Subtotal Beginning Base Budget - DCED</i>	<i>30,554,600</i>	<i>32,070,200</i>	<i>2,478,000</i>	<i>505,000</i>	<i>834,900</i>	<i>66,979,800</i>
<b>Base Adjustments</b>							
E6	Internal service fund adjustments	31,300	3,000	11,600	0	(100)	45,800
E7	Market comparability adjustments	84,200	18,300	2,700	0	0	105,200
E8	Personal services adjustments	58,500	10,300	5,200	0	1,600	76,000
	<i>Subtotal Base Adjustments - DCED</i>	<i>174,000</i>	<i>31,600</i>	<i>19,500</i>	<i>0</i>	<i>1,500</i>	<i>227,000</i>
	<b>Total FY 2001 DCED Base Budget</b>	<b>30,729,000</b>	<b>32,101,800</b>	<b>2,497,500</b>	<b>505,000</b>	<b>836,400</b>	<b>67,206,800</b>
<b>Ongoing Adjustments</b>							
E9	Incentive funds - Custom Fit targeted recruitment	1,500,000	0	0	0	0	1,500,000
E10	Community development - Volunteer library project	100,000	0	0	0	0	100,000
E11	Community development - Museum grants	50,000	0	0	0	0	50,000
E12	ACOG quality growth planning	125,000	0	0	0	0	125,000
E13	Compensation package	430,800	66,000	27,500	0	10,100	534,400
	<i>Subtotal Ongoing Adjustments - DCED</i>	<i>2,205,800</i>	<i>66,000</i>	<i>27,500</i>	<i>0</i>	<i>10,100</i>	<i>2,309,400</i>
<b>One-time Adjustments</b>							
E14	Bus. and econ. development - Olympic legacy marketing	0	0	0	0	500,000	500,000
E15	Travel - Olympic legacy marketing	0	0	0	0	500,000	500,000
E16	Travel - tourism marketing performance fund transfer	200,000	0	0	0	(200,000)	0
E17	Travel - tourism marketing performance fund distribution	0	0	0	0	200,000	200,000
	<i>Subtotal One-time Adjustments - DCED</i>	<i>200,000</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>1,200,000</i>	<i>1,200,000</i>
	<b>Total FY 2001 DCED Adjustments</b>	<b>2,405,800</b>	<b>66,000</b>	<b>27,500</b>	<b>0</b>	<b>1,210,100</b>	<b>3,509,400</b>
	<b>Total FY 2001 DCED Operating Budget</b>	<b>\$33,134,800</b>	<b>\$32,167,800</b>	<b>\$2,525,000</b>	<b>\$505,000</b>	<b>\$2,046,500</b>	<b>\$70,716,200</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
E18 Incentive funds - replenish IAF earned credits	\$1,759,800	\$0	\$0	\$0	\$0	(\$1,759,800)	\$0
E19 ACG quality growth planning	125,000	0	0	0	0	0	125,000
E29 Incentive funds - Custom Fit targeted recruitment	500,000	0	0	0	0	0	500,000
E21 Travel - tourism marketing performance fund distribution	0	0	0	0	200,000	0	200,000
E22 Internal service fund adjustments	200	(1,300)	1,300	0	(200)	0	0
<b>Total FY 2000 DCED Supplementals</b>	<b>\$2,385,000</b>	<b>(\$1,300)</b>	<b>\$1,300</b>	<b>\$0</b>	<b>\$199,800</b>	<b>(\$1,759,800)</b>	<b>\$825,000</b>
<b>COMMUNITY AND ECONOMIC DEVELOPMENT FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
E23 FY 2000 appropriated budget	\$564,100	\$1,018,000	\$0	\$14,299,000	\$8,375,000	\$0	\$24,247,100
E24 Adjustments in non-state funding levels	0	0	0	9,000	14,462,800	(22,837,800)	(8,366,000)
E25 Base budget transfers to Operating Budget	(564,100)	(1,018,000)	0	0	0	0	(1,582,100)
<b>Total FY 2001 DCED Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,299,000</b>	<b>22,837,800</b>	<b>(22,837,800)</b>	<b>14,299,000</b>
<b>Total FY 2001 DCED Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$14,299,000</b>	<b>\$22,837,800</b>	<b>(\$22,837,800)</b>	<b>\$14,299,000</b>
<b>UTAH STATE FAIR CORPORATION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E26 FY 2000 appropriated budget	\$470,000	\$0	\$3,223,900	\$0	\$0	\$0	\$3,693,900
E27 Less one-time FY 2000 appropriations	(100,000)	0	0	0	0	0	(100,000)
E28 Adjustments in non-state funding levels	0	0	31,300	0	0	0	31,300
<i>Subtotal Beginning Base Budget - State Fair Corporation</i>	<i>370,000</i>	<i>0</i>	<i>3,255,200</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>3,625,200</i>
<b>Total FY 2001 State Fair Corporation Base Budget</b>	<b>\$370,000</b>	<b>0</b>	<b>3,255,200</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3,625,200</b>
<b>Ongoing Adjustments</b>							
E29 Operations and maintenance	230,000	0	0	0	0	0	230,000
<b>Total FY 2001 State Fair Corporation Adjustments</b>	<b>230,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>230,000</b>
<b>Total FY 2001 State Fair Corporation Operating Budget</b>	<b>\$600,000</b>	<b>\$0</b>	<b>\$3,255,200</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,855,200</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>UTAH TECHNOLOGY FINANCE CORPORATION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E29	\$0	\$0	\$4,200,000	\$0	\$0	\$0	\$4,200,000
E31	0	55,000	2,307,000	0	0	(48,500)	2,313,500
	0	55,000	6,507,000	0	0	(48,500)	6,513,500
	0	55,000	6,507,000	0	0	(48,500)	6,513,500
<b>Total FY 2001 UTFC Base Budget</b>	\$0	\$55,000	\$6,507,000	\$0	\$0	(\$48,500)	\$6,513,500
<b>HUMAN RESOURCE MANAGEMENT FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E32	\$6,462,300	\$0	\$421,900	\$0	\$0	\$0	\$6,884,200
E33	(15,200)	0	(1,800)	0	0	0	(17,000)
E34	0	0	(154,100)	0	0	0	(154,100)
E35	(3,500,000)	0	0	0	0	0	(3,500,000)
	2,947,100	0	266,000	0	0	0	3,213,100
<b>Base Adjustments</b>							
E36	(5,600)	0	0	0	0	0	(5,600)
E37	1,800	0	0	0	0	0	1,800
E38	10,000	0	0	0	0	0	10,000
	6,200	0	0	0	0	0	6,200
<b>Total FY 2001 DHRM Base Budget</b>	2,953,300	0	266,000	0	0	0	3,219,300
<b>Ongoing Adjustments</b>							
E39	86,600	0	0	0	0	0	86,600
<b>Total FY 2001 DHRM Adjustments</b>	86,600	0	0	0	0	0	86,600
<b>Total FY 2001 DHRM Operating Budget</b>	\$3,039,900	\$0	\$266,000	\$0	\$0	\$0	\$3,305,900

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>HUMAN RESOURCE MANAGEMENT FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
E40 Internal service fund adjustments		\$0	\$0	\$0	\$0	\$0	(\$5,200)
<b>Total FY 2000 DHRM Supplementals</b>	<b>(\$5,200)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$5,200)</b>
<b>CAREER SERVICE REVIEW BOARD FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E41 FY 2000 appropriated budget	\$160,600	\$0	\$0	\$0	\$0	\$0	\$160,600
E42 Personal services adjustments for fewer working days in FY 2001	(1,000)	0	0	0	0	0	(1,000)
<i>Subtotal Beginning Base Budget - CSRB</i>	<i>159,600</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>159,600</i>
<b>Base Adjustments</b>							
E43 Personal services adjustments	500	0	0	0	0	0	500
<b>Total FY 2001 CSRB Base Budget</b>	<b>160,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>160,100</b>
<b>Ongoing Adjustments</b>							
E44 Compensation package	4,300	0	0	0	0	0	4,300
<b>Total FY 2001 CSRB Adjustments</b>	<b>4,300</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,300</b>
<b>Total FY 2001 CSRB Operating Budget</b>	<b>\$164,400</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$164,400</b>
<b>UTAH RETIREMENT BOARD FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
E45 FY 2000 appropriated budget	\$0	\$0	\$8,047,100	\$0	\$35,759,600	\$0	\$43,806,700
E46 Adjustments in non-state funding levels	0	0	1,720,900	0	(2,114,800)	0	(393,900)
<i>Subtotal Beginning Base Budget - Utah Retirement Board</i>	<i>0</i>	<i>0</i>	<i>9,768,000</i>	<i>0</i>	<i>33,644,800</i>	<i>0</i>	<i>43,412,800</i>
<b>Total FY 2001 Utah Retirement Board Base Budget</b>	<b>0</b>	<b>0</b>	<b>9,768,000</b>	<b>0</b>	<b>33,644,800</b>	<b>0</b>	<b>43,412,800</b>
<b>Ongoing Adjustments</b>							
E47 Investment advisor fee increase	0	0	0	0	2,065,100	0	2,065,100
E48 Consulting services increase	0	0	0	0	22,000	0	22,000
<i>Subtotal Ongoing Adjustments - Utah Retirement Board</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>2,087,100</i>	<i>0</i>	<i>2,087,100</i>
<b>Total FY 2001 Utah Retirement Board Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,087,100</b>	<b>0</b>	<b>2,087,100</b>
<b>Total FY 2001 Utah Retirement Board Operating Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$9,768,000</b>	<b>\$0</b>	<b>\$35,731,900</b>	<b>\$0</b>	<b>\$45,499,900</b>

**ECONOMIC DEVELOPMENT AND HUMAN RESOURCES - CONTINUED**

	General Fund	Federal Funds	Dedicated Credits	Mineral Lease	Restricted Funds	Other Funds	Total Funds
<b>ECONOMIC DEVELOPMENT AND HUMAN RESOURCES TOTALS</b>							
FY 2001 Operating Base Budget	\$34,212,400	\$32,156,800	\$22,293,700	\$505,000	\$34,481,200	\$488,600	\$124,137,700
FY 2001 Operating Adjustments	2,726,700	66,000	27,500	0	3,297,200	(200,000)	5,917,400
FY 2001 Operating Recommendation	36,939,100	32,222,800	22,321,200	505,000	37,778,400	288,600	130,055,100
FY 2000 Operating Supplementals	2,379,800	(1,300)	1,300	0	199,800	(1,759,800)	819,800
FY 2001 Capital Base Budget	0	0	0	14,299,000	22,837,800	(22,837,800)	14,299,000
FY 2001 Capital Adjustments	0	0	0	0	0	0	0
FY 2001 Capital Recommendation	0	0	0	14,299,000	22,837,800	(22,837,800)	14,299,000



## ELECTED OFFICIALS

*Elected Officials consists of the offices of the governor, lieutenant governor, attorney general, state auditor, and state treasurer.*

### OFFICES

- The **Attorney General** is the constitutional legal officer of the state, serves as counsel in all cases in which the state or its officers are a party, and provides legal services for state agencies.
- The **State Auditor** performs financial audits of state agencies, helps agencies to maintain proper internal controls and comply with state law, and trains city and county treasurers to ensure local government compliance with state law.
- The **Governor** is chief administrator of the state’s executive branch of government. Within the Governor’s Office are the Lieutenant Governor’s Office, Governor’s Office of Planning and Budget, Commission on Criminal and Juvenile Justice, and Crime Victim Reparations program.
- The **State Elections Office** prepares voter information pamphlets; issues and revokes lobbyist licenses; certifies new political parties; reviews and adjudicates complaints and disputes that arise in the election process; governs the initiative and referendum process; canvasses the return of ballots; and publishes the results of primary, general, and special elections.
- The **State Treasurer** serves as custodian and depository for all state funds and invests them at competitive market rates in bank certificates of deposit, corporate obligations, notes, and bonds of the United States government.
- **State Auditor** — Ensure integrity and accountability of government agencies and selected nonprofit organizations through appropriate audits, studies, and compliance reviews.
- **Governor** — Create quality jobs, develop world-class education, provide for the needy while fostering self-reliance, operate government within the state’s means, protect the quality of life, and develop long-term growth policies.
- **State Elections Office** — Provide accurate and timely information concerning candidates, referendums, and constitutional amendments; ensure the integrity of the election; and make all elec- unjust conduct, defend Utah’s natural and monetary assets, open and operate additional Children’s Justice Centers, and provide quality legal service at minimum cost.

### SELECTED DEPARTMENT GOALS

- **Attorney General** — Enforce laws to make Utah safe for children, offer justice to crime victims, empower people against unlawful and

tion reports accessible by the public.

- **State Treasurer** — Make financial and investment decisions to maintain the state’s AAA bond rating and maximize interest revenue, and increase the amount going from the Unclaimed Property Trust Fund to the Uniform School Fund.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 63-69.*

**MAJOR BUDGET RECOMMENDATIONS**

**Attorney General**

- Provide ongoing funding of \$318,700 and supplemental funding of \$160,000 from tobacco settlement cost reimbursement funds awarded to the National Association of Attorneys General in order to insure that the state has the ability to monitor and enforce the provisions of the settlement decree.
- Transfer the Medicaid Fraud Unit from the Department of Public Safety to the Office of the Attorney General.

**Auditor**

- Maintain programs and funding at current levels.

**Governor**

- Provide General Fund supplemental funding of \$10,000 for the Census 2000 project.
- Provide \$115,000 ongoing funding from the General Fund for the 21<sup>st</sup> Century Communities program.

**State Elections Office**

- Provide a one-time General Fund appropriation of \$300,000 for the biennial election program.

**Treasurer**

- Provide \$139,800 in ongoing funding from the Unclaimed Property Trust Account to improve the process of locating owners of unclaimed property.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

**Attorney General**

Funds for tobacco settlement expenses are nonlapsing.

**FY2001 PROPOSED LEGISLATIVE INTENT**

**Attorney General**

Funds for the Attorney General’s main line item are nonlapsing.

Funds for the Attorney General’s Administration/Executive line item are nonlapsing.

Funds for the Attorney General for Child Protection are nonlapsing.

Funds for the Attorney General for Antitrust are nonlapsing.

Funds for the Attorney General for the Prosecution Council are nonlapsing.

Funds for the Attorney General for Children’s Justice Centers are nonlapsing.

Funds for the Attorney General for the prevention of domestic violence are nonlapsing.

Funds for the Attorney General for Financial Crimes are nonlapsing.

Funds for tobacco settlement expenses are nonlapsing.

**State Auditor**

Funds for the State Auditor are nonlapsing.

**Governor**

Funds for the Governor’s Office are nonlapsing.

Funds for the Governor’s Commission for Women and Families are nonlapsing.

Funds for the Governor's  
Emergency Fund are nonlaps-  
ing.

Funds for the Governor's  
Office of Planning and  
Budget are nonlapsing.

Funds for the Commission on  
Criminal and Juvenile Justice  
are nonlapsing.

**State Elections Office**

Funds for the State Elections  
Office are nonlapsing.

**State Treasurer**

Funds for the State Treasurer  
are nonlapsing.

**ELECTED OFFICIALS**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Elected Officials' Request FY 2001	Governor Leavitt's Recommendations		
				Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$26,711,600	\$28,802,300	\$28,781,300	\$27,904,200	\$1,735,800	\$29,640,000
Federal Funds	10,921,200	16,191,600	17,097,600	16,231,500	878,300	17,109,800
Dedicated Credits	11,029,600	10,618,300	10,622,300	10,671,300	315,900	10,987,200
Restricted and Trust Funds	2,763,900	2,782,700	3,038,300	2,793,900	218,200	3,012,100
Transfers	1,212,100	1,016,200	874,900	876,900	2,400	879,300
Beginning Balances	1,935,400	1,809,000	131,300	131,300	0	131,300
Closing Balances	(1,809,000)	(131,300)	0	0	0	0
Lapsing Funds	(62,100)	0	0	0	0	0
<b>Total Financing</b>	<b>\$52,702,700</b>	<b>\$61,088,800</b>	<b>\$60,545,700</b>	<b>\$58,609,100</b>	<b>\$3,150,600</b>	<b>\$61,759,700</b>
<b>Programs</b>						
Attorney General	\$27,059,400	\$27,444,000	\$28,644,700	\$27,313,400	\$2,218,300	\$29,531,700
Auditor	3,002,000	3,551,200	3,183,700	3,198,600	103,000	3,301,600
Governor	20,039,200	26,840,800	25,807,300	25,731,200	341,700	26,072,900
State Elections Office	781,900	1,210,500	724,500	425,700	305,900	731,600
Treasurer	1,820,200	2,042,300	2,185,500	1,940,200	181,700	2,121,900
<b>Total Budget</b>	<b>\$52,702,700</b>	<b>\$61,088,800</b>	<b>\$60,545,700</b>	<b>\$58,609,100</b>	<b>\$3,150,600</b>	<b>\$61,759,700</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>0.8%</b>
FTE Positions	--	559.8	561.5	557.3	17.0	574.3
<p><i>In addition to the amounts listed in the Authorized 2000 column, Governor Leavitt recommends a supplemental appropriation of \$10,000 from the General Fund and \$25,000 from federal funds to the Governor's Office of Planning and Budget for the Census 2000 project, \$160,000 from the General Fund to the Attorney General for tobacco litigation expenses, and internal service fund adjustments of (\$18,900) in General Fund and (\$7,400) in other funds.</i></p> <p><i>Elected Officials' Request does not include adjustments for items determined on a statewide basis, such as compensation increases and internal service fund adjustments.</i></p>						

**ELECTED OFFICIALS**

<b>ATTORNEY GENERAL FY 2001 OPERATING BUDGET</b>									
<b>Beginning Base Budget</b>									
	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds		
F1	\$16,482,600	\$0	\$65,000	\$9,751,600	\$922,700	\$303,400	\$27,525,300		
F2	(83,600)	0	0	(60,200)	(4,800)	0	(148,600)		
F3	0	0	58,400	(140,500)	4,800	(117,100)	(194,400)		
	<i>16,399,000</i>	<i>0</i>	<i>123,400</i>	<i>9,550,900</i>	<i>922,700</i>	<i>186,300</i>	<i>27,182,300</i>		
	<i>Subtotal Beginning Base Budget - Attorney General</i>								
<b>Base Adjustments</b>									
F4	(13,700)	0	0	(7,500)	(600)	0	(21,800)		
F5	51,100	0	200	31,200	3,000	1,700	87,200		
F6	37,500	0	100	25,400	2,400	300	65,700		
	<i>74,900</i>	<i>0</i>	<i>300</i>	<i>49,100</i>	<i>4,800</i>	<i>2,000</i>	<i>131,100</i>		
	<i>Subtotal Base Adjustments - Attorney General</i>								
<b>Total FY 2001 Attorney General Base Budget</b>	<b>16,473,900</b>	<b>0</b>	<b>123,700</b>	<b>9,600,000</b>	<b>927,500</b>	<b>188,300</b>	<b>27,313,400</b>		
<b>Ongoing Adjustments</b>									
F7	318,700	0	0	0	0	0	318,700		
F8	187,200	0	869,000	0	0	0	1,056,200		
F9	0	0	0	0	10,000	0	10,000		
F10	492,000	0	500	312,000	26,800	2,100	833,400		
	<i>997,900</i>	<i>0</i>	<i>869,500</i>	<i>312,000</i>	<i>36,800</i>	<i>2,100</i>	<i>2,218,300</i>		
	<i>Subtotal Ongoing Adjustments - Attorney General</i>								
<b>Total FY 2001 Attorney General Adjustments</b>	<b>997,900</b>	<b>0</b>	<b>869,500</b>	<b>312,000</b>	<b>36,800</b>	<b>2,100</b>	<b>2,218,300</b>		
<b>Total FY 2001 Attorney General Operating Budget</b>	<b>\$17,471,800</b>	<b>\$0</b>	<b>\$993,200</b>	<b>\$9,912,000</b>	<b>\$964,300</b>	<b>\$190,400</b>	<b>\$29,531,700</b>		
<b>ATTORNEY GENERAL FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>									
F11	\$160,000	\$0	\$0	\$0	\$0	\$0	\$160,000		
F12	(12,600)	0	0	(6,400)	0	0	(19,000)		
<b>Total FY 2000 Attorney General Supplementals</b>	<b>\$147,400</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$6,400)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$141,000</b>		
<b>AUDITOR FY 2001 OPERATING BUDGET</b>									
<b>Beginning Base Budget</b>									
F13	\$2,618,700	\$0	\$0	\$552,300	\$0	\$0	\$3,171,000		
F14	(18,600)	0	0	0	0	0	(18,600)		
F15	0	0	0	31,300	0	0	31,300		
	<i>2,600,100</i>	<i>0</i>	<i>0</i>	<i>583,600</i>	<i>0</i>	<i>0</i>	<i>3,183,700</i>		
	<i>Subtotal Beginning Base Budget - Auditor</i>								

**ELECTED OFFICIALS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
F16 Internal service fund adjustments	(500)	0	0	0	0	0	(500)
F17 Market comparability adjustments	2,700	0	0	0	0	0	2,700
F18 Personal services adjustments	12,700	0	0	0	0	0	12,700
<i>Subtotal Base Adjustments - Auditor</i>	<i>14,900</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>14,900</i>
<b>Total FY 2001 Auditor Base Budget</b>	<b>2,615,000</b>	<b>0</b>	<b>0</b>	<b>583,600</b>	<b>0</b>	<b>0</b>	<b>3,198,600</b>
<b>Ongoing Adjustments</b>							
A19 Compensation package	103,000	0	0	0	0	0	103,000
<b>Total FY 2001 Auditor Adjustments</b>	<b>103,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>103,000</b>
<b>Total FY 2001 Auditor Operating Budget</b>	<b>\$2,718,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$583,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,301,600</b>
<b>AUDITOR FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
F20 Internal service fund adjustments	(\$1,100)	\$0	\$0	\$0	\$0	\$0	(\$1,100)
<b>Total FY 2000 Auditor Supplementals</b>	<b>(\$1,100)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$1,100)</b>
<b>GOVERNOR FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F21 FY 2000 appropriated budget	\$7,885,300	\$0	\$16,068,200	\$328,300	\$922,700	\$1,897,700	\$27,102,200
F22 Less one-time FY 2000 appropriations	(275,000)	0	0	0	0	0	(275,000)
F23 Personal services adjustments for fewer working days in FY 2001	(36,700)	0	(1,200)	(400)	(4,400)	(800)	(43,500)
F24 Adjustments in non-state funding levels	0	0	38,200	(26,900)	300	(1,103,000)	(1,091,400)
<i>Subtotal Beginning Base Budget - Governor</i>	<i>7,573,600</i>	<i>0</i>	<i>16,105,200</i>	<i>301,000</i>	<i>918,600</i>	<i>793,900</i>	<i>25,692,300</i>
<b>Base Adjustments</b>							
F25 Internal service fund adjustments	(200)	0	(300)	0	2,000	0	1,500
F26 Market comparability adjustments	5,600	0	1,000	0	4,000	0	10,600
F27 Personal services adjustments	21,800	0	1,900	(100)	3,200	0	26,800
<i>Subtotal Base Adjustments - Governor</i>	<i>27,200</i>	<i>0</i>	<i>2,600</i>	<i>(100)</i>	<i>9,200</i>	<i>0</i>	<i>38,900</i>
<b>Total FY 2001 Governor Base Budget</b>	<b>7,600,800</b>	<b>0</b>	<b>16,107,800</b>	<b>300,900</b>	<b>927,800</b>	<b>793,900</b>	<b>25,731,200</b>

**ELECTED OFFICIALS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Ongoing Adjustments</b>							
F28 21st Century Communities program	115,000	0	0	0	0	0	115,000
F29 Compensation package	193,500	0	8,800	2,000	22,100	300	226,700
<i>Subtotal Ongoing Adjustments - Governor</i>	<i>308,500</i>	<i>0</i>	<i>8,800</i>	<i>2,000</i>	<i>22,100</i>	<i>300</i>	<i>341,700</i>
<b>Total FY 2001 Governor Adjustments</b>	<b>308,500</b>	<b>0</b>	<b>8,800</b>	<b>2,000</b>	<b>22,100</b>	<b>300</b>	<b>341,700</b>
<b>Total FY 2001 Governor Operating Budget</b>	<b>\$7,909,300</b>	<b>\$0</b>	<b>\$16,116,600</b>	<b>\$302,900</b>	<b>\$949,900</b>	<b>\$794,200</b>	<b>\$26,072,900</b>
<b>GOVERNOR FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
F30 Census 2000	\$10,000	\$0	\$25,000	\$0	\$0	\$0	\$35,000
F31 Internal service fund adjustments	(4,400)	0	(300)	0	(1,100)	0	(5,800)
<b>Total FY 2000 Governor Supplementals</b>	<b>\$5,600</b>	<b>\$0</b>	<b>\$24,700</b>	<b>\$0</b>	<b>(\$1,100)</b>	<b>\$0</b>	<b>\$29,200</b>
<b>STATE ELECTIONS OFFICE FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F32 FY 2000 appropriated budget	\$1,025,600	\$0	\$0	\$0	\$0	\$0	\$1,025,600
F33 Less one-time FY 2000 appropriations	(600,000)	0	0	0	0	0	(600,000)
F34 Personal services adjustments for fewer working days in FY 2001	(1,100)	0	0	0	0	0	(1,100)
<i>Subtotal Beginning Base Budget - State Elections Office</i>	<i>424,500</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>424,500</i>
<b>Base Adjustments</b>							
F35 Personal services adjustments	1,200	0	0	0	0	0	1,200
<b>Total FY 2001 State Elections Office Base Budget</b>	<b>425,700</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>425,700</b>
<b>Ongoing Adjustments</b>							
F36 Compensation package	5,900	0	0	0	0	0	5,900
<b>One-time Adjustments</b>	<b>300,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>300,000</b>
F37 Biennial elections	305,900	0	0	0	0	0	305,900
<b>Total FY 2001 State Elections Office Adjustments</b>	<b>305,900</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>305,900</b>
<b>Total FY 2001 State Elections Office Operating Budget</b>	<b>\$731,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$731,600</b>

**ELECTED OFFICIALS - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TREASURER FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
F38	\$790,100	\$0	\$0	\$186,800	\$957,300	\$0	\$1,914,200
F39	(4,400)	0	0	0	(3,600)	0	(8,000)
F40	0	0	0	0	0	26,000	26,000
	785,700	0	0	186,800	933,700	26,000	1,932,200
	<i>Subtotal Beginning Base Budget - Treasurer</i>						
<b>Base Adjustments</b>							
F41	500	0	0	0	(700)	0	(200)
F42	0	0	0	0	1,500	0	1,500
F43	2,600	0	0	0	4,100	0	6,700
	3,100	0	0	0	4,900	0	8,000
	<i>Subtotal Base Adjustments - Treasurer</i>						
<b>Total FY 2001 Treasurer Base Budget</b>	<b>788,800</b>	<b>0</b>	<b>0</b>	<b>186,800</b>	<b>938,600</b>	<b>26,000</b>	<b>1,940,200</b>
<b>Ongoing Adjustments</b>							
F44	0	0	0	0	139,800	0	139,800
F45	20,500	0	0	1,900	19,500	0	41,900
	20,500	0	0	1,900	19,500	0	41,900
	<i>Subtotal Ongoing Adjustments - Treasurer</i>						
<b>Total FY 2001 Treasurer Adjustments</b>	<b>20,500</b>	<b>0</b>	<b>0</b>	<b>1,900</b>	<b>159,300</b>	<b>0</b>	<b>181,700</b>
<b>Total FY 2001 Treasurer Operating Budget</b>	<b>\$809,300</b>	<b>\$0</b>	<b>\$0</b>	<b>\$188,700</b>	<b>\$1,097,900</b>	<b>\$26,000</b>	<b>\$2,121,900</b>
<b>TREASURER FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
F46	(\$300)	\$0	\$0	\$0	(\$100)	\$0	(\$400)
<b>Total FY 2000 Treasurer Supplementals</b>	<b>(\$300)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$100)</b>	<b>\$0</b>	<b>(\$400)</b>
<b>ELECTED OFFICIALS TOTALS</b>							
FY 2001 Operating Base Budget	\$27,904,200	\$0	\$16,231,500	\$10,671,300	\$2,793,900	\$1,008,200	\$58,609,100
FY 2001 Operating Adjustments	1,735,800	0	878,300	315,900	218,200	2,400	3,150,600
FY 2001 Operating Recommendation	29,640,000	0	17,109,800	10,987,200	3,012,100	1,010,600	61,759,700
FY 2000 Operating Supplementals	151,600	0	24,700	(6,400)	(1,200)	0	168,700



# ENVIRONMENTAL QUALITY

Mission: *Safeguard human health and quality of life by protecting and enhancing the environment.*

## PROGRAMS

- **Executive Director** coordinates all administrative and planning functions for the department.
- **Air Quality** protects human health, property, and vegetation from the effects of air pollution.
- **Drinking Water** ensures that public water systems provide safe drinking water.
- **Environmental Response and Remediation** oversees the cleanup of chemically contaminated sites and ensures proper use of underground storage tanks.
- **Radiation** monitors radiation levels to ensure the lowest possible exposure to residents.
- **Solid and Hazardous Waste** monitors management of solid and hazardous wastes.

- **Water Quality** protects the quality of surface and underground waters and prevents the improper disposal of wastes.

## SELECTED DEPARTMENT GOALS

- Establish clear and achievable criteria that promote environmental excellence in air, water, and soil standards and in activities for pollution prevention, cleanups, emissions reduction, public education, etc.
- Administer environmental programs and priorities to reflect Utah's unique conditions, oversee programs at the state or local level, and whenever possible, actively influence non-delegated federal programs to reflect Utah's needs.
- Improve effectiveness and delivery of environmental services through strengthening

relationships with local health departments and local governments.

- Operate as a customer-oriented agency focusing on customer service, trust, and problem solving through teamwork and partnership.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 35-57, 63-69, and 84-90.*

## MAJOR BUDGET RECOMMENDATIONS

- Fund \$367,300 from the Environmental Quality Restricted Account for the ongoing investigation, evaluation and communication of risks associated with storage of high-level nuclear waste in Utah.
- Recommend a one-time appropriation of \$665,000

and a supplemental appropriation of \$632,000 from the General Fund to revise the PM10 state implementation plans for Utah County and Salt Lake County.

- Provide funding from the Petroleum Storage Tank Trust Fund to pay legal costs incurred by the Attorney General's Office in cost recovery efforts from cleanup of uninsured leaking underground storage tanks. Recovered costs will go into the Petroleum Storage Tank Trust Fund.
- Allow the Drinking Water Division to use federal funds to aid in maintaining a state program and implementing

new regulations from the 1996 Safe Drinking Water Act Amendments.

**FY 2000 PROPOSED  
LEGISLATIVE INTENT**

**Air Quality**

Funds appropriated for the PM10 state implementation plan are nonlapsing.

**FY 2001 PROPOSED  
LEGISLATIVE INTENT**

**Executive Director**

Funds appropriated for the purpose of addressing high-

level nuclear waste are nonlapsing.

**Air Quality**

Any unexpended funds in the Air Operating Permit Program are nonlapsing and authorized for use in the Air Operating Permit Program to reduce emission fees.

Funds appropriated for the PM10 state implementation plan are nonlapsing. Any funds in excess at the completion of the project will lapse to the General Fund.

**ENVIRONMENTAL QUALITY**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$9,084,100	\$9,489,200	\$10,105,100	\$9,422,300	\$1,169,100	\$10,591,400
Federal Funds	10,835,700	14,758,900	14,749,700	12,328,400	522,600	12,851,000
Dedicated Credits	5,839,800	6,198,800	6,194,600	6,190,000	144,600	6,334,600
Restricted and Trust Funds	9,427,100	6,721,400	6,714,900	6,236,900	625,000	6,861,900
Transfers	(87,600)	165,000	165,000	146,900	4,100	151,000
Beginning Balances	1,305,900	1,154,200	1,154,200	769,600	0	769,600
Closing Balances	(1,154,200)	(769,600)	(769,600)	(23,800)	0	(23,800)
Lapsing Funds	(3,505,300)	0	0	0	0	0
<b>Total Financing</b>	<b>\$31,745,500</b>	<b>\$37,717,900</b>	<b>\$38,313,900</b>	<b>\$35,070,300</b>	<b>\$2,465,400</b>	<b>\$37,535,700</b>
<b>Programs</b>						
Executive Director	\$4,519,200	\$4,551,400	\$4,541,100	\$3,843,300	\$664,000	\$4,507,300
Air Quality	7,057,400	7,720,300	8,346,300	7,616,900	893,000	8,509,900
Drinking Water	3,158,400	3,922,400	3,919,300	3,093,700	327,400	3,421,100
Environ. Response/Remediation	4,303,700	5,464,500	5,459,000	5,330,600	232,000	5,562,600
Radiation	1,508,900	1,656,900	1,655,300	1,654,900	51,000	1,705,900
Solid and Hazardous Waste	5,701,500	6,585,300	6,580,700	6,404,700	148,100	6,552,800
Water Quality	5,496,400	7,817,100	7,812,200	7,126,200	149,900	7,276,100
<b>Total Budget</b>	<b>\$31,745,500</b>	<b>\$37,717,900</b>	<b>\$38,313,900</b>	<b>\$35,070,300</b>	<b>\$2,465,400</b>	<b>\$37,535,700</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(2.0%)</b>
FTE Positions	--	423.5	423.5	423.5	0.0	423.5

**ENVIRONMENTAL QUALITY**

Capital Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			Total FY 2001
			Recommended FY 2000	Base FY 2001	Adjust- ments	
<b>Plan of Financing</b>						
Federal Funds	\$2,954,000	\$9,616,700	\$9,616,700	\$9,616,700	\$0	\$9,616,700
Transfers	(3,781,000)	0	0	0	0	0
Beginning Balances	4,092,100	0	0	0	0	0
<b>Total Financing</b>	<b>\$3,265,100</b>	<b>\$9,616,700</b>	<b>\$9,616,700</b>	<b>\$9,616,700</b>	<b>\$0</b>	<b>\$9,616,700</b>
<b>Projects</b>						
Environmental Site Remediation	\$2,954,000	\$9,616,700	\$9,616,700	\$9,616,700	\$0	\$9,616,700
PST Restricted Cleanup	311,100	0	0	0	0	0
<b>Total Budget</b>	<b>\$3,265,100</b>	<b>\$9,616,700</b>	<b>\$9,616,700</b>	<b>\$9,616,700</b>	<b>\$0</b>	<b>\$9,616,700</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>0.0%</b>

**ENVIRONMENTAL QUALITY**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ENVIRONMENTAL QUALITY FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
G1	FY 2000 appropriated budget	\$0	\$10,743,800	\$6,823,300	\$6,721,400	\$883,300	\$34,661,000
G2	Less one-time FY 2000 appropriations	(50,000)	0	(67,300)	(487,200)	0	(604,500)
G3	Personal services adjustments for fewer working days in FY 2001	(58,800)	(44,000)	(25,800)	(31,400)	0	(160,000)
G4	Adjustments in non-state funding levels	0	1,601,600	(556,000)	11,400	9,000	1,066,000
	<i>Subtotal Beginning Base Budget - Environmental Quality</i>	<i>9,380,400</i>	<i>12,301,400</i>	<i>6,174,200</i>	<i>6,214,200</i>	<i>892,300</i>	<i>34,962,500</i>
<b>Base Adjustments</b>							
G5	Internal service fund adjustments	(6,000)	(9,500)	(4,000)	(4,500)	0	(24,000)
G6	Market comparability adjustments	14,300	4,400	4,900	5,500	0	29,100
G7	Personal services adjustments	33,600	32,100	14,900	21,700	400	102,700
	<i>Subtotal Base Adjustments - Environmental Quality</i>	<i>41,900</i>	<i>27,000</i>	<i>15,800</i>	<i>22,700</i>	<i>400</i>	<i>107,800</i>
	<b>Total FY 2001 Environmental Quality Base Budget</b>	<b>9,422,300</b>	<b>12,328,400</b>	<b>6,190,000</b>	<b>6,236,900</b>	<b>892,700</b>	<b>35,070,300</b>
<b>Ongoing Adjustments</b>							
G8	Environmental response - UST cost recovery attorney	0	0	0	87,200	0	87,200
G9	Drinking water - safe drinking water program contract services	0	256,900	0	0	0	256,900
G10	Compensation package	304,100	265,700	144,600	170,500	4,100	889,000
	<i>Subtotal Ongoing Adjustments - Environmental Quality</i>	<i>304,100</i>	<i>522,600</i>	<i>144,600</i>	<i>257,700</i>	<i>4,100</i>	<i>1,233,100</i>
<b>One-time Adjustments</b>							
G11	Administration - high level nuclear waste initiative	0	0	0	367,300	0	367,300
G12	Administration - high level nuclear waste litigation	200,000	0	0	0	0	200,000
G13	Air quality - PM10 air implementation project	665,000	0	0	0	0	665,000
	<i>Subtotal One-time Adjustments - Environmental Quality</i>	<i>865,000</i>	<i>0</i>	<i>0</i>	<i>367,300</i>	<i>0</i>	<i>1,232,300</i>
	<b>Total FY 2001 Environmental Quality Adjustments</b>	<b>1,169,100</b>	<b>522,600</b>	<b>144,600</b>	<b>625,000</b>	<b>4,100</b>	<b>2,465,400</b>
	<b>Total FY 2001 Environmental Quality Operating Budget</b>	<b>\$10,591,400</b>	<b>\$12,851,000</b>	<b>\$6,334,600</b>	<b>\$6,861,900</b>	<b>\$896,800</b>	<b>\$37,535,700</b>
<b>ENVIRONMENTAL QUALITY FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
G14	Air quality - PM10 air implementation project	\$632,000	\$0	\$0	\$0	\$0	\$632,000
G15	Internal service fund adjustments	(16,100)	0	(4,200)	(6,500)	0	(36,000)
	<b>Total FY 2000 Environmental Quality Supplementals</b>	<b>\$615,900</b>	<b>\$0</b>	<b>(\$4,200)</b>	<b>(\$6,500)</b>	<b>\$0</b>	<b>\$596,000</b>

**ENVIRONMENTAL QUALITY - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>ENVIRONMENTAL QUALITY FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
<i>GI6</i> FY 2000 appropriated budget	\$0	\$0	\$9,616,700	\$0	\$0	\$0	\$9,616,700
<b>Total FY 2001 Environmental Quality Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>9,616,700</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>9,616,700</b>
<b>Total FY 2001 Environmental Quality Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$9,616,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$9,616,700</b>
<b>ENVIRONMENTAL QUALITY TOTALS</b>							
FY 2001 Operating Base Budget	\$9,422,300	\$0	\$12,328,400	\$6,190,000	\$6,236,900	\$892,700	\$35,070,300
FY 2001 Operating Adjustments	1,169,100	0	522,600	144,600	625,000	4,100	2,465,400
FY 2001 Operating Recommendation	10,591,400	0	12,851,000	6,334,600	6,861,900	896,800	37,335,700
FY 2000 Operating Supplementals	615,900	0	(9,200)	(4,200)	(6,500)	0	596,000
FY 2001 Capital Base Budget	0	0	9,616,700	0	0	0	9,616,700
FY 2001 Capital Recommendation	0	0	9,616,700	0	0	0	9,616,700



## HEALTH

*Mission: To protect the public's health through 1) preventing avoidable disease, injury, disability, and premature death; 2) assuring access to affordable, quality health care; 3) promoting healthy lifestyles and documenting health events; and 4) monitoring and analyzing health trends.*

### PROGRAMS

- **Office of the Executive Director** provides overall direction, policy development, and management of the Utah Department of Health. The office also conducts administrative and support functions, manages birth and death certificates, and prepares and issues health statistics.
- **Medical Examiner** investigates and certifies all sudden and unexpected deaths that occur within the state, and identifies causes that could endanger public health.
- **Health Systems Improvement** trains and certifies emergency medical personnel, licenses health care facilities, and promotes primary care to underserved populations.

- **Epidemiology and Laboratory Services** performs clinical and environmental laboratory examinations to support public health programs, ensures quality of medical and environmental laboratories, and performs surveillance and investigation of disease and environmental exposure.
- **Community and Family Health Services** manages programs that promote public health and help women, infants, and children gain access to comprehensive and affordable health care.
- **Health Care Finance** administers Medicaid and the Utah Medical Assistance Program. The service portions of these two programs make up the Medical Assistance category.
- **Health Policy Commission** recommends state policy and legislation to implement

*HealthPrint* — the governor's health care reform package.

### SELECTED DEPARTMENT GOALS

- Provide health insurance for all children whose family income is below 201 percent of the federal poverty level, or \$33,400 for a family of four, by implementing the Children's Health Insurance Program (CHIP).
- Increase access to affordable, quality health care.
- Improve immunization rates in Utah and prevent major outbreaks of preventable disease.
- Assure timely access to public health information.

*A comprehensive list of goals and performance measurements*

*can be found in the Utah Tomorrow Strategic Plan, 1998 Annual Report, pages 70-90.*

**MAJOR BUDGET RECOMMENDATIONS**

- Fund \$382,500 in General Fund for CHIP program cost increases. The program is anticipated to serve 21,000 children in its third year. The average cost per child is \$90.
- Continue one-time funding of \$500,000 in General Fund for direct health care and dental services through the Primary Care Grants program for 3,600 medically underserved individuals.
- Fund rural projects and expansion of the Telehealth program with one-time General Fund of \$100,000.
- Sunset the Health Policy Commission on December 31, 2000, and deliver its final report to the legislature six months early.
- Expand the funding for the Early Intervention Program with \$300,000 in General Fund and maximize available funding through CHIP and Medicaid. Include an additional 400 children in the program.
- Transfer General Fund from the Office of the Executive

Director to the Medical Education Council to accurately account for the council's costs and to get approval for a Health Care Financing Administration demonstration project waiver.

**Medicaid**

- Fund inflation, utilization, and the federal match rate change in the Medicaid program with \$5,629,300 in General Fund and \$8,500,000 in tobacco settlement funds. Including federal funds and dedicated credits, the governor recommends \$49,661,900 in total funds.
- Replace one-time funding for the Medicaid program with \$4,900,200 from the General Fund.
- Competitively bid nursing home beds for a cost savings of \$1,092,300 in General Fund and \$4,726,500 in total funds.
- Lower the reimbursement to pharmacies to Average Wholesale Price minus 15 percent for a cost savings of \$638,900 in General Fund and \$2,400,000 in total funds.
- Aggressively edit claims and audit health maintenance organizations for payment accuracy for a cost savings of \$266,200 in General Fund and \$1,000,000 in total funds.

- Fund Medicaid's one extra payment week in FY 2001 with \$1.7 million from the Medicaid Restricted Account.

**EFFECTIVE BASE BUDGET CUTS**

- Complete the Health Status Survey in FY 2001 without receiving additional funding to cover the \$356,200 cost.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

**Health Systems Improvement**

Funds from the Mineral Lease Account for the State Primary Care Grant Program for underserved or uninsured individuals and special populations are nonlapsing.

Fees collected for the purpose of plan reviews by the Bureau of Health Facility Licensing are nonlapsing.

Funds for the Urban Underserved Special Populations Health Care Provider Assistance program are nonlapsing.

**Community and Family Health Services**

Funds for the Utah Statewide Immunization Information System are nonlapsing.

**FY 2001 PROPOSED  
LEGISLATIVE INTENT**

**Health Systems Improvement**

Fees collected for the purpose of plan reviews by the Bureau of Health Facility Licensing are nonlapsing.

Funds for the Utah Statewide Immunization Information System are nonlapsing.

**Community and Family  
Health Services**

Community and Family Health Services will suggest

a \$10 donation from each family for children’s services in the Early Intervention Program.

**Health Care Finance**

The Division of Health Care Finance may expend up to \$10,000 of the General Fund allocated for Medical Assistance to provide emergent medical-related services for persons not qualified for Medicaid or the Utah Medical Assistance Program.

**Medical Assistance**

The Medical Assistance Program will present its phar-

maceutical study to the Health and Human Services Appropriations Subcommittee, including the costs and cost savings measures for Medicaid’s pharmacy program.

**Medicaid Program**

The Division of Health Care Finance during FY 2000 year-end closing is authorized to transfer up to \$2,500,000 from the Medicaid Restricted Account to fund accrued claims. Of this amount, up to \$500,000 can be used for the Utah Medical Assistance Program.

**HEALTH**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$174,081,500	\$183,773,700	\$183,629,800	\$183,032,700	\$10,752,500	\$193,785,200
Federal Funds	616,661,000	649,661,900	649,470,500	635,685,100	48,217,500	683,902,600
Dedicated Credits	73,713,400	74,936,200	74,980,000	75,990,200	553,900	76,544,100
Mineral Lease	600,000	600,000	600,000	0	0	0
Restricted and Trust Funds	13,715,700	15,145,000	15,145,000	10,247,800	10,175,700	20,423,500
Transfers	43,937,400	40,746,100	40,744,000	40,655,700	114,400	40,770,100
Beginning Balances	3,149,400	3,909,400	3,909,400	2,561,100	0	2,561,100
Closing Balances	(3,909,400)	(2,561,100)	(2,561,100)	(2,056,800)	0	(2,056,800)
Lapsing Funds	(2,463,100)	0	0	0	0	0
<b>Total Financing</b>	<b>\$919,485,900</b>	<b>\$966,211,200</b>	<b>\$965,917,600</b>	<b>\$946,115,800</b>	<b>\$69,814,000</b>	<b>\$1,015,929,800</b>
<b>Programs</b>						
Executive Director	\$11,548,900	\$12,166,400	\$12,158,000	\$11,831,400	\$310,600	\$12,142,000
Health Systems Improvement	9,645,400	11,963,000	11,953,400	11,208,700	835,800	12,044,500
Epidemiology and Lab Services	10,690,000	12,080,500	12,078,700	11,607,200	217,400	11,824,600
Community and Family Health	72,598,600	75,647,800	75,613,500	78,223,900	959,000	79,182,900
Health Care Financing	50,255,700	63,435,500	63,145,300	57,206,800	751,700	57,958,500
Medical Assistance	755,417,100	766,991,700	766,991,700	752,820,500	64,661,000	817,481,500
Children's Health Insurance	6,894,200	20,171,600	20,171,600	20,093,800	2,070,100	22,163,900
Veteran's Nursing Home	1,864,900	2,686,400	2,737,100	2,793,300	3,800	2,797,100
Health Policy Commission	530,400	434,100	434,100	219,200	4,600	223,800
Medical Education Council	40,700	634,200	634,200	111,000	0	111,000
<b>Total Budget</b>	<b>\$919,485,900</b>	<b>\$966,211,200</b>	<b>\$965,917,600</b>	<b>\$946,115,800</b>	<b>\$69,814,000</b>	<b>\$1,015,929,800</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>5.2%</b>
FTE Positions	--	1,149.0	1,149.0	1,155.6	0.0	1,155.6

**HEALTH**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>HEALTH FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
H1	\$183,773,700	\$0	\$616,129,000	\$52,676,000	\$15,745,000	\$48,492,000	\$916,815,700
H2	(602,000)	0	(14,709,000)	(10,989,200)	(9,933,300)	0	(36,233,500)
H3	(163,600)	0	(160,600)	(25,200)	0	(26,200)	(375,600)
H4	0	0	34,395,800	34,206,300	4,433,100	(7,330,400)	65,704,800
H5	(35,000)	0	0	0	0	0	(35,000)
	<i>Subtotal Beginning Base Budget - Health</i>	0	<i>635,655,200</i>	<i>75,867,900</i>	<i>10,244,800</i>	<i>41,135,400</i>	<i>945,876,400</i>
<b>Base Adjustments</b>							
H6	(154,400)	0	(218,100)	98,200	1,700	5,200	(267,400)
H7	79,900	0	105,700	7,500	100	7,700	200,900
H8	134,100	0	142,300	16,600	1,200	11,700	305,900
	<i>Subtotal Base Adjustments - Health</i>	0	<i>29,900</i>	<i>122,500</i>	<i>3,000</i>	<i>24,600</i>	<i>259,400</i>
	<b>183,032,700</b>	<b>0</b>	<b>635,685,100</b>	<b>75,990,200</b>	<b>10,247,800</b>	<b>41,160,000</b>	<b>946,115,800</b>
<b>Ongoing Adjustments</b>							
H9	300,000	0	187,600	0	0	0	487,600
H10	0	0	19,455,000	304,100	7,672,700	0	27,431,800
H11	4,969,400	0	16,068,800	198,600	993,300	0	22,230,100
H12	659,900	0	(659,900)	0	0	0	0
H13	(1,092,300)	0	(3,384,500)	(83,700)	(166,000)	0	(4,726,500)
H14	(638,900)	0	(1,718,600)	(42,500)	0	0	(2,400,000)
H15	(266,200)	0	(716,100)	(17,700)	0	0	(1,000,000)
H16	4,900,200	0	12,281,400	0	0	0	17,181,600
H17	30,000	0	0	0	0	0	30,000
H18	382,500	0	1,683,900	0	0	0	2,066,400
H19	907,900	0	898,300	130,700	700	114,400	2,052,000
	<i>Subtotal Ongoing Adjustments - Health</i>	0	<i>44,095,900</i>	<i>489,500</i>	<i>8,500,700</i>	<i>114,400</i>	<i>63,353,000</i>

**HEALTH - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>One-time Adjustments</b>							
H20 Medical assistance - Medicaid extra payment	0	0	4,121,600	64,400	1,675,000	0	5,861,000
H21 Primary Care Grants - health care for medically underserved	500,000					0	500,000
H22 Telehealth - rural projects and expansions	100,000					0	100,000
Subtotal One-time Adjustments - Health	600,000	0	4,121,600	64,400	1,675,000	0	6,461,000
<b>Total FY 2001 Health Adjustments</b>	<b>10,752,500</b>	<b>0</b>	<b>48,217,500</b>	<b>553,900</b>	<b>10,175,700</b>	<b>114,400</b>	<b>69,814,000</b>
<b>Total FY 2001 Health Operating Budget</b>	<b>\$193,785,200</b>	<b>\$0</b>	<b>\$683,902,600</b>	<b>\$76,544,100</b>	<b>\$20,423,500</b>	<b>\$41,274,400</b>	<b>\$1,015,929,800</b>
<b>HEALTH FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
H23 Internal service fund adjustments	(\$143,900)	\$0	(\$191,400)	\$43,800	\$0	(\$2,100)	(\$293,600)
<b>Total FY 2000 Health Supplementals</b>	<b>(\$143,900)</b>	<b>\$0</b>	<b>(\$191,400)</b>	<b>\$43,800</b>	<b>\$0</b>	<b>(\$2,100)</b>	<b>(\$293,600)</b>
<b>HEALTH TOTALS</b>							
<b>FY 2001 Operating Base Budget</b>	<b>\$183,052,700</b>	<b>\$0</b>	<b>\$635,685,100</b>	<b>\$75,990,200</b>	<b>\$10,247,800</b>	<b>\$41,160,000</b>	<b>\$946,115,800</b>
<b>FY 2001 Operating Adjustments</b>	<b>10,752,500</b>	<b>0</b>	<b>48,217,500</b>	<b>553,900</b>	<b>10,175,700</b>	<b>114,400</b>	<b>69,814,000</b>
<b>FY 2001 Operating Recommendation</b>	<b>193,785,200</b>	<b>0</b>	<b>683,902,600</b>	<b>76,544,100</b>	<b>20,423,500</b>	<b>41,274,400</b>	<b>1,015,929,800</b>
<b>FY 2000 Operating Supplementals</b>	<b>(143,900)</b>	<b>0</b>	<b>(191,400)</b>	<b>43,800</b>	<b>0</b>	<b>(2,100)</b>	<b>(293,600)</b>



## HIGHER EDUCATION

Mission: *Provide high quality academic, professional, and applied technology learning opportunities designed to advance the intellectual, cultural, social, and economic well-being of the state and its people.*

### INSTITUTIONS

- The **University of Utah** (U of U) is a research/teaching university with 21,774 full-time equivalent (FTE) students. U of U also receives an estimated \$138,321,800 in non-state funds for separately budgeted research.
- **Utah State University** (USU) is a research/teaching university which enrolls 15,713 FTE students. In addition, USU receives an estimated \$79,990,200 in non-state funds for separately budgeted research.
- **Weber State University** is a regional/metropolitan university with an FTE student enrollment of 11,304.
- **Southern Utah University** is a regional university with 5,367 FTE students.
- **Snow College**, a rural community college, has 3,306 FTE students.
- **Dixie College** is a rural community college with 3,654 FTE students and authority to begin two baccalaureate programs in 2000-2001.
- The **College of Eastern Utah** is a rural community college with 2,012 FTE students.
- **Utah Valley State College** (UVSC) is an urban community college with authority to offer several baccalaureate degree programs. UVSC's FTE student enrollment is 12,553.
- **Salt Lake Community College** (SLCC) is an urban community college with an FTE student enrollment of 13,279.
- The **Utah State Board of Regents** is the governing board of the Utah System of Higher Education which includes Utah's nine state colleges and universities. The Office of the Commissioner provides staff support for the board.
- **Utah Education Network** (UEN) is a collaboration of higher education, public education, business, two public television stations (KUED and KULC), and the state-wide education technology networks of EDNET and UtahLINK. UEN delivers electronic academic instruction and services to public and higher education institutions throughout the state.

### HIGHER EDUCATION GOALS

- All Utahns will have access to appropriate postsecondary education.
- Utah's postsecondary education institutions will provide high quality, technology-enhanced education delivered by qualified faculties with adequate library, facility, equipment, academic, and administrative support.
- All postsecondary students will acquire skills and knowledge for marketable

employment, advanced study, and life-long learning.

*A comprehensive list of goals and performance measurements can be found in the Utah Tomorrow Strategic Plan, 1998 Annual Report, pages 28-34.*

### PERFORMANCE MEASURES

- *Instructional quality and student learning* is intended to track and improve instructional quality.
- *Graduation efficiency* is intended to identify and eliminate bottlenecks to timely graduation.
- *Transfer efficiency* is intended to evaluate and improve the transfer function.
- *Faculty workload* is intended to evaluate the adequacy of instructional workloads.
- *Two institution-specific measures* are intended to reflect the effectiveness of each institution at achieving its unique mission and role.

### MAJOR BUDGET RECOMMENDATIONS

- Appropriate \$43,057,400 in ongoing funds to the State Board of Regents. This funding is to address enroll-

ment increases of 2,183 FTE students; faculty salary equity; a quality and performance funding initiative; operations and maintenance for new facilities; a total compensation package of 4.5 percent; or other high priority items as determined by the State Board of Regents. Governor Leavitt recommends flexibility for the board to determine the distribution of funds.

Included in the above amount are increases of \$2,615,100 in tuition from new students and \$5,058,300 in tuition from a 3.0 percent general tuition increase. Also included is the final General Fund replacement of \$1,424,100 in mineral lease funds transferred to the Permanent Community Impact Fund.

- Increase the General Fund appropriation for New Century Scholarships by \$40,000 to cover growth and second year costs.
- Replace a one-time appropriation for area health education centers with \$300,000 ongoing General Fund.
- Fund the second phase of KUED and KULC's three phase digital TV conversion with a one-time school funds appropriation of \$2,566,000.
- Support growth of information technology in educa-

tional institutions throughout the state by providing \$162,900 in ongoing school funds for UEN to provide increased internet bandwidth for EDNET and UtahLINK.

- Support the construction of eleven non-state funded projects totaling approximately \$76,393,300. Anticipated annual state operations and maintenance requests associated with these projects in future years will be \$897,000.

### EFFECTIVE BASE BUDGET CUTS

- Absorb utility cost increases and hazardous waste disposal costs of \$316,900 within existing budgets.
- Begin providing services for people with disabilities previously served by the Utah State Office of Rehabilitation.
- Accommodate \$63,000 in greater federal matching requirements and the loss of \$400,000 in one-time funds for the student loan program within current resources.
- Continue programs for libraries, SLCC applied technology education, and USU landscape water management without replacing one-time General Fund and school fund appropriations of \$1,349,000.

**HIGHER EDUCATION**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 1999</b>	<b>Authorized FY 2000</b>	<b>Recommended FY 2000</b>	<b>Base FY 2001</b>	<b>Adjust- ments</b>	<b>Total FY 2001</b>
<b>Plan of Financing</b>						
General Fund	\$363,176,800	\$364,246,600	\$364,242,700	\$400,709,000	\$37,348,100	\$438,057,100
School Funds	144,591,400	163,886,700	163,886,700	123,756,700	2,914,600	126,671,300
Federal Funds	4,716,600	4,592,300	4,592,300	4,592,300	0	4,592,300
Dedicated Credits	165,163,500	178,323,700	178,323,700	177,986,100	7,673,400	185,659,500
Mineral Lease	3,416,300	2,020,400	2,020,400	2,009,100	(1,424,100)	585,000
Restricted and Trust Funds	568,600	752,700	752,700	752,700	0	752,700
Beginning Balances	26,571,800	27,438,100	27,438,100	27,438,100	0	27,438,100
Closing Balances	(27,438,100)	(27,438,100)	(27,438,100)	(27,438,100)	0	(27,438,100)
Lapsing Funds	(704,300)	0	0	0	0	0
<b>Total Financing</b>	<b>\$680,062,600</b>	<b>\$713,822,400</b>	<b>\$713,818,500</b>	<b>\$709,805,900</b>	<b>\$46,512,000</b>	<b>\$756,317,900</b>
<b>Programs</b>						
University of Utah	\$241,646,800	\$251,623,700	\$251,619,400	\$250,662,400	\$300,000	\$250,962,400
Utah State University	150,296,400	154,206,700	154,195,400	153,619,800	0	153,619,800
Weber State University	68,916,900	71,199,200	71,199,200	70,925,700	0	70,925,700
Southern Utah University	33,009,900	31,036,100	31,036,100	30,825,200	0	30,825,200
Snow College	18,649,300	19,060,400	19,072,100	18,990,000	0	18,990,000
Dixie College	17,247,000	18,395,100	18,395,100	18,348,400	0	18,348,400
College of Eastern Utah	12,429,000	12,841,500	12,841,500	12,808,600	0	12,808,600
Utah Valley State College	50,523,100	53,365,000	53,365,000	53,130,000	0	53,130,000
Salt Lake Community College	64,642,100	67,100,000	67,100,000	66,726,900	0	66,726,900
Regents/Statewide Programs	13,020,400	19,796,100	19,796,100	18,907,900	43,297,400	62,205,300
Utah Education Network	9,681,700	15,198,600	15,198,600	14,861,000	2,914,600	17,775,600
<b>Total Budget</b>	<b>\$680,062,600</b>	<b>\$713,822,400</b>	<b>\$713,818,500</b>	<b>\$709,805,900</b>	<b>\$46,512,000</b>	<b>\$756,317,900</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>6.0%</b>
<p><i>Actual expenditures for Snow College South (formerly Sevier Valley Applied Technology Center) are included in Higher Education for FY 1999 for purposes of comparison. Sevier Valley Applied Technology Center was part of Public Education until FY 2000.</i></p>						

**HIGHER EDUCATION**

Capital Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			Total FY 2001
			Recommended FY 2000	Base FY 2001	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$0	\$13,252,000	\$13,252,000	\$0	\$0	\$0
School Funds	19,519,300	4,200,000	4,200,000	0	0	0
<b>Total Financing</b>	<b>\$19,519,300</b>	<b>\$17,452,000</b>	<b>\$17,452,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Projects</b>						
USU Roosevelt Campus	\$2,000,000	\$0	\$0	\$0	\$0	\$0
SLCC Jordan Campus Building	17,519,300	0	0	0	0	0
SUU Phys. Ed. Building	0	17,452,000	17,452,000	0	0	0
<b>Total Budget</b>	<b>\$19,519,300</b>	<b>\$17,452,000</b>	<b>\$17,452,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(100.0%)</b>

**HIGHER EDUCATION**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 OPERATING BUDGET</b>							
Beginning Base Budget							
11	\$361,871,200	\$152,939,100	\$4,592,300	\$175,915,100	\$752,700	\$2,213,500	\$698,283,900
12	(2,814,300)	(1,000,000)	0	0	0	0	(3,814,300)
13	39,130,000	(39,130,000)	0	533,000	0	(204,400)	328,600
	<i>Subtotal Beginning Base Budget - USHE</i>	<i>112,809,100</i>	<i>4,592,300</i>	<i>176,448,100</i>	<i>752,700</i>	<i>2,009,100</i>	<i>694,798,200</i>
<b>Base Adjustments</b>							
14	146,700	0	0	0	0	0	146,700
	<b>Total FY 2001 USHE Base Budget</b>	<b>112,809,100</b>	<b>4,592,300</b>	<b>176,448,100</b>	<b>752,700</b>	<b>2,009,100</b>	<b>694,944,900</b>
<b>Ongoing Adjustments</b>							
15	41,866,400	0	0	2,615,100	0	(1,424,100)	43,057,400
	Board of Regents ongoing support - block amount for compensation, salary equity, enrollment growth, new operations and maintenance, quality and performance funding, mineral lease replacement, or other priorities						
16	40,000	0	0	0	0	0	40,000
	New Century Scholarships growth						
17	300,000	0	0	0	0	0	300,000
	Area health education centers one-time funds replacement						
18	(5,058,300)	0	0	5,058,300	0	0	0
	Offset for revenue generated by a 3.0 percent tuition increase						
	<i>Subtotal Ongoing Adjustments - USHE</i>	<i>37,148,100</i>	<i>0</i>	<i>7,673,400</i>	<i>0</i>	<i>(1,424,100)</i>	<i>43,397,400</i>
<b>One-time Adjustments</b>							
19	200,000	0	0	0	0	0	200,000
	Applied technology education equipment						
	<b>Total FY 2001 USHE Adjustments</b>	<b>0</b>	<b>0</b>	<b>7,673,400</b>	<b>0</b>	<b>(1,424,100)</b>	<b>43,597,400</b>
	<b>Total FY 2001 USHE Operating Budget</b>	<b>\$112,809,100</b>	<b>\$4,592,300</b>	<b>\$184,121,500</b>	<b>\$752,700</b>	<b>\$585,000</b>	<b>\$738,542,300</b>
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
110	(\$3,900)	\$0	\$0	\$0	\$0	\$0	(\$3,900)
	Internal service fund adjustments						
	<b>Total FY 2000 USHE Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$3,900)</b>
<b>UTAH SYSTEM OF HIGHER EDUCATION FY 2001 CAPITAL BUDGET</b>							
Base Budget							
111	\$13,252,000	\$4,200,000	\$0	\$0	\$0	\$0	\$17,452,000
	FY 2000 appropriated budget						
112	(13,252,000)	(4,200,000)	0	0	0	0	(17,452,000)
	Less one-time FY 2000 appropriations						
	<b>Total FY 2001 USHE Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total FY 2001 USHE Capital Adjustments</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total FY 2001 USHE Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

HIGHER EDUCATION - CONTINUED

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>UTAH EDUCATION NETWORK FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
113	FY 2000 appropriated budget	\$10,947,600	\$0	\$1,875,600	\$0	\$0	\$15,198,600
114	Less one-time FY 2000 appropriations	0	0	(1,875,600)	0	0	(1,875,600)
115	Adjustments in non-state funding levels	0	0	1,538,000	0	0	1,538,000
	<b>Total FY 2001 Utah Education Network Base Budget</b>	<b>10,947,600</b>	<b>0</b>	<b>1,538,000</b>	<b>0</b>	<b>0</b>	<b>14,861,000</b>
<b>Ongoing Adjustments</b>							
116	Increased bandwidth usage	0	162,900	0	0	0	162,900
117	Compensation package	0	185,700	0	0	0	185,700
	<i>Subtotal Ongoing Adjustments - Utah Education Network</i>	<i>0</i>	<i>348,600</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>348,600</i>
<b>One-time Adjustments</b>							
118	Phase 2 of Digital TV conversion for KUED and KULC	0	2,566,000	0	0	0	2,566,000
	<b>Total FY 2001 Utah Education Network Adjustments</b>	<b>0</b>	<b>2,914,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,914,600</b>
	<b>Total FY 2001 Utah Education Network Operating Budget</b>	<b>\$13,862,200</b>	<b>\$0</b>	<b>\$1,538,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$17,775,600</b>
<b>HIGHER EDUCATION TOTALS</b>							
	<b>FY 2001 Operating Base Budget</b>	\$400,709,000	\$123,756,700	\$4,592,300	\$752,700	\$2,009,100	\$709,805,900
	<b>FY 2001 Operating Adjustments</b>	37,348,100	2,914,600	0	0	(1,424,100)	46,512,000
	<b>FY 2001 Operating Recommendation</b>	438,057,100	126,671,300	4,592,300	752,700	585,000	756,317,900
	<b>FY 2000 Operating Supplementals</b>	(3,900)	0	0	0	0	(3,900)
	<b>FY 2001 Capital Base Budget</b>	0	0	0	0	0	0
	<b>FY 2001 Capital Adjustments</b>	0	0	0	0	0	0
	<b>FY 2001 Capital Recommendation</b>	0	0	0	0	0	0



## HUMAN SERVICES

Mission: *Help individuals and families resolve personal problems.*

### PROGRAMS

- **Executive Director** conducts administrative and support functions for the department.
- **Mental Health** oversees local mental health centers and operates the Utah State Hospital for the severely mentally ill.
- **Substance Abuse** oversees substance abuse treatment and prevention services.
- **Services for People with Disabilities** provides community and institutional programs for citizens with mental retardation and developmental disabilities.
- **Recovery Services** directs a number of collection and cost avoidance programs including the collection of child support for families on public assistance.

- **Child and Family Services** oversees child welfare and domestic violence programs.
- **Aging and Adult Services** acts as an advocate for elderly citizens, provides services through local agencies and other providers, and oversees adult protective services.

### SELECTED DEPARTMENT GOALS

- Protect from harm those at risk of being abused, neglected, or exploited.
- Strengthen families by meeting their needs in ways that allow them to stay in their own homes whenever possible.
- Enhance quality of life by supporting individuals with special needs to lead lives that are independent, productive, and fulfilling.

- Foster self-reliance by encouraging natural support systems within families and communities.
- Assure public trust by utilizing resources effectively and efficiently.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 63-69, and 91-97.*

### MAJOR BUDGET RECOMMENDATIONS

- Provide \$2,513,600 General Fund for additional staff and operating costs associated with the final unit of the new 100-bed forensic facility at the State Hospital.
- Include \$882,000 in General Fund for community supervision, assessments, and treatment for the mentally ill. It

is anticipated that local mental health centers will be able to draw down an additional \$1,442,600 in federal matching funds for this purpose.

- Increase services with \$2,143,800 in total funds for individuals with disabilities who are on the waiting list or who require emergency and court-ordered placements.
- Allocate \$400,000 in federal funds to provide treatment services for drug courts and DUI pilot program.
- Provide \$511,500 in state and federal funds for foster care, group care, and shelter care placements and treatment to ensure that children are protected from abuse and neglect.
- Add \$532,900 in total funds to increase adoption assistance.
- Include \$750,000 in General Fund for FY 2001 and \$500,000 in General Fund for

FY 2000 to replace reductions in the federal Social Services Block Grant to ensure that services to abused and neglected children will continue.

- Expand funding to the private/nonprofit Utah Foster Care Foundation (UFCF) by \$250,000 in General Fund to increase recruitment and training of foster families in order to reach the goal of 3,000 foster families in Utah. The UFCF, operational since September 1, 1999, will have trained, in cooperation with Child and Family Services, 700 families by December 31, 1999.
- Increase services with \$350,000 in General Fund for the elderly in the home- and community-based waiver program as an alternative to nursing home placements. It is anticipated that local area agencies on aging will be able to draw down an additional \$876,800 in federal matching funds for this purpose.

**EFFECTIVE BASE BUDGET CUTS**

- Absorb increased caseloads in both licensing and adult protective services.
- Absorb a \$444,400 loss of federal funds due to a change in the federal match rate for participating programs.
- Absorb the increased cost of medications at both the State Hospital and the State Developmental Center.
- Cover increased caseload growth in child welfare estimated to be \$2,470,300.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

**Child and Family Services**

Funds appropriated to the Division of Child and Family Services are nonlapsing.

**HUMAN SERVICES**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 1999</b>	<b>Authorized FY 2000</b>	<b>Recommended FY 2000</b>	<b>Base FY 2001</b>	<b>Adjust- ments</b>	<b>Total FY 2001</b>
<b>Plan of Financing</b>						
General Fund	\$180,571,100	\$193,809,300	\$193,931,000	\$195,104,300	\$10,262,600	\$205,366,900
Federal Funds	101,703,500	100,082,400	99,072,900	99,329,200	1,334,900	100,664,100
Dedicated Credits	7,364,000	7,932,900	7,935,000	8,641,700	575,000	9,216,700
Restricted and Trust Funds	2,540,000	2,050,000	2,050,000	1,850,000	200,000	2,050,000
Transfers	104,986,700	118,308,400	118,193,500	118,642,500	4,680,600	123,323,100
Beginning Balances	2,151,800	523,400	523,400	0	0	0
Closing Balances	(523,400)	0	0	0	0	0
Lapsing Funds	(1,661,100)	0	0	0	0	0
<b>Total Financing</b>	<b>\$397,132,600</b>	<b>\$422,706,400</b>	<b>\$421,705,800</b>	<b>\$423,567,700</b>	<b>\$17,053,100</b>	<b>\$440,620,800</b>
<b>Programs</b>						
Executive Director	\$18,729,600	\$19,600,300	\$19,448,100	\$19,417,600	\$487,600	\$19,905,200
Mental Health	61,907,000	67,106,100	67,099,800	68,111,300	6,438,800	74,550,100
Substance Abuse	23,335,000	26,986,100	26,985,900	26,981,300	441,200	27,422,500
Services for People w/ Disabilities	114,120,800	129,750,300	129,721,900	130,504,300	3,903,100	134,407,400
Recovery Services	40,168,800	42,587,500	42,023,300	41,581,800	1,213,300	42,795,100
Child and Family Services	120,991,900	118,214,500	117,974,700	118,827,300	3,211,300	122,038,600
Aging and Adult Services	17,879,500	18,461,600	18,452,100	18,144,100	1,357,800	19,501,900
<b>Total Budget</b>	<b>\$397,132,600</b>	<b>\$422,706,400</b>	<b>\$421,705,800</b>	<b>\$423,567,700</b>	<b>\$17,053,100</b>	<b>\$440,620,800</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>4.5%</b>
FTE Positions	--	3,648.1	3,648.1	3,649.2	49.0	3,698.2

**HUMAN SERVICES**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>HUMAN SERVICES FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
J1	\$193,809,300	0	\$94,950,800	\$8,655,900	\$2,050,000	\$109,210,500	\$408,676,500
J2	(50,000)	0	0	0	(200,000)	0	(250,000)
J3	(486,000)	0	(236,000)	(13,800)	0	(246,600)	(982,400)
J4	0	0	4,705,800	(390,500)	0	8,853,900	13,169,200
J5	(274,000)	0	0	0	0	0	(274,000)
	<i>Subtotal Beginning Base Budget - Human Services</i>	0	<i>99,420,600</i>	<i>8,251,600</i>	<i>1,850,000</i>	<i>117,817,800</i>	<i>426,339,300</i>
<b>Base Adjustments</b>							
J6	(348,800)	0	(622,300)	(2,000)	0	(125,900)	(1,099,000)
J7	1,870,600	0	330,500	310,600	0	737,500	3,248,200
J8	583,200	0	200,400	81,500	0	213,100	1,078,200
	<i>Subtotal Base Adjustments - Human Services</i>	0	<i>(91,400)</i>	<i>390,100</i>	<i>0</i>	<i>824,700</i>	<i>3,228,400</i>
	<b>195,104,300</b>	<b>0</b>	<b>99,329,200</b>	<b>8,641,700</b>	<b>1,850,000</b>	<b>118,642,500</b>	<b>423,567,700</b>
<b>Ongoing Adjustments</b>							
J9	2,513,600	0	0	0	0	0	2,513,600
J10	218,700	0	0	93,700	0	0	312,400
J11	882,000	0	0	0	0	1,442,600	2,324,600
J12	0	0	400,000	0	0	0	400,000
J13	400,000	0	0	0	0	580,000	980,000
J14	500,000	0	0	0	0	663,800	1,163,800
J15	306,900	0	204,600	0	0	0	511,500
J16	293,100	0	239,800	0	0	0	532,900
J17	750,000	0	(750,000)	0	0	0	0
J18	250,000	0	0	0	0	0	250,000
J19	350,000	0	0	0	0	876,800	1,226,800
J20	3,798,300	0	1,240,500	481,300	0	1,117,400	6,637,500
	<i>Subtotal Ongoing Adjustments - Human Services</i>	0	<i>1,334,900</i>	<i>575,000</i>	<i>0</i>	<i>4,686,600</i>	<i>16,653,100</i>
<b>One-time Adjustments</b>							
J21	0	0	0	0	200,000	0	200,000
	<b>10,262,600</b>	<b>0</b>	<b>1,334,900</b>	<b>575,000</b>	<b>200,000</b>	<b>4,686,600</b>	<b>17,053,100</b>
	<b>\$205,366,900</b>	<b>\$0</b>	<b>\$100,664,100</b>	<b>\$9,216,700</b>	<b>\$2,050,000</b>	<b>\$123,323,100</b>	<b>\$440,620,800</b>
<b>HUMAN SERVICES FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
J22	\$0	\$0	\$0	\$5,000	\$0	(\$5,000)	\$0
J23	500,000	0	(500,000)	0	0	0	0
J24	(378,300)	0	(509,500)	(2,900)	0	(109,900)	(1,000,600)
	<b>\$121,700</b>	<b>\$0</b>	<b>(\$1,009,500)</b>	<b>\$2,100</b>	<b>\$0</b>	<b>(\$114,900)</b>	<b>(\$1,000,600)</b>



## LEGISLATURE

*Legislature consists of the House of Representatives, the Senate, and the offices of Legislative Research and General Counsel, Legislative Fiscal Analyst, Legislative Auditor General, Legislative Printing, Tax Review Commission, and Constitutional Revision Commission.*

### PROGRAMS

- The **House of Representatives** has 75 members elected to two-year terms. Each representative represents about 28,215 constituents.
- The **Senate** has 29 members elected to four-year terms. Each senator represents about 72,965 constituents.
- The **Office of Legislative Research and General Counsel** is the research and legal staff arm of the legislature and assists the legislature in the development of sound public policy, ensures the integrity of the legislative process, and preserves the legislative branch in its proper constitutional role in state government.
- The **Legislative Fiscal Analyst** is charged by the legislature with analyzing state fiscal matters. This includes projecting revenues, estimating costs, and recommending funding and management initiatives. The office's goal is to provide quality, timely, and relevant information to Utahns and their representatives.
- The **Legislative Auditor General** conducts performance audits of state agencies and institutions, including school districts and state colleges and universities. The office reviews programs, sees how they are being implemented, tests whether they are being operated at the lowest possible cost, and evaluates if they are successfully resolving the problems that led to their creation.
- **Legislative Printing** provides services for the legislature and legislative staff.
- The **Tax Review Commission** provides an ongoing and comprehensive review of state tax law and makes recommendations to the governor and the legislature on taxation issues and policies.
- The **Constitutional Revision Commission** is responsible for making extensive reviews of the state constitution and recommending amendments to the legislature.

### MAJOR BUDGET RECOMMENDATIONS

- Include \$179,900 General Fund for legislative pay increases recommended by the Legislative Compensation Commission.
- Provide \$250,000 in one-time General Fund for reapportionment costs based on the 2000 Census.
- Provide \$104,700 General Fund for employee promotions and additional interns.
- Fund computer and copy equipment upgrades with \$55,000 from General Fund.

**LEGISLATURE**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Legislature's Request FY 2001	Governor Leavitt's Recommendations		
				Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$12,427,000	\$12,533,000	\$12,833,800	\$12,412,700	\$867,400	\$13,280,100
Dedicated Credits	330,900	340,000	331,000	331,000	0	331,000
Mineral Lease	1,509,400	1,346,100	0	1,350,000	0	1,350,000
Restricted and Trust Funds	23,500	0	0	0	0	0
Other Funds	(1,509,400)	(1,346,100)	0	(1,350,000)	0	(1,350,000)
Beginning Balances	1,842,100	2,601,900	2,601,900	2,601,900	0	2,601,900
Closing Balances	(2,601,900)	(2,601,900)	(2,497,600)	(2,601,900)	104,300	(2,497,600)
<b>Total Financing</b>	<b>\$12,021,600</b>	<b>\$12,873,000</b>	<b>\$13,269,100</b>	<b>\$12,743,700</b>	<b>\$971,700</b>	<b>\$13,715,400</b>
<b>Programs</b>						
Senate	\$1,179,400	\$1,408,600	\$1,390,100	\$1,390,300	\$61,100	\$1,451,400
House of Representatives	2,329,900	2,458,800	2,429,300	2,429,600	140,200	2,569,800
Legislative Printing	773,400	859,600	844,600	848,300	10,600	858,900
Legislative Research	4,039,600	4,199,900	4,550,000	4,132,900	546,500	4,679,400
Tax Review Commission	50,000	50,000	50,000	50,000	0	50,000
Legislative Fiscal Analyst	1,776,300	1,895,700	1,895,700	1,894,300	47,400	1,941,700
Legislative Auditor General	1,660,400	1,783,000	1,887,300	1,780,900	161,200	1,942,100
Dues - NCSL	87,400	89,900	92,400	89,900	2,500	92,400
Dues - Council of State Gov'ts	70,400	72,500	74,700	72,500	2,200	74,700
Constitutional Revision Comm.	54,800	55,000	55,000	55,000	0	55,000
<b>Total Budget</b>	<b>\$12,021,600</b>	<b>\$12,873,000</b>	<b>\$13,269,100</b>	<b>\$12,743,700</b>	<b>\$971,700</b>	<b>\$13,715,400</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>6.5%</b>
FTE Positions	--	114.0	114.0	114.0	0.0	114.0
<p><i>In addition to the amounts listed in the Authorized 2000 column, Governor Leavitt recommends a supplemental of (\$200) for internal service fund adjustments.</i></p> <p><i>As per statute, the Legislature's Request is included without changes. Governor Leavitt's FY 2001 recommendation is not changed from the Legislature's Request except for the addition of the compensation and internal service fund recommendations.</i></p>						

**LEGISLATURE**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Mineral Lease	Other Funds	Total Funds
<b>LEGISLATURE FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
K1	FY 2000 appropriated budget	\$0	\$0	\$340,000	\$930,000	(\$930,000)	\$12,873,000
K2	Less one-time FY 2000 appropriations	(112,600)	0	0	0	0	(112,600)
K3	Personal services adjustments for fewer working days in FY 2001	(37,800)	0	0	0	0	(37,800)
K4	Adjustments in non-state funding levels	0	0	(9,000)	420,000	(420,000)	(9,000)
K5	Base budget cuts	(3,700)	0	0	0	0	(3,700)
	<i>Subtotal Beginning Base Budget - Legislature</i>	12,378,900	0	331,000	1,350,000	(1,350,000)	12,709,900
<b>Base Adjustments</b>							
K6	Internal service fund adjustments	3,400	0	0	0	0	3,400
K7	Market comparability adjustments	4,200	0	0	0	0	4,200
K8	Personal services adjustments	26,200	0	0	0	0	26,200
	<i>Subtotal Base Adjustments - Legislature</i>	33,800	0	0	0	0	33,800
	<b>Total FY 2001 Legislature Base Budget</b>	12,412,700	0	331,000	1,350,000	(1,350,000)	12,743,700
<b>Ongoing Adjustments</b>							
K9	Increase in current expenses	35,500	0	0	0	104,300	139,800
K10	Intern pay increases	25,000	0	0	0	0	25,000
K11	Promotions in Research and General Counsel	79,700	0	0	0	0	79,700
K12	Increases in annual dues	4,700	0	0	0	0	4,700
K13	Compensation package	412,500	0	0	0	0	412,500
	<i>Subtotal Ongoing Adjustments - Legislature</i>	557,400	0	0	0	104,300	661,700
<b>One-time Adjustments</b>							
K14	Costs of reapportionment based on the year 2000 census	250,000	0	0	0	0	250,000
K15	Computer replacements	50,000	0	0	0	0	50,000
K16	Copy machine replacement	5,000	0	0	0	0	5,000
K17	Commission on Uniform Code	5,000	0	0	0	0	5,000
	<i>Subtotal One-time Adjustments - Legislature</i>	310,000	0	0	0	0	310,000
	<b>Total FY 2001 Legislature Adjustments</b>	867,400	0	0	0	104,300	971,700
	<b>Total FY 2001 Legislature Operating Budget</b>	\$13,280,100	\$0	\$331,000	\$1,350,000	(\$1,245,700)	\$13,715,400

**LEGISLATURE - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Mineral Lease	Other Funds	Total Funds
<b>LEGISLATURE FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
418 Internal service fund adjustments	\$0	\$0	\$0	\$0	\$0	\$0	(\$200)
<b>Total FY 2000 Legislature Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$200)</b>
<b>LEGISLATURE TOTALS</b>							
FY 2001 Operating Base Budget	\$12,412,700	\$0	\$0	\$331,000	\$1,350,000	(\$1,350,000)	\$12,743,700
FY 2001 Operating Adjustments	867,400	0	0	0	0	104,300	971,700
FY 2001 Operating Recommendation	13,280,100	0	0	331,000	1,350,000	(1,245,700)	13,715,400
<b>FY 2000 Operating Supplementals</b>	<b>(200)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(200)</b>



# NATIONAL GUARD

Mission: *Respond to state emergencies and augment regular armed forces of the United States.*

## PROGRAMS

- **Administration** directs state programs and coordinates joint state and federal programs.
- **Armory Maintenance** maintains facilities in 26 Utah communities for training and mobilization activities.
- **Camp Williams** provides major military training facilities for both active duty and reserve forces.
- **Air National Guard** provides worldwide refueling support to the U.S. Air Force from the air base at the Salt Lake International Airport.

## SELECTED DEPARTMENT GOALS

- Fully engage in joint operational support, nation build-

ing, military-to-military contact with emerging democracies, and preventive deterrence to hedge against aggression.

- Train, equip, and evaluate personnel and units to Army and Air Force standards to provide well-trained, combat ready units to support the president in case of national or international emergencies.
- Provide support systems for authorized soldiers and staff and properly maintain all guard facilities and training areas.
- Respond to homeland defense, domestic support operations, and community assistance.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 63-69.*

## MAJOR BUDGET RECOMMENDATIONS

- Recommend funding increases for personal services adjustments and compensation.

## EFFECTIVE BASE BUDGET CUTS

- Reallocate existing armory maintenance funds to finance operating and maintenance expenditures for the new Orem Readiness Center.

## FY 2001 PROPOSED LEGISLATIVE INTENT

### National Guard

Funds for tuition assistance are nonlapsing.

**NATIONAL GUARD**

Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			Total FY 2001
			Recommended FY 2000	Base FY 2001	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$3,198,600	\$3,478,400	\$3,475,300	\$3,491,600	\$61,400	\$3,553,000
School Funds	100,000	0	0	0	0	0
Federal Funds	16,025,200	11,533,400	11,523,900	10,801,800	170,500	10,972,300
Dedicated Credits	27,100	40,000	40,000	40,000	0	40,000
Other Funds	108,500	108,500	108,500	108,500	0	108,500
Beginning Balances	4,100	98,900	98,900	0	0	0
Closing Balances	(98,900)	0	0	0	0	0
<b>Total Financing</b>	<b>\$19,364,600</b>	<b>\$15,259,200</b>	<b>\$15,246,600</b>	<b>\$14,441,900</b>	<b>\$231,900</b>	<b>\$14,673,800</b>
<b>Programs</b>						
Administration	\$484,600	\$497,000	\$497,000	\$498,100	\$17,500	\$515,600
Armory Maintenance	13,859,400	9,949,700	9,940,500	9,297,500	124,100	9,421,600
Camp Williams	2,191,200	1,908,200	1,904,800	1,745,100	25,900	1,771,000
Air National Guard	2,829,400	2,904,300	2,904,300	2,901,200	64,400	2,965,600
<b>Total Budget</b>	<b>\$19,364,600</b>	<b>\$15,259,200</b>	<b>\$15,246,600</b>	<b>\$14,441,900</b>	<b>\$231,900</b>	<b>\$14,673,800</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(3.8%)</b>
FTE Positions	--	131.0	131.0	131.0	0.0	131.0

**NATIONAL GUARD**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATIONAL GUARD FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
N1	\$3,478,400	\$0	\$9,773,600	\$40,000	\$0	\$113,500	\$13,405,500
N2	(11,400)	0	(29,200)	0	0	0	(40,600)
N3	0	0	1,031,600	0	0	(5,000)	1,026,600
	<i>Subtotal Beginning Base Budget - National Guard</i>	<i>0</i>	<i>10,776,000</i>	<i>40,000</i>	<i>0</i>	<i>108,500</i>	<i>14,391,500</i>
<b>Base Adjustments</b>							
N4	2,000	0	(10,400)	0	0	0	(8,400)
N5	11,500	0	15,600	0	0	0	27,100
N6	11,100	0	20,600	0	0	0	31,700
	<i>Subtotal Base Adjustments - National Guard</i>	<i>0</i>	<i>25,800</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>50,400</i>
	<b>Total FY 2001 National Guard Base Budget</b>	<b>0</b>	<b>10,801,800</b>	<b>40,000</b>	<b>0</b>	<b>108,500</b>	<b>14,441,900</b>
<b>Ongoing Adjustments</b>							
N7	61,400	0	170,500	0	0	0	231,900
	<b>Total FY 2001 National Guard Adjustments</b>	<b>0</b>	<b>170,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>231,900</b>
	<b>Total FY 2001 National Guard Operating Budget</b>	<b>\$0</b>	<b>\$10,972,300</b>	<b>\$40,000</b>	<b>\$0</b>	<b>\$108,500</b>	<b>\$14,673,800</b>
<b>NATIONAL GUARD FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
N8	(\$3,100)	\$0	(\$9,500)	\$0	\$0	\$0	(\$12,600)
	<b>Total FY 2000 National Guard Supplementals</b>	<b>\$0</b>	<b>(\$9,500)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$12,600)</b>
<b>NATIONAL GUARD TOTALS</b>							
	\$3,491,600	\$0	\$10,801,800	\$40,000	\$0	\$108,500	\$14,441,900
	61,400	0	170,500	0	0	0	231,900
	3,553,000	0	10,972,300	40,000	0	108,500	14,673,800
	(\$3,100)	0	(\$9,500)	0	0	0	(12,600)



## NATURAL RESOURCES

*Natural Resources consists of the Department of Natural Resources, the Department of Agriculture and Food, and School and Institutional Trust Lands Administration. Their primary focus is to conserve, protect, and develop Utah's natural resources and agriculture, and to manage effectively School and Institutional Trust Lands.*

### PROGRAMS

- **Natural Resources**

**Administration** provides administrative support for the department.

**Forestry, Fire, and State Lands** coordinates forestry and fire control measures for nonfederal landowners and manages sovereign lands throughout the state.

**Oil, Gas, and Mining** regulates exploration and development of energy and mineral resources.

**Wildlife Resources** manages wildlife habitat and regulates hunting, fishing, and trapping.

**Parks and Recreation** provides safe outdoor recreational opportunities; admin-

isters boating and recreational vehicle programs; and preserves natural, historic, and scenic resources.

**Geological Survey** inventories geological resources, investigates geological hazards, and provides geologic information for economic development.

**Water Resources** provides comprehensive water planning, administers development of water projects, and represents Utah in interstate stream negotiations.

**Water Rights** appropriates, adjudicates, and administers distribution of Utah waters and oversees dam safety.

- **Agriculture and Food** promotes agricultural resources and protects consumer health and safety by monitoring the marketing of agricultural products.

- **Trust Lands Administration** manages trust assets for trust beneficiaries, which primarily include public schools, universities, hospitals, and correctional institutions.

### SELECTED DEPARTMENT GOALS

- **Natural Resources** — Develop, conserve, and protect the state's natural resources to enhance the quality of life. Continue nurturing mutually beneficial partnerships with the private sector in the development of natural resources throughout the state. Work with the Salt Lake Organizing Committee in preparation of the 2002 Olympic Winter Games. Provide mitigation of endangered species. Provide for continued defense of Utah RS2477 roads.

- **Agriculture and Food** — Promote agricultural land preservation. Develop and encourage marketing of Utah agricultural products to foreign markets. Enforce appropriate regulations. Assure a safe, wholesome, and properly labeled food and fiber supply.

- **Trust Lands Administration** — Contribute \$22,200,000 to trust beneficiaries and the permanent school fund.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 35-57, and 63-69.*

## MAJOR BUDGET RECOMMENDATIONS

### Natural Resources

- Provide \$25,000 from ongoing restricted revenue to assist in resolving Utah Lake boundary disputes.
- Appropriate \$500,000 in supplemental funding from boating restricted revenue and \$1,000,000 in matching federal funds for renovation and enhancement of statewide boating related facilities.
- Provide \$1,000,000 in supplemental funding from Off Highway Vehicle (OHV) restricted revenue and

\$650,000 in matching federal funds to renovate and enhance OHV related facilities.

- Transfer \$9,900 in trail grants funding from the capital facilities budget to the operating budget. This will cover the costs of the Trails Advisory Council.
- Provide \$18,000, \$25,800 and \$26,200 ongoing General Fund respectively to Forestry, Fire, and State Lands; Wildlife Resources; and Parks and Recreation to convert their wireless communication systems to the 800 megahertz bandwidth.
- Provide \$79,100, \$23,700 and \$32,500 one-time General Fund respectively to Forestry, Fire, and State Lands; Wildlife Resources; and Parks and Recreation to purchase new equipment for connection to the 800 megahertz system.

### Agriculture and Food

- Provide \$80,000 from restricted revenue to accomplish the brand and earmark renewal effort which is required every five years. At the conclusion of the renewal process, the Department of Agriculture and Food is required to publish a “Brand Book” for general distribution.
- Recommend a \$160,000 General Fund supplemental to address grasshopper and

Mormon Cricket infestations on state-owned land.

### Trust Lands Administration

- Provide \$183,600 in Land Grant Management Trust Funds plus three FTEs to the Development Division for creation of an assistant director position, realty specialist position for Salt Lake City, realty specialist position for St. George, and upgrade of an existing secretarial position due to increased activity in these areas.
- Appropriate \$80,000 in Land Grant Management Trust Funds plus one FTE to the Minerals Division for increased work load due to the acquisition of producing properties through the Grand Staircase Escalante Monument land exchange.
- Provide \$555,000 in Land Grant Management Trust Funds to the Minerals Division for professional and technical support for contract services to complete analysis and inventories of trust lands as well as provide the necessary analysis to place lands acquired in the Grand Staircase Escalante Monument exchange into production and to conduct an orphan well plugging program.
- Include an additional \$52,100 in Land Grant Management Trust Funds plus one FTE for the Director’s Office to coordinate and seek donations for

the Permanent School Trust Fund.

- Provide \$281,300 in Land Grant Management Trust Funds to the Surface Division for payment of brokerage fees, appraisal fees, a digital camera, and additional printing and mailing fees associated with marketing these land sales.

**EFFECTIVE BASE BUDGET CUTS**

**Natural Resources**

- Address the Great Salt Lake flow restoration and salinity issue by utilizing \$150,000 from FY 1999 closing nonlapsing fire suppression funds from Forestry, Fire, and State Lands.

**Agriculture and Food**

- Recommend partial assistance to combat grasshopper and Mormon Cricket infestations throughout the state through reallocation of base funds where possible and working with federal agencies, local counties, and private owners as applicable.

**FY 2000 PROPOSED LEGISLATIVE INTENT**

**Natural Resources**

Utilize \$150,000 from FY 1999 closing nonlapsing funds in Forestry, Fire, and

State Lands to address the Great Salt Lake flow restoration and salinity issue between the North and South arms of the lake.

Expenditures for the Bear Lake Regional Commission must be matched on a one-to-one basis with funding from the State of Idaho.

Funds for Parks and Recreation capital are nonlapsing.

Funds for Forestry, Fire, and State Lands for fire suppression are nonlapsing.

**Agriculture and Food**

Grants to charitable organizations specified in Section 57-18-3 UCA for the purchase of conservation easements for agricultural protection are nonlapsing.

Funds appropriated for research are nonlapsing.

Funds collected through the Soil Conservation District's initiative to establish an agricultural license plate are nonlapsing.

**FY 2001 PROPOSED LEGISLATIVE INTENT**

**Natural Resources**

Up to \$500,000 of the Wildlife Resources budget and all nonlapsing amounts may be used for big game

depredation expenses. Half of the cost should come from the General Fund and half from the Wildlife Restricted Account. This funding is nonlapsing.

Funds for General Fund Restricted - Wildlife Habitat Account are nonlapsing.

Funds for the General Fund Restricted - Aquatic Hatchery Maintenance Account are nonlapsing.

Funds for Wildlife Resources capital budgets are nonlapsing.

Funds for Wildlife Resources for cooperative environmental studies and contributed research programs are nonlapsing.

Funds for Parks and Recreation capital are nonlapsing.

Funds collected by the Division of Oil, Gas, and Mining are nonlapsing.

Contributions to Water Resources for water education programs are nonlapsing.

Utah Geological Survey Mineral Lease funds are nonlapsing.

**Agriculture and Food**

Grants to charitable organizations specified in Section 57-18-3 UCA for the purchase of conservation easements for

agricultural protection are considered nonlapsing.

Funds for Soil Conservation District elections are nonlapsing and are to be spent only during even numbered calendar years when the elections take place.

Funds appropriated for research and Agribusiness development are nonlapsing.

Funds for pesticide disposal are nonlapsing.

Funds for the Pesticide Control Program are nonlapsing.

Funds for fertilizer assessment and education in gathering data, training, and research to promote proper use of fertilizer in crop production and protection of the environment are nonlapsing.

Funds collected for education materials and testing of pesticide applicators are nonlapsing.

Funds for animal damage control are nonlapsing.

Funds for auction market veterinarians are nonlapsing.

The Department of Agriculture and Food is to transfer any lapsing unrestricted balances from FY 2000 appropriations to the Grain Inspection Program in FY 2001 for building maintenance. These funds are nonlapsing.

Resource Conservation funds are to be used for the per diem and expenses of soil conservation members, who are not government employees, as provided in Section 4-8-4 UCA.

Soil conservation districts are to submit annual reports documenting supervisory expenses to the Legislative Fiscal Analyst, the Governor's Office of Planning and Budget, and the Soil Conservation Commission. These reports will be reviewed and reported to the governor and the 2001 legislature.

Funds collected through the Soil Conservation District's initiative to establish an agricultural license plate are nonlapsing.

The Division of Forestry, Fire, and State Lands is to carry out the terms of the Global Litigation Settlement Agreement between the Division and Morton International, Inc. as approved by the Legislative Management Committee February 16, 1999.

**NATURAL RESOURCES**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			Total FY 2001
			Recommended FY 2000	Base FY 2001	Adjust- ments	
<b>Plan of Financing</b>						
General Fund	\$40,363,400	\$41,692,500	\$41,838,100	\$41,520,000	\$1,177,900	\$42,697,900
Federal Funds	16,309,600	27,564,100	27,566,700	28,825,200	293,800	29,119,000
Dedicated Credits	12,834,900	13,365,300	13,377,100	13,658,000	254,700	13,912,700
Mineral Lease	607,900	591,800	591,800	580,500	0	580,500
Restricted and Trust Funds	40,465,700	41,312,100	41,336,000	41,741,800	2,343,000	44,084,800
Transfers	746,600	818,900	818,200	690,000	5,300	695,300
Pass-through Funds	29,500	33,000	33,000	33,000	0	33,000
Beginning Balances	5,954,800	4,995,500	4,995,500	1,176,000	0	1,176,000
Closing Balances	(4,995,500)	(1,176,000)	(1,176,000)	(612,400)	0	(612,400)
Lapsing Funds	(4,622,600)	0	0	0	0	0
<b>Total Financing</b>	<b>\$107,694,300</b>	<b>\$129,197,200</b>	<b>\$129,380,400</b>	<b>\$127,612,100</b>	<b>\$4,074,700</b>	<b>\$131,686,800</b>
<b>Programs</b>						
<b>Natural Resources</b>						
Administration	\$4,582,000	\$4,756,300	\$4,755,700	\$4,774,600	\$105,600	\$4,880,200
Building Operations	1,623,300	1,630,500	1,630,500	1,608,500	0	1,608,500
Forestry, Fire, and State Lands	5,742,900	8,945,300	8,944,900	8,461,000	214,400	8,675,400
Oil, Gas, and Mining	5,502,000	6,704,000	6,700,400	6,290,700	152,000	6,442,700
Wildlife Resources	31,080,900	33,144,200	33,186,900	33,000,000	794,100	33,794,100
Contributed Research	361,400	334,200	334,200	334,200	0	334,200
Cooperative Studies	2,593,000	12,480,200	12,480,200	12,469,800	0	12,469,800
Parks and Recreation	20,928,700	21,803,300	21,854,200	22,057,800	572,700	22,630,500
Geological Survey	4,421,600	4,431,600	4,431,200	5,322,400	100,700	5,423,100
Water Resources	4,442,900	4,615,200	4,614,800	4,619,800	120,000	4,739,800
Water Rights	6,204,500	6,498,200	6,497,900	6,449,400	161,200	6,610,600
CUP Mitigation Fund	3,000,000	3,000,000	3,000,000	3,000,000	0	3,000,000
<i>Subtotal Natural Resources</i>	<i>90,483,200</i>	<i>108,343,000</i>	<i>108,430,900</i>	<i>108,388,200</i>	<i>2,220,700</i>	<i>110,608,900</i>
<b>Agriculture and Food</b>						
Administration	13,009,600	15,821,100	15,923,000	14,186,700	376,000	14,562,700
<b>Trust Lands Administration</b>						
Administration	4,201,500	5,033,100	5,026,500	5,037,200	1,478,000	6,515,200
<b>Total Budget</b>	<b>\$107,694,300</b>	<b>\$129,197,200</b>	<b>\$129,380,400</b>	<b>\$127,612,100</b>	<b>\$4,074,700</b>	<b>\$131,686,800</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>1.8%</b>
FTE Positions	--	1,473.4	1,473.4	1,465.4	5.0	1,470.4

**NATURAL RESOURCES**

## Capital Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$3,667,500	\$3,942,500	\$3,932,600	\$3,517,500	\$0	\$3,517,500
Federal Funds	910,300	1,886,000	3,536,000	1,861,000	0	1,861,000
Dedicated Credits	246,900	175,000	175,000	175,000	0	175,000
Restricted and Trust Funds	3,816,000	3,605,000	5,105,000	3,730,000	0	3,730,000
Transfers	(1,627,200)	(1,652,500)	(1,652,500)	(1,652,500)	0	(1,652,500)
Beginning Balances	8,177,500	9,015,100	9,015,100	4,299,000	0	4,299,000
Closing Balances	(9,015,100)	(4,299,000)	(4,299,000)	0	0	0
Lapsing Funds	(1,341,200)	0	0	0	0	0
<b>Total Financing</b>	<b>\$4,834,700</b>	<b>\$12,672,100</b>	<b>\$15,812,200</b>	<b>\$11,930,000</b>	<b>\$0</b>	<b>\$11,930,000</b>
<b>Projects</b>						
<b>Natural Resources</b>						
Wildlife Resources						
Information and Education	\$28,000	\$50,000	\$50,000	\$50,000	\$0	\$50,000
Fisheries	1,581,800	3,788,900	3,788,900	3,752,000	0	3,752,000
Game Management	4,400	14,000	14,000	14,000	0	14,000
Parks and Recreation						
Park Renovation	43,300	309,400	309,400	125,000	0	125,000
Acquisition and Development	356,600	2,467,000	2,467,000	1,855,100	0	1,855,100
Boating Access Grants	94,700	1,200,100	2,700,100	1,340,000	0	1,340,000
Off-Highway Vehicle Grants	57,300	285,100	1,935,100	425,000	0	425,000
Riverway Enhancement/Trails	1,242,000	2,557,600	2,547,700	2,368,900	0	2,368,900
Water Resources						
Revolving Construction Fund	563,000	563,000	563,000	563,000	0	563,000
Conservation/Develop. Fund	1,139,500	1,089,500	1,089,500	1,089,500	0	1,089,500
Transfer Appropriations to Loan Funds	(1,702,500)	(1,652,500)	(1,652,500)	(1,652,500)	0	(1,652,500)
<i>Subtotal Natural Resources</i>	<i>3,408,100</i>	<i>10,672,100</i>	<i>13,812,200</i>	<i>9,930,000</i>	<i>0</i>	<i>9,930,000</i>
<b>Trust Lands Administration</b>						
Development and Improvement	1,426,600	2,000,000	2,000,000	2,000,000	0	2,000,000
<b>Total Budget</b>	<b>\$4,834,700</b>	<b>\$12,672,100</b>	<b>\$15,812,200</b>	<b>\$11,930,000</b>	<b>\$0</b>	<b>\$11,930,000</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(24.6%)</b>

# NATURAL RESOURCES

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATURAL RESOURCES FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
M1	\$32,393,200	\$0	\$25,299,500	\$11,462,500	\$34,207,900	\$1,338,200	\$104,701,300
M2	(317,000)	0	0	0	0	0	(317,000)
M3	(135,400)	0	(47,600)	(51,200)	(100,400)	(4,800)	(339,400)
M4	0	0	1,502,800	1,244,200	925,800	(86,500)	3,586,300
	<i>31,940,800</i>	<i>0</i>	<i>26,754,700</i>	<i>12,655,500</i>	<i>35,033,300</i>	<i>1,246,900</i>	<i>107,631,200</i>
<b>Base Adjustments</b>							
M5	34,900	0	20,200	37,300	78,400	0	170,800
M6	182,200	0	20,600	99,000	94,600	300	396,700
M7	78,600	0	30,800	22,400	57,500	200	189,500
	<i>295,700</i>	<i>0</i>	<i>71,600</i>	<i>158,700</i>	<i>230,500</i>	<i>500</i>	<i>757,000</i>
	<b>32,236,500</b>	<b>0</b>	<b>26,826,300</b>	<b>12,814,200</b>	<b>35,263,800</b>	<b>1,247,400</b>	<b>108,388,200</b>
<b>Ongoing Adjustments</b>							
M8	0	0	0	0	25,000	0	25,000
M9	18,000	0	0	0	0	0	18,000
M10	25,800	0	0	0	0	0	25,800
M11	26,200	0	0	0	0	0	26,200
M12	756,100	0	263,000	234,200	755,600	1,500	1,990,400
	<i>826,100</i>	<i>0</i>	<i>263,000</i>	<i>234,200</i>	<i>760,600</i>	<i>1,500</i>	<i>2,085,400</i>
<b>One-time Adjustments</b>							
M13	79,100	0	0	0	0	0	79,100
M14	23,700	0	0	0	0	0	23,700
M15	32,500	0	0	0	0	0	32,500
	<i>135,300</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>135,300</i>
	<b>961,400</b>	<b>0</b>	<b>263,000</b>	<b>234,200</b>	<b>760,600</b>	<b>1,500</b>	<b>2,220,700</b>
<b>Total FY 2001 Natural Resources Operating Budget</b>							
	\$33,197,900	\$0	\$27,089,300	\$13,048,400	\$36,024,400	\$1,248,900	\$110,608,900

**NATURAL RESOURCES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>NATURAL RESOURCES FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
M16	Transfer from capital - Trail Advisory Council	\$0	\$0	\$0	\$0	\$0	\$9,900
M17	Internal service fund adjustments	18,100	0	15,800	35,500	0	78,000
<b>Total FY 2000 Natural Resources Supplementals</b>	<b>\$28,000</b>	<b>\$0</b>	<b>\$8,600</b>	<b>\$15,800</b>	<b>\$35,500</b>	<b>\$0</b>	<b>\$87,900</b>
<b>NATURAL RESOURCES FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
M18	FY 2000 appropriated budget (excluding appropriations for loans)	\$3,942,500	\$0	\$1,686,000	\$1,605,000	(\$1,652,500)	\$5,756,000
M19	Less one-time FY 2000 appropriations	(425,000)	0	0	0	0	(425,000)
M20	Adjustments in non-state funding levels	0	0	175,000	125,000	4,299,000	4,599,000
<b>Total FY 2001 Natural Resources Capital Base Budget</b>	<b>3,517,500</b>	<b>0</b>	<b>1,861,000</b>	<b>175,000</b>	<b>1,730,000</b>	<b>2,646,500</b>	<b>9,930,000</b>
<b>Total FY 2001 Natural Resources Capital Budget</b>	<b>\$3,517,500</b>	<b>\$0</b>	<b>\$1,861,000</b>	<b>\$175,000</b>	<b>\$1,730,000</b>	<b>\$2,646,500</b>	<b>\$9,930,000</b>
<b>NATURAL RESOURCES FY 2000 CAPITAL BUDGET SUPPLEMENTALS</b>							
M21	Transfer to Trails Advisory Council (Operations Budget)	(\$9,900)	\$0	\$0	\$0	\$0	(\$9,900)
M22	Statewide off-highway vehicle trails	0	0	650,000	1,000,000	0	1,650,000
M23	Statewide boating enhancements	0	0	1,000,000	500,000	0	1,500,000
<b>Total FY 2000 Natural Resources Capital Supplementals</b>	<b>(\$9,900)</b>	<b>\$0</b>	<b>\$1,650,000</b>	<b>\$0</b>	<b>\$1,500,000</b>	<b>\$0</b>	<b>\$3,140,100</b>
<b>AGRICULTURE AND FOOD FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
M24	FY 2000 appropriated budget	\$9,299,300	\$0	\$2,002,000	\$844,600	\$1,471,100	\$14,304,800
M25	Less one-time FY 2000 appropriations	0	0	0	0	(30,000)	(30,000)
M26	Personal services adjustments for fewer working days in FY 2001	(39,000)	0	(7,200)	(3,400)	(4,600)	(54,200)
M27	Adjustments in non-state funding levels	0	0	0	3,800	(68,500)	(64,700)
<i>Subtotal Beginning Base Budget - Agriculture and Food</i>	<i>9,260,300</i>	<i>0</i>	<i>1,994,800</i>	<i>841,200</i>	<i>1,440,300</i>	<i>619,300</i>	<i>14,155,900</i>

**NATURAL RESOURCES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
M28	(36,700)	0	(5,200)	(3,600)	(4,400)	(500)	(50,400)
M29	16,600	0	2,300	1,600	1,900	300	22,700
M30	43,300	0	7,000	4,600	3,000	600	58,500
	23,200	0	4,100	2,600	500	400	30,800
	<i>Subtotal Base Adjustments - Agriculture and Food</i>						
<b>Total FY 2001 Agriculture and Food Base Budget</b>	<b>9,283,500</b>	<b>0</b>	<b>1,998,900</b>	<b>843,800</b>	<b>1,440,800</b>	<b>619,700</b>	<b>14,186,700</b>
<b>Ongoing Adjustments</b>							
M31	216,500	0	30,800	20,500	24,400	3,800	296,000
<b>One-time Adjustments</b>							
M32	0	0	0	0	80,000	0	80,000
<b>Total FY 2001 Agriculture and Food Adjustments</b>	<b>216,500</b>	<b>0</b>	<b>30,800</b>	<b>20,500</b>	<b>104,400</b>	<b>3,800</b>	<b>376,000</b>
<b>Total FY 2001 Agriculture and Food Operating Budget</b>	<b>\$9,500,000</b>	<b>\$0</b>	<b>\$2,029,700</b>	<b>\$864,300</b>	<b>\$1,545,200</b>	<b>\$623,500</b>	<b>\$14,562,700</b>
<b>AGRICULTURE AND FOOD FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
M33	(\$42,400)	\$0	(\$6,000)	(\$4,000)	(\$5,000)	(\$700)	(\$58,100)
M34	160,000	0	0	0	0	0	160,000
<b>Total FY 2000 Agriculture and Food Supplementals</b>	<b>\$117,600</b>	<b>\$0</b>	<b>(\$6,000)</b>	<b>(\$4,000)</b>	<b>(\$5,000)</b>	<b>(\$700)</b>	<b>\$101,900</b>
<b>TRUST LANDS ADMINISTRATION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
M35	\$0	\$0	\$0	\$0	\$5,033,100	\$0	\$5,033,100
M36	0	0	0	0	(17,600)	0	(17,600)
	0	0	0	0	5,015,500	0	5,015,500
	<i>Subtotal Beginning Base Budget - Trust Lands Administration</i>						

**NATURAL RESOURCES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>Base Adjustments</b>							
M57	0	0	0	0	(5,200)	0	(5,200)
M58	0	0	0	0	12,200	0	12,200
M59	0	0	0	0	14,700	0	14,700
	0	0	0	0	21,700	0	21,700
	<i>Subtotal Base Adjustments - Trust Lands Administration</i>						
	0	0	0	0	5,037,200	0	5,037,200
<b>Total FY 2001 Trust Lands Administration Base Budget</b>							
<b>Ongoing Adjustments</b>							
M40	0	0	0	0	183,600	0	183,600
M41	0	0	0	0	22,400	0	22,400
M42	0	0	0	0	80,000	0	80,000
M43	0	0	0	0	555,000	0	555,000
M44	0	0	0	0	45,000	0	45,000
M45	0	0	0	0	52,100	0	52,100
M46	0	0	0	0	43,400	0	43,400
M47	0	0	0	0	83,500	0	83,500
M48	0	0	0	0	6,900	0	6,900
M49	0	0	0	0	281,300	0	281,300
M50	0	0	0	0	20,000	0	20,000
M51	0	0	0	0	104,800	0	104,800
	0	0	0	0	1,478,000	0	1,478,000
	<i>Subtotal Ongoing Adjustments - Trust Lands Administration</i>						
	0	0	0	0	1,478,000	0	1,478,000
<b>Total FY 2001 Trust Lands Administration Adjustments</b>							
<b>Total FY 2001 Trust Lands Administration Operating Budget</b>							
	\$0	\$0	\$0	\$0	\$6,515,200	\$0	\$6,515,200
<b>TRUST LANDS ADMINISTRATION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
M52	\$0	\$0	\$0	\$0	(\$6,600)	\$0	(\$6,600)
<b>Total FY 2000 Trust Lands Administration Supplementals</b>							
	\$0	\$0	\$0	\$0	(\$6,600)	\$0	(\$6,600)

**NATURAL RESOURCES - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TRUST LANDS ADMINISTRATION FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
4453 FY 2000 appropriated budget	\$0	\$0	\$0	\$0	\$2,000,000	\$0	\$2,000,000
<b>Total FY 2001 Trust Lands Capital Base Budget</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,000,000</b>	<b>0</b>	<b>2,000,000</b>
<b>Total FY 2001 Trust Lands Administration Capital Budget</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,000,000</b>	<b>\$0</b>	<b>\$2,000,000</b>
<b>NATURAL RESOURCES TOTALS</b>							
FY 2001 Operating Base Budget	\$41,520,000	\$0	\$28,825,200	\$13,658,000	\$41,741,800	\$1,867,100	\$127,612,100
FY 2001 Operating Adjustments	1,177,900	0	293,800	254,700	2,343,000	5,300	4,074,700
FY 2001 Operating Recommendation	42,697,900	0	29,119,000	13,912,700	44,084,800	1,872,400	131,686,800
FY 2000 Operating Supplementals	145,600	0	2,600	11,800	23,900	(700)	183,200
FY 2001 Capital Base Budget	3,517,500	0	1,861,000	175,000	3,750,000	2,646,500	11,930,000
FY 2001 Capital Recommendation	3,517,500	0	1,861,000	175,000	3,750,000	2,646,500	11,930,000
FY 2000 Capital Supplementals	(9,900)	0	1,650,000	0	1,500,000	0	3,140,100



# PUBLIC EDUCATION

*Mission: To help each student gain the basic knowledge and life skills necessary to be a literate, civil, responsible, and contributing citizen. Basic knowledge includes the arts, humanities, and sciences as defined in the State Core Curriculum. Life skills include complex thinking, effective communication, ethical behavior, lifelong learning, and effective application of knowledge and skills.*

## PROGRAMS

- **Utah State Office of Education** provides administrative support for the State Board of Education and the State Board for Vocational Education and distributes state and federal funding to local school districts.
- **School Food Services** manages child nutrition and food services programs in the public schools and provides free and reduced-price meals to eligible children.
- **Utah State Office of Rehabilitation** provides appropriate training and helps eligible individuals secure and maintain employment.
- **Educational Contracts** provides contractual services for the Utah State Hospital, Utah

State Developmental Center, and the Department of Corrections.

- **Science and Arts** supports science and art programs presented by Hansen Planetarium, Utah Symphony, Ballet West, Utah Opera, and modern dance groups.
- **Utah System of Applied Technology** operates applied technology centers (ATCs) and applied technology center service regions (ATCSRs) and provides open-entrance/open-exit technological training for secondary and non-degree adult students.
- **Utah Schools for the Deaf and the Blind** serve the educational needs of sensory-impaired children with specialized instruction, resource materials, and support services.

## SELECTED DEPARTMENT GOALS

- Ensure that students can read at grade level by the third grade and that students in higher grades can read with comprehension.
- Redesign the middle and junior high schools to address the unique developmental needs of students at that level.
- Provide for safe, orderly schools, where civil and ethical behavior is taught and encouraged.
- Develop and maintain high standards for student performance that are aligned with assessment measures and accountability procedures.

*A comprehensive list of goals and performance measurements can be found in the **Utah***

*Tomorrow Strategic Plan, 1998 Annual Report, pages 20-27 and 63-69.*

## MAJOR BUDGET RECOMMENDATIONS

- Fund salaries of teachers and other school personnel, as well as ongoing school maintenance and operations, with a 6.0 percent increase in the Weighted Pupil Unit, which is an increase of \$95,532,300 in school funds. No growth is anticipated in the total number of public school students, which is projected to be 475,832.
- Increase Public Education by 7.4 percent in state funds over the FY 2001 base.
- Strengthen the state's commitment to literacy. The governor recommends legislative action to encourage school districts to focus trust land, Title One, highly-impacted school, and career ladder funds on this effort, which would be supported with \$1,250,000 in additional school funds and \$3,500,000 in new federal funds. School funds will provide \$1,000,000 for in-service training for K-3 teachers and \$250,000 for elementary teachers to attain advanced reading endorsements through study at state universities. The federal grant targets twelve school districts with high numbers of students in need.
- Build educational accountability by giving educators, parents, and the public appropriate access to assessment results and other information on public education. Recommended appropriations include \$3,500,000 in school funds to develop state curricula, assessment programs, software systems, and a web site.
- Increase the safety and well-being of students with \$2,100,000 in additional school funds to bring the ratio of secondary students per counselor down to the legislative goal of 400:1 and \$500,000 in school funds to move the state closer to the legislative goal of having no more than 5,000 students per school nurse.
- Provide \$3,750,000 in school funds to reimburse teachers who purchase teaching materials and supplies.
- Expand employment training opportunities for public school students and adults with a \$2,635,000 increase in school funds. This provides \$135,000 for operation and maintenance of expanded facilities at Bridgerland ATC, \$1,500,000 in continuing funds for custom fit training of workers, and \$1,000,000 for growth at ATCs and ATCSRs throughout the state.
- Provide students in rural Utah with more equal educational opportunities by increasing funding for necessarily small schools by \$2,750,000 in school funds to allocate under the current formula, and providing \$200,000 in school funds to help minority students achieve graduation.
- Ensure that persons with disabilities receive vocational rehabilitation services to which they are entitled. An increase of \$1,252,100 is recommended, including \$369,000 in savings that can be generated by appropriately reducing services to institutions of higher education.
- Provide the Utah Schools for the Deaf and Blind with an increase of \$835,000 in school funds, including \$261,000 to bring teacher salaries closer to the state average; \$210,000 to provide additional interveners to work with children who are both visually and hearing impaired; \$180,000 to provide vocational training to more 18 to 21 year old individuals, especially in rural areas; and \$184,000 for maintenance and operation of the schools, including increased security.

**PUBLIC EDUCATION**

## Operating Budget

	<b>Governor Leavitt's Recommendations</b>					
	<b>Actual FY 1999</b>	<b>Authorized FY 2000</b>	<b>Recommended FY 2000</b>	<b>Base FY 2001</b>	<b>Adjust- ments</b>	<b>Total FY 2001</b>
<b>Plan of Financing</b>						
General Fund	\$280,000	\$330,000	\$330,000	\$180,000	\$0	\$180,000
School Funds	1,487,506,600	1,535,114,700	1,535,025,800	1,526,473,200	112,613,600	1,639,086,800
Federal Funds	211,800,300	238,610,800	238,598,000	243,622,100	996,700	244,618,800
Dedicated Credits	30,836,500	27,144,500	27,634,000	23,908,200	538,500	24,446,700
Mineral Lease	607,900	591,800	591,800	580,500	0	580,500
Restricted and Trust Funds	998,900	1,119,600	1,119,400	806,200	1,500	807,700
Transfers	3,129,400	3,906,000	4,496,000	3,235,100	745,100	3,980,200
Other Funds	0	(915,700)	(391,500)	255,300	524,200	779,500
Beginning Balances	37,349,900	23,367,100	23,367,100	6,475,000	0	6,475,000
Closing Balances	(23,367,100)	(6,475,000)	(6,475,000)	(6,290,500)	0	(6,290,500)
Lapsing Funds	(941,000)	0	0	0	0	0
Local Property Tax	291,449,700	311,574,900	311,574,900	311,574,900	20,137,700	331,712,600
<b>Total Financing</b>	<b>\$2,039,651,100</b>	<b>\$2,134,368,700</b>	<b>\$2,135,870,500</b>	<b>\$2,110,820,000</b>	<b>\$135,557,300</b>	<b>\$2,246,377,300</b>
<b>Programs</b>						
State Office of Education	\$127,008,800	\$145,860,900	\$145,751,300	\$146,050,700	\$5,426,200	\$151,476,900
State Office of Rehabilitation	42,338,700	45,690,300	45,676,400	45,245,700	1,252,100	46,497,800
Schools for the Deaf and Blind	17,017,800	19,273,000	20,890,600	19,354,900	2,975,900	22,330,800
System of Applied Technology	32,471,300	34,595,000	34,602,700	29,783,800	1,945,500	31,729,300
Custom Fit Training	2,315,000	2,847,400	2,847,400	2,300,000	1,500,000	3,800,000
Science and the Arts	2,193,700	2,293,700	2,293,700	2,293,700	0	2,293,700
Education Contracts	4,435,900	4,654,800	4,654,800	4,654,800	0	4,654,800
Nutrition Programs	93,191,900	97,582,400	97,582,400	103,232,400	0	103,232,400
Minimum School Program	1,718,452,000	1,781,324,400	1,781,324,400	1,757,658,400	117,682,600	1,875,341,000
Utah Educational Network	226,000	246,800	246,800	245,600	0	245,600
Trust Fund Interest to Schools	0	0	0	0	4,775,000	4,775,000
<b>Total Budget</b>	<b>\$2,039,651,100</b>	<b>\$2,134,368,700</b>	<b>\$2,135,870,500</b>	<b>\$2,110,820,000</b>	<b>\$135,557,300</b>	<b>\$2,246,377,300</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>5.2%</b>
FTE Positions	--	1,057.9	1,077.1	1,057.9	22.0	1,079.9

*Actual expenditures for Snow College South (formerly Sevier Valley Applied Technology Center) are included in Higher Education for FY 1999 for purposes of comparison. Sevier Valley Applied Technology Center was part of Public Education until FY 2000.*

**PUBLIC EDUCATION**

Capital Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
School Funds	\$28,358,000	\$33,292,000	\$33,292,000	\$28,358,000	\$0	\$28,358,000
Other Funds	2,500,000	0	0	0	0	0
<b>Total Financing</b>	<b>\$30,858,000</b>	<b>\$33,292,000</b>	<b>\$33,292,000</b>	<b>\$28,358,000</b>	<b>\$0</b>	<b>\$28,358,000</b>
<b>Projects</b>						
Capital Equalization	\$30,858,000	\$29,358,000	\$29,358,000	\$28,358,000	\$0	\$28,358,000
Bridgerland ATC	0	3,934,000	3,934,000	0	0	0
<b>Total Budget</b>	<b>\$30,858,000</b>	<b>\$33,292,000</b>	<b>\$33,292,000</b>	<b>\$28,358,000</b>	<b>\$0</b>	<b>\$28,358,000</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(14.8%)</b>

**MINIMUM SCHOOL PROGRAM FY 2001**

	APPROPRIATION HB 3		GOVERNOR RECOMMENDS		CHANGE	
	FY 2000		FY 2001		S Amount	Percent
	WPU\$	S Amount @ 1,901	WPU\$	S Amount @ 2,015		
<b>Plan of Financing</b>						
<b>Local Revenue</b>						
Local Property Tax - Basic Levy - 0.001840		\$182,893,646		\$189,329,826	\$6,436,180	3.5%
Local Property Tax - Voted Leeway		98,344,039		110,412,316	12,068,277	12.3
Local Property Tax - Board Leeway		30,337,244		31,970,524	1,633,280	5.4
<b>Total Local Contribution</b>		<b>311,574,929</b>		<b>331,712,666</b>	<b>20,137,737</b>	<b>6.5</b>
<b>State Revenue</b>						
A. Uniform School Fund		1,446,083,444		1,538,049,318	91,965,874	6.4
<b>Total State Revenue</b>		<b>1,446,083,444</b>		<b>1,538,049,318</b>	<b>91,965,874</b>	<b>6.4</b>
<b>Total Revenue</b>		<b>\$1,757,658,373</b>		<b>\$1,869,761,984</b>	<b>\$112,103,611</b>	<b>6.4%</b>
<b>Programs</b>						
<b>A. Regular Basic School Programs</b>						
1. Kindergarten	19,604	\$37,267,204	20,222	\$40,747,330	\$3,480,126	9.3%
2. Grades 1-12	427,276	812,251,676	426,422	859,240,330	46,988,654	5.8
3. Necessarily Existent Small Rural Schools	5,494	10,444,094	7,117	14,340,755	3,896,661	37.3
4. Professional Staff	41,394	78,689,994	41,394	83,408,910	4,718,916	6.0
5. Administrative Costs	1,655	3,146,155	1,655	3,334,825	188,670	6.0
<b>Total Regular Basic School Programs</b>	<b>495,423</b>	<b>941,799,123</b>	<b>496,810</b>	<b>1,001,072,150</b>	<b>59,273,027</b>	<b>6.3</b>
<b>B. Restricted Basic School Programs</b>						
1. Special Education - Regular Program						
(a) Special Education Add-On WPU\$	52,697	100,176,997	52,697	106,184,455	6,007,458	6.0
(b) Self-Contained Regular WPU\$	12,299	23,380,399	12,299	24,782,485	1,402,086	6.0
2. Special Education Pre-School	4,724	8,980,324	5,142	10,361,130	1,380,806	15.4
3. Extended Year Program for Severely Disabled	237	450,537	237	477,555	27,018	6.0
4. Special Education - State Programs	1,350	2,566,350	1,350	2,720,250	153,900	6.0
5. Applied Technology Education - District	19,464	37,001,064	19,464	39,219,960	2,218,896	6.0
6. Applied Technology - District Set Aside	989	1,880,089	989	1,992,835	112,746	6.0
7. Accelerated Learning Programs						
(a) Gifted and Talented	767	1,458,067	767	1,545,505	87,438	6.0
(b) Advanced Placement	601	1,142,501	639	1,287,585	145,084	12.7
(c) Concurrent Enrollment	2,473	4,701,173	2,679	5,398,185	697,012	14.8
8. Adult Education Programs	3,407	6,476,707	3,407	6,865,105	388,398	6.0
9. Youth At Risk Programs	9,515	18,084,139	9,317	18,773,755	689,616	3.8
10. Career Ladders	24,253	46,104,953	24,253	48,869,795	2,764,842	6.0
11. Class Size Reduction	29,577	56,225,877	29,577	59,597,655	3,371,778	6.0
<b>Total Restricted Basic School Programs</b>	<b>162,353</b>	<b>308,629,177</b>	<b>162,817</b>	<b>328,076,255</b>	<b>19,447,078</b>	<b>6.3</b>

<b>C. Unrestricted Basic School Programs</b>						
1. Local Program	11,549	21,954,649	11,549	23,271,235	1,316,586	6.0
<b>Total Local Program</b>	<b>11,549</b>	<b>21,954,649</b>	<b>11,549</b>	<b>23,271,235</b>	<b>1,316,586</b>	<b>6.0</b>
<b>Total Basic School Program WPU's</b>	<b>669,325</b>	<b>1,272,382,949</b>	<b>671,176</b>	<b>1,352,419,640</b>	<b>80,036,691</b>	<b>6.3</b>
<b>D. Related to Basic Program</b>						
1. Social Security and Retirement		244,479,291		255,529,520	11,050,229	4.5
2. Pupil Transportation to and from School		50,237,319		53,251,558	3,014,239	6.0
3. Contingency Fund		419,246		419,246	0	0.0
4. Incentives for Excellence		614,911		614,911	0	0.0
5. Regional Service Centers		1,111,595		1,178,291	66,696	6.0
6. Staff Development		1,965,577		2,965,577	1,000,000	50.9
7. Comprehensive Guidance		7,033,759		9,072,785	2,039,026	29.0
8. Technology 2000		8,970,322		8,970,322	0	0.0
9. Highly Impacted Schools		4,873,207		5,165,599	292,392	6.0
10. FACT At-Risk Program		1,250,670		1,250,670	0	0.0
11. Alternative Language Services		2,828,564		2,998,278	169,714	6.0
12. Character Education		397,680		397,680	0	0.0
13. School Nurse Program		496,949		903,449	406,500	81.8
14. State Literacy Program (HB312)		5,000,000		5,000,000	0	0.0
15. Alternative Middle Schools (HB319)		2,000,000		2,000,000	0	0.0
16. Advanced reading specialist training		0		250,000	250,000	100.0
17. Transportation Levy Guarantee		225,000		225,000	0	0.0
18. Work-based Learning		2,235,000		2,369,100	134,100	6.0
19. Youth in Custody		1,000,000		1,000,000	0	0.0
20. Truancy Intervention and Prevention		150,000		150,000	0	0.0
21. Reading improvement awards (HB 75)		9,000		9,000	0	0.0
<b>Total Related to Basic Program</b>		<b>335,298,090</b>		<b>353,720,986</b>	<b>18,422,896</b>	<b>5.5</b>
<b>E. Special Purpose Programs</b>						
1. Experimental - Developmental Programs		5,933,056		5,933,056	0	0.0
<b>Total Special Purpose Programs</b>		<b>5,933,056</b>		<b>5,933,056</b>	<b>0</b>	<b>0.0</b>
<b>F. Board and Voted Leeway Programs</b>						
1. Voted Leeway Program		109,075,315		121,477,784	12,402,469	11.4
2. Board Leeway Program		34,968,963		36,210,518	1,241,555	3.6
<b>Total Board and Voted Leeway Programs</b>		<b>144,044,278</b>		<b>157,688,302</b>	<b>13,644,024</b>	<b>9.5</b>
<b>Total Minimum School Program</b>		<b>\$1,757,658,373</b>	<sup>a</sup>	<b>\$1,869,761,984</b>	<b>\$112,103,611</b>	<b>6.4%</b>

<sup>a</sup> Does not include capital funds or one-time appropriations

**PUBLIC EDUCATION**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>PUBLIC EDUCATION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
N1	\$330,000	\$1,535,114,700	\$226,199,100	\$21,547,900	\$547,100	\$314,172,300	\$2,097,911,100
N2	(150,000)	(9,280,000)	0	0	0	0	(9,430,000)
N3	0	(181,800)	0	0	0	0	(181,800)
N4	0	0	17,361,700	2,356,990	258,000	1,645,800	21,622,400
N5	0	389,300	0	0	0	0	389,300
	180,000	1,526,042,200	243,560,800	23,904,800	805,100	315,818,100	2,110,311,000
<b>Base Adjustments</b>							
N6	0	37,200	(11,300)	(4,200)	(300)	3,300	24,700
N7	0	278,800	88,300	3,700	1,200	2,500	374,500
N8	0	115,000	(15,700)	3,900	200	6,400	109,800
	0	431,000	61,300	3,400	1,100	12,200	509,000
	180,000	1,526,473,200	243,622,100	23,908,200	806,200	315,830,300	2,110,820,000
<b>Ongoing Adjustments</b>							
N9	0	3,500,000	0	0	0	0	3,500,000
N10	0	500,000	0	0	0	0	500,000
N11	0	200,000	0	0	0	0	200,000
N12	0	700,000	552,100	0	0	0	1,252,100
N13	0	835,000	0	0	0	0	835,000
N14	0	0	0	505,800	0	205,600	711,400
N15	0	0	0	0	0	916,800	916,800
N16	0	1,000,000	0	0	0	0	1,000,000
N17	0	135,000	0	0	0	0	135,000
N18	0	1,500,000	0	0	0	0	1,500,000
N19	0	842,300	0	0	0	0	842,300
N20	0	95,532,300	0	0	0	0	95,532,300
N21	0	250,000	0	0	0	0	250,000
N22	0	2,100,000	0	0	0	0	2,100,000
N23	0	1,000,000	0	0	0	0	1,000,000
N24	0	2,750,000	0	0	0	0	2,750,000
N25	0	415,000	0	0	0	0	415,000
N26	0	500,000	0	0	0	0	500,000
N27	0	(57,500)	0	0	0	13,701,500	13,644,000
N28	0	(6,436,200)	0	0	0	6,436,200	0
N29	0	(4,930,000)	0	0	0	0	(4,930,000)
N30	0	4,775,000	0	0	0	0	4,775,000
N31	0	810,500	0	0	0	0	810,500

**PUBLIC EDUCATION - CONTINUED**

	General Fund	School Funds	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
N32 Compensation package	0	1,113,200	444,600	32,700	1,500	146,900	1,738,900
<i>Subtotal Ongoing Adjustments - Public Education</i>	0	1,07,034,600	996,700	538,500	1,500	21,407,000	129,978,300
<b>One-time Adjustments</b>							
N33 Minimum School Program - Centennial lapse to 21st Century	0	(355,000)	0	0	0	0	(355,000)
N34 Minimum School Program - Schools for 21st Century final year	0	855,000	0	0	0	0	855,000
N35 Minimum School Program - textbooks and media for libraries	0	1,329,000	0	0	0	0	1,329,000
N36 Minimum School Program - teacher materials and supplies	0	3,750,000	0	0	0	0	3,750,000
<i>Subtotal One-time Adjustments - Public Education</i>	0	5,579,000	0	0	0	0	5,579,000
<b>Total FY 2001 Public Education Adjustments</b>	0	112,613,600	996,700	538,500	1,500	21,407,000	135,557,300
<b>Total FY 2001 Public Education Operating Budget</b>	\$180,000	\$1,639,086,800	\$244,618,800	\$24,446,700	\$807,700	\$337,237,300	\$2,246,377,300
<b>PUBLIC EDUCATION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
N37 Deaf and blind - personnel and equipment for instruction	\$0	\$0	\$0	\$505,800	\$0	\$205,600	\$711,400
N38 Deaf and blind - services to Medicaid eligible students	0	0	0	0	0	916,800	916,800
N39 Internal service fund adjustments	0	(88,900)	(12,800)	(16,300)	(200)	(8,200)	(126,400)
<b>Total FY 2000 Public Education Supplementals</b>	\$0	(\$88,900)	(\$12,800)	\$489,500	(\$200)	\$1,114,200	\$1,501,800
<b>PUBLIC EDUCATION FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
N40 FY 2000 appropriated budget	\$0	\$33,292,000	\$0	\$0	\$0	\$0	\$33,292,000
N41 Less one-time FY 2000 appropriations	0	(4,934,000)	0	0	0	0	(4,934,000)
<b>Total FY 2001 Public Education Capital Base Budget</b>	0	28,358,000	0	0	0	0	28,358,000
<b>Total FY 2001 Public Education Capital Budget</b>	\$0	\$28,358,000	\$0	\$0	\$0	\$0	\$28,358,000
<b>PUBLIC EDUCATION TOTALS</b>							
FY 2001 Operating Base Budget	\$180,000	\$1,526,473,200	\$243,622,100	\$23,908,200	\$806,200	\$315,830,300	\$2,110,820,000
FY 2001 Operating Adjustments	0	112,613,600	996,700	538,500	1,500	21,407,000	135,557,300
FY 2001 Operating Recommendation	180,000	1,639,086,800	244,618,800	24,446,700	807,700	337,237,300	2,246,377,300
FY 2000 Operating Supplementals	0	(88,900)	(12,800)	489,500	(200)	1,114,200	1,501,800
FY 2001 Capital Base Budget	0	28,358,000	0	0	0	0	28,358,000
FY 2001 Capital Adjustments	0	0	0	0	0	0	0
FY 2001 Capital Recommendation	0	28,358,000	0	0	0	0	28,358,000



## PUBLIC SAFETY

Mission: *Provide quality services, ensure a safe environment, and protect the rights of Utah's citizens.*

### PROGRAMS

- **Commissioner's Office** provides oversight and policy direction for all departmental functions.
- **Comprehensive Emergency Management** prepares, implements, and maintains programs which plan for, respond to, recover from, and mitigate emergencies and disasters of all types that threaten the lives and property of the citizens of Utah or the environment of the state.
- **Utah Safety Council** provides resources, services, and education to influence safety, health, and environmental policies and practices.
- **Police Officer Standards and Training (POST)** provides training for law enforcement personnel and investigates and adjudicates allegations of peace officer misconduct.
- **Criminal Investigations and Technical Services (CITS)** provides communication support for public safety agencies; comprehensive forensic analysis of evidence from crime scenes; computerized criminal background information; and investigative support for white collar crime, narcotics, liquor enforcement, intelligence and medical fraud.
- **Driver License Division** examines and licenses Utah motorists and takes corrective action against substandard drivers.
- **Utah Highway Patrol (UHP)** facilitates traffic flow on state highways and protects life and property by helping prevent traffic accidents. In addition, UHP administers federal contracts for state safety projects.
- **Management Information** oversees department information processing systems and provides criminal justice information to law enforcement agencies throughout the state.
- **Fire Marshal** enforces public building codes, conducts fire service training, coordinates prevention efforts, and investigates suspicious fires.
- **Liquor Law Enforcement** focuses almost exclusively on the enforcement of the state's liquor laws.

### SELECTED DEPARTMENT GOALS

- Offer technical services to the criminal justice community in an efficient and cost effective manner.
- Provide law enforcement and traffic services that promote the quality of life through increased safety and to provide assistance to local law enforcement officers.

- Support the criminal justice community with mobile communication, access to data bases, and improved forensic testing and criminal intelligence systems.
- Explore ways to find technological and operational solutions to increase productivity.
- Develop programs in conjunction with UHP and the Utah Safety Council to apprehend aggressive drivers and to emphasize child restraint enforcement through the efforts of the “Drive Friendly” and “Buckle Up for Love” campaigns.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 63-69, 78-90, and 103-118.*

### **MAJOR BUDGET RECOMMENDATIONS**

- Transfer the Medicaid Fraud Unit to the Attorney General.
- Provide \$294,000 from the General Fund to train law enforcement officers on procedures used when dealing with the mentally ill and provide law enforcement training for school resource officers.
- Provide \$280,700 from the General Fund to purchase and

install lap top computers in all highway patrol vehicles in Utah and Juab counties.

- Provide \$407,600 from the General Fund for conversion to the 800 megahertz frequency.
- Provide \$450,000 from the General Fund for criminal history background checks and for criminal history records maintenance improvement.
- Provide \$1,000,000 from the Utah Sports Authority Special Revenue Fund to begin reserving housing for public safety officers during the Olympics.

### **EFFECTIVE BASE BUDGET CUTS**

- Unfunded operation and maintenance costs at UHP facilities.

### **FY 2001 PROPOSED LEGISLATIVE INTENT**

#### **Commissioner’s Office**

All monies seized or forfeited to the state as a result of drug- or narcotic-related activities through the state or federal court process are to be deposited in the General Fund - Drug Forfeiture Account. The Department of

Public Safety is authorized to expend amounts not to exceed \$500,000 from seizures awarded by the state and seizures awarded by the federal court to aid in efforts to combat drug trafficking. Anticipated expenditures shall be limited to the following: computer technology and training, specialized equipment, extraordinary investigation imprest and narcotics reward funds, helicopter operations, technical and professional services, and soft body armor.

Receipts above \$10,000 of reimbursable aircraft flight time are nonlapsing and are to be used only to replace or repair aircraft engines.

Funds for the Commissioner’s Office are nonlapsing.

#### **Comprehensive Emergency Management**

Funds for Comprehensive Emergency Management are nonlapsing.

#### **Safety Council**

Funds for the Safety Council are nonlapsing.

#### **Peace Officers Standards and Training**

Funds for POST are nonlapsing.

**Criminal Investigations and Technical Services**

Funds for CITS are nonlapsing.

**Driver License**

Funds for the Driver License Division are nonlapsing.

**Highway Patrol**

Funds for the Utah Highway Patrol are nonlapsing.

The Department of Public Safety and the Department of Human Resource Management shall develop and implement a Senior Officer III grade in the Highway Patrol and advance up to 15 officers per year to this new grade with funding coming from savings in existing appropriations.

The Office of Highway Safety may transfer federal funds from this item of appropriation to any other item of appropriation.

**Management Information Services**

Funds for Management Information Services are nonlapsing.

**Fire Marshal**

Funds for the Utah Fire Marshal are nonlapsing.

**Liquor Law Enforcement**

Funds for Liquor Law Enforcement are nonlapsing.

**PUBLIC SAFETY**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$39,743,500	\$42,137,800	\$42,057,200	\$42,196,500	\$2,623,700	\$44,820,200
Transportation Fund	5,495,500	5,495,500	5,495,500	5,495,500	0	5,495,500
Federal Funds	14,410,400	12,549,600	12,539,400	12,770,000	(744,400)	12,025,600
Dedicated Credits	5,195,100	5,416,000	5,413,000	5,066,300	26,000	5,092,300
Restricted and Trust Funds	20,773,300	22,650,800	22,801,100	22,355,300	1,373,200	23,728,500
Transfers	2,442,700	1,659,100	1,656,100	1,520,900	31,400	1,552,300
Beginning Balances	820,400	1,701,400	1,701,400	285,300	0	285,300
Closing Balances	(1,701,400)	(285,300)	(285,300)	0	0	0
Lapsing Funds	(2,308,400)	0	0	0	0	0
<b>Total Financing</b>	<b>\$84,871,100</b>	<b>\$91,324,900</b>	<b>\$91,378,400</b>	<b>\$89,689,800</b>	<b>\$3,309,900</b>	<b>\$92,999,700</b>
<b>Programs</b>						
Commissioner's Office	\$6,123,000	\$5,252,600	\$5,243,200	\$4,944,800	\$1,079,100	\$6,023,900
Emergency Management	7,700,400	9,382,800	9,375,800	9,204,200	87,100	9,291,300
Safety Council	138,900	143,400	143,400	143,700	4,200	147,900
Police Academy	2,259,000	2,794,700	2,793,100	2,541,700	342,800	2,884,500
Criminal Investigation Services	14,857,600	15,686,700	15,624,700	15,531,000	(146,500)	15,384,500
Driver License	13,541,700	15,062,800	15,020,100	15,257,300	547,800	15,805,100
Highway Patrol	34,731,000	37,066,600	37,046,000	36,272,000	1,280,900	37,552,900
Management Information	1,769,200	1,829,600	1,826,400	1,750,300	55,200	1,805,500
Fire Marshal	2,888,700	3,101,500	3,301,500	3,077,300	33,000	3,110,300
Liquor Law Enforcement	861,600	1,004,200	1,004,200	967,500	26,300	993,800
<b>Total Budget</b>	<b>\$84,871,100</b>	<b>\$91,324,900</b>	<b>\$91,378,400</b>	<b>\$89,689,800</b>	<b>\$3,309,900</b>	<b>\$92,999,700</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>1.8%</b>
FTE Positions	--	1,097.8	1,097.8	1,097.8	(9.0)	1,088.8

**PUBLIC SAFETY**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>PUBLIC SAFETY FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
O1	\$42,137,800	\$5,495,500	\$13,450,700	\$5,371,900	\$22,650,800	\$1,589,100	\$90,695,800
O2	0	0	0	0	(100,200)	0	(100,200)
O3	(253,600)	0	(15,800)	(3,600)	(84,600)	0	(357,600)
O4	0	0	(669,000)	(306,300)	(159,700)	219,900	(915,100)
	<i>Subtotal Beginning Base Budget - Public Safety</i>	<i>5,495,500</i>	<i>12,765,900</i>	<i>5,062,000</i>	<i>22,306,300</i>	<i>1,809,000</i>	<i>89,322,900</i>
<b>Base Adjustments</b>							
O5	233,300	0	(10,400)	(3,400)	(46,900)	(3,200)	169,400
O6	23,000	0	3,000	0	14,500	0	40,500
O7	56,000	0	11,500	7,700	81,400	400	157,000
	<i>Subtotal Base Adjustments - Public Safety</i>	<i>312,300</i>	<i>4,100</i>	<i>4,300</i>	<i>49,000</i>	<i>(2,800)</i>	<i>366,900</i>
	<b>42,196,500</b>	<b>5,495,500</b>	<b>12,770,000</b>	<b>5,066,300</b>	<b>22,355,300</b>	<b>1,806,200</b>	<b>89,689,800</b>
<b>Ongoing Adjustments</b>							
O8	(187,200)	0	(869,000)	0	0	0	(1,056,200)
O9	24,000	0	0	0	0	0	24,000
O10	270,000	0	0	0	0	0	270,000
O11	26,500	0	0	0	0	0	26,500
O12	280,700	0	0	0	0	0	280,700
O13	192,000	0	0	0	0	0	192,000
O14	258,000	0	0	0	0	0	258,000
O15	118,000	0	0	0	0	0	118,000
O16	1,352,100	0	124,600	26,000	373,200	31,400	1,907,300
	<i>Subtotal Ongoing Adjustments - Public Safety</i>	<i>0</i>	<i>(744,400)</i>	<i>26,000</i>	<i>373,200</i>	<i>31,400</i>	<i>2,020,300</i>
<b>One-time Adjustments</b>							
O17	289,600	0	0	0	0	0	289,600
O18	0	0	0	0	1,000,000	0	1,000,000
	<i>Subtotal One-time Adjustments - Public Safety</i>	<i>289,600</i>	<i>0</i>	<i>0</i>	<i>1,000,000</i>	<i>0</i>	<i>1,289,600</i>
	<b>2,623,700</b>	<b>0</b>	<b>(744,400)</b>	<b>26,000</b>	<b>1,373,200</b>	<b>31,400</b>	<b>3,309,900</b>
	<b>\$44,820,200</b>	<b>\$5,495,500</b>	<b>\$12,025,600</b>	<b>\$5,092,300</b>	<b>\$23,728,500</b>	<b>\$1,837,600</b>	<b>\$92,999,700</b>
<b>PUBLIC SAFETY FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
O19	0	0	0	0	\$200,000	0	\$200,000
O20	(80,600)	0	(10,200)	(3,000)	(49,700)	(3,000)	(146,500)
	<i>Subtotal Public Safety Supplementals</i>	<i>(80,600)</i>	<i>(10,200)</i>	<i>(3,000)</i>	<i>(49,700)</i>	<i>(3,000)</i>	<i>(146,500)</i>
	<b>(80,600)</b>	<b>0</b>	<b>(10,200)</b>	<b>(3,000)</b>	<b>(49,700)</b>	<b>(3,000)</b>	<b>(146,500)</b>
	<b>\$44,739,600</b>	<b>\$5,495,500</b>	<b>\$11,915,400</b>	<b>\$5,089,300</b>	<b>\$23,678,800</b>	<b>\$1,834,600</b>	<b>\$92,853,200</b>



# TRANSPORTATION

Mission: *Provide a quality, economical transportation system that is safe, reliable, environmentally sensitive, and serves the public, commerce, and industry.*

## PROGRAMS

- **Support Services** provides administrative, data processing, and maintenance support for the department.
- **Engineering Services** designs, plans, and engineers Utah highways.
- **Construction Management** oversees highway projects from design through completion.
- **Region Management** plans highway maintenance and rehabilitation, and performs laboratory work in close proximity to highway or construction sites.
- **Maintenance Management** provides litter control, highway striping, snow removal, and road and sign repair.

## SELECTED DEPARTMENT GOALS

- Maintain and improve state highway infrastructure.
- Strengthen planning, development, and performance of a multi-modal transportation system.
- Implement strategies to reduce congestion on state highways.
- Maximize both federal and state funds available for highway construction and maintenance.

- Reduce project costs by streamlining design and construction engineering.
- Limit additional appropriations by reducing administrative costs and reallocating cost savings to critical areas.
- Evaluate and implement innovative approaches to highway design and construction that will save both construction time and taxpayer money without compromising quality.

*A comprehensive list of goals and performance measurements can be found in the **Utah Tomorrow Strategic Plan, 1998 Annual Report**, pages 63-69 and 98-102.*

## MAJOR BUDGET RECOMMENDATIONS

- Provide \$222,500 from the Transportation Fund for five new positions at the Port of

Entry on Interstate 70. These positions will staff the facility located in Colorado which will be used by Utah employees to monitor and regulate truck traffic.

- Recommend \$338,700 from the Transportation Fund to fund maintenance contracts for new rest area facilities at Grassy Mountain and Kanarraville and for five view areas along Interstate 70.
- Fund \$500,000 from the Transportation Fund for the final stage of a project development management system.
- Provide \$1,769,900 from the Transportation Fund to bolster operations at the Traffic Operations Center (TOC). This money will supplement the existing functions of the TOC by providing financing for additional software and hardware maintenance; two TOC console operators; one electronic specialist; two maintenance workers to operate and perform preventive maintenance on equipment; and increased utility costs to run the new cameras, variable message signs, and other electronic equipment.

The money will also be used to expand existing services at

the TOC. Funding is recommended for a project manager for commercial vehicle operations. This is expected to improve interaction between public agencies and motor carriers. Funding is also recommended for five new personnel to develop and plan Advanced Traffic Management System expansion into other technical and physical arenas.

- Reduce the ongoing General Fund base of the Centennial Highway Fund by \$40,000,000. This is to be offset partially by an ongoing General Fund appropriation to the Centennial Highway Fund of \$12,000,000, for a net \$28,000,000 reduction.

The governor does not want the state to be so impatient paying off highway debt with General Fund contributions while other important issues and needs of state government are overlooked. To accommodate this change, the governor recommends the 10-year financing plan not end in FY 2007, but continue forward and be a rolling 10-year plan.

The current revision to the plan will extend the debt payoff by two years and make the CHF a rolling 10-year financing plan.

## **FY 2001 PROPOSED LEGISLATIVE INTENT**

### **Support Services**

Funds appropriated from the Transportation Fund for the construction management system are nonlapsing.

### **Maintenance Management**

Any and all collections or cash income from the sale or salvage of land and buildings are to be lapsed to the Transportation Fund or the Corridor Preservation Fund, unless previously approved otherwise by the Transportation Commission.

### **Construction Management**

Funds appropriated from the Transportation Fund for Construction Management are nonlapsing.

Funds in the Transportation Fund, not otherwise appropriated may be used by the department for the construction, rehabilitation, and preservation of state highways in Utah.

The appropriation shall fund, first, a maximum participation with the federal government for the construction of federally designated highways, as provided by law; next, the rehabilitation and

preservation of state highways, as provided by law; and last, the construction of state highways, as funding permits.

Private industry engaged in development of the state's natural resources is to be encouraged to participate in the construction of roadways leading to their facilities.

Funds for improvement or reconstruction of energy impacted roads that are not on the State Road System are nonlapsing.

Transportation funds for pedestrian safety projects are nonlapsing and are to be used

to correct pedestrian hazards on state highways.

Local authorities are to be encouraged to participate in the construction of pedestrian safety devices. The appropriated funds are to be used according to the criteria set forth in Section 72-8-104 UCA.

### **Aeronautics**

Funds in the Transportation Fund's Aeronautics Restricted Account not otherwise appropriated may be used by the department for the construction, improvement, operation, and maintenance of publicly used airports in the state.

### **Mineral Lease**

Mineral lease funds are nonlapsing and are to be used for improving or reconstructing roads that have been heavily impacted by mineral or energy development.

### **Sidewalk Construction**

Local participation in the sidewalk construction program is on a 75 percent state, 25 percent local match basis. If local governments cannot use their allocation of sidewalk safety funds in two years, these funds will then be available for other governmental entities that are prepared to use the resources.

**TRANSPORTATION**

## Operating Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$1,093,300	\$1,697,600	\$1,697,600	\$1,146,000	\$0	\$1,146,000
Transportation Fund	130,418,800	131,787,000	132,314,700	132,651,400	6,117,100	138,768,500
Federal Funds	31,761,700	30,322,600	30,322,600	29,156,500	726,200	29,882,700
Dedicated Credits	18,172,300	15,540,100	15,414,500	15,206,700	162,200	15,368,900
Restricted and Trust Funds	6,554,900	8,416,100	8,951,100	6,918,900	2,561,700	9,480,600
Transfers	166,000	166,000	166,000	166,000	0	166,000
Beginning Balances	0	3,100	3,100	0	0	0
Closing Balances	(3,100)	0	0	0	0	0
Lapsing Funds	(1,513,800)	0	0	0	0	0
<b>Total Financing</b>	<b>\$186,650,100</b>	<b>\$187,932,500</b>	<b>\$188,869,600</b>	<b>\$185,245,500</b>	<b>\$9,567,200</b>	<b>\$194,812,700</b>
<b>Programs</b>						
Support Services	\$21,571,600	\$23,595,400	\$23,775,500	\$22,906,800	\$1,550,800	\$24,457,600
Engineering Services	19,794,200	18,759,000	18,759,000	18,741,200	728,800	19,470,000
Maintenance Management	67,500,500	70,366,500	70,366,500	69,764,100	930,600	70,694,700
Region District Management	16,430,300	18,423,200	18,770,800	18,450,300	2,458,400	20,908,700
Equipment Management	20,841,300	16,555,000	16,429,400	17,140,100	677,000	17,817,100
Aeronautics	16,914,100	19,337,000	19,872,000	17,334,800	2,561,700	19,896,500
Construction Management	23,598,100	20,896,400	20,896,400	20,908,200	659,900	21,568,100
<b>Total Budget</b>	<b>\$186,650,100</b>	<b>\$187,932,500</b>	<b>\$188,869,600</b>	<b>\$185,245,500</b>	<b>\$9,567,200</b>	<b>\$194,812,700</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>3.1%</b>
FTE Positions	--	1,795.0	1,795.0	1,795.0	18.0	1,813.0

**TRANSPORTATION**

## Capital Budget

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations			
			Recommended FY 2000	Base FY 2001	Adjust- ments	Total FY 2001
<b>Plan of Financing</b>						
General Fund	\$110,000,000	\$122,000,000	\$122,000,000	\$122,000,000	(\$28,000,000)	\$94,000,000
Transportation Fund	211,824,100	230,764,400	230,764,400	232,550,500	2,260,100	234,810,600
Federal Funds	211,760,200	186,866,400	186,866,400	176,856,200	0	176,856,200
Dedicated Credits	20,733,600	1,865,000	1,865,000	2,810,000	0	2,810,000
Mineral Lease	10,290,500	11,475,000	11,475,000	12,600,000	0	12,600,000
Restricted and Trust Funds	17,859,300	18,000,000	18,000,000	19,000,000	0	19,000,000
Transfers <sup>(1)</sup>	(32,097,300)	(33,210,300)	(35,890,100)	(32,842,000)	(2,262,400)	(35,104,400)
Other Funds	90,600	0	0	0	4,500,000	4,500,000
Beginning Balances	1,179,000	1,285,900	1,285,900	0	0	0
Closing Balances	(1,285,900)	0	0	0	0	0
Lapsing Funds	(19,591,300)	0	0	0	0	0
<b>Total Financing</b>	<b>\$530,762,800</b>	<b>\$539,046,400</b>	<b>\$536,366,600</b>	<b>\$532,974,700</b>	<b>(\$23,502,300)</b>	<b>\$509,472,400</b>
<b>Projects</b>						
Construction	\$206,669,000	\$192,170,700	\$192,170,700	\$206,927,900	\$0	\$206,927,900
Sidewalk Construction	394,500	1,770,800	1,770,800	500,000	0	500,000
B and C Road Account	102,062,200	107,769,800	107,769,800	111,394,800	0	111,394,800
Maintenance Sheds	2,118,900	0	0	0	6,760,100	6,760,100
Centennial Highway Fund	209,229,100	225,845,000	223,165,200	201,552,000	(30,262,400)	171,289,600
Mineral Lease Programs	10,289,100	11,490,100	11,490,100	12,600,000	0	12,600,000
<b>Total Budget</b>	<b>\$530,762,800</b>	<b>\$539,046,400</b>	<b>\$536,366,600</b>	<b>\$532,974,700</b>	<b>(\$23,502,300)</b>	<b>\$509,472,400</b>
<b>% Change from Recommended FY 2000 to Total FY 2001</b>						<b>(5.0%)</b>

(1) - This includes a \$6,000,000 transfer in from the Transportation Fund each year for departmental efficiencies, and the remainder is transfers out for debt service.

**CENTENNIAL HIGHWAY FUND**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations	
			Recommended FY 2000	Recommended FY 2001
<b>Sources of Funding</b>				
<b>Included in Capital Budget Tables</b>				
<b>General Fund</b>				
Ongoing Appropriations	\$110,000,000	\$122,000,000	\$122,000,000	\$94,000,000
<b>Transportation Fund</b>				
5¢ Motor Fuel Tax	44,419,100	45,751,100	45,751,100	47,124,100
Diesel Taxed At Rack	7,725,000	7,956,800	7,956,800	8,195,500
1/2¢ UST Shift	4,441,900	4,575,100	4,575,100	4,712,400
Transportation - Department Efficiencies	6,000,000	6,000,000	6,000,000	6,000,000 <sup>(a)</sup>
<b>Other</b>				
Federal Funds	69,356,100	78,089,000	78,089,000	51,102,000 <sup>(b)</sup>
Dedicated Credits (Tolls/Private)	5,384,300	315,000	315,000	1,260,000 <sup>(c)</sup>
Debt Service Transfer	(38,097,300)	(38,842,000)	(41,521,800)	(41,104,400)
<b>Subtotal</b>	<b>209,229,100</b>	<b>225,845,000</b>	<b>223,165,200</b>	<b>171,289,600</b>
<b>Not Included in Capital Budget Tables **</b>				
<b>Bonding</b>				
General Obligation Bonds	210,000,000	0	0	0
Variable Rate Demand Bonds	0	358,000,000	358,000,000	0
Bond Anticipation Notes	(210,000,000)	(290,000,000)	(290,000,000)	0
<b>Other</b>				
Bond Premium/Interest Earned	24,548,800	5,861,000	5,861,000	243,000
Registration Fee Increase	16,598,000	17,095,900	17,095,900	17,608,800
Sales tax General Fund (Olympic 1/64¢)	0	2,315,000	2,315,000	4,847,000 <sup>(d)</sup>
Beginning Balance	515,222,000	255,179,600	255,179,600	41,596,800
<b>Subtotal</b>	<b>556,368,800</b>	<b>348,451,500</b>	<b>348,451,500</b>	<b>64,295,600</b>
<b>TOTAL FUNDING</b>	<b>\$765,597,900</b>	<b>\$574,296,500</b>	<b>\$571,616,700</b>	<b>\$235,585,200</b>
<b>Expenditures</b>				
I-15 Project	\$463,198,300	\$372,793,000	\$372,793,000	\$157,190,000
Other Projects	46,995,000	155,702,000	155,702,000	78,051,200
Bond Issuance/Bond Admin Costs	225,000	1,524,900	1,524,900	344,000
<b>TOTAL EXPENDITURES</b>	<b>\$510,418,300</b>	<b>\$530,019,900</b>	<b>\$530,019,900</b>	<b>\$235,585,200</b>
<b>Ending Balance</b>	<b>\$255,179,600</b>	<b>\$44,276,600</b>	<b>\$41,596,800</b>	<b>\$0</b>
<p>(a) The Utah Department of Transportation will transfer \$6.0 million from efficiency savings to the CHF each year.</p> <p>(b) Anticipated federal funding above what Utah normally receives annually.</p> <p>(c) Estimated revenue from sources other than state money.</p> <p>(d) Beginning on January 1, 2000, the revenues generated by the 1/64 percent tax rate that previously went to the Sports Authority Special Revenue Fund.</p>				
<p>** Bond amounts are not included as a revenue source in the transportation capital budget table. Beginning balances, which are a result of bonding, have also been excluded from these tables. Interest income, bond issuance costs, sales tax diversion, registration fees that go directly into the CHF, and other items not required to be appropriated by the legislature have also been excluded from the capital budget table.</p>				
<p>This schedule shows sources of funding for the Centennial Highway Fund. The Expenditures section contains the Department of Transportation's best estimate of how the Centennial Highway Fund will be used.</p>				

**TRANSPORTATION**

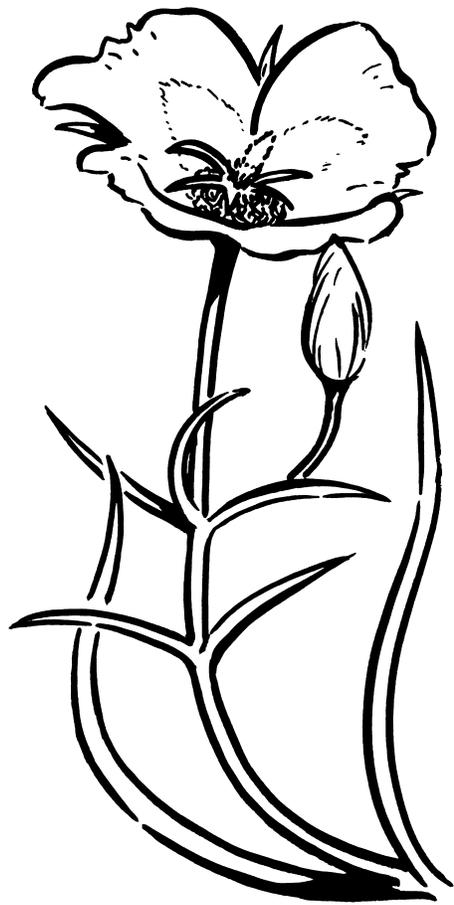
	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>TRANSPORTATION FY 2001 OPERATING BUDGET</b>							
<b>Beginning Base Budget</b>							
P1	\$1,697,600	\$132,017,600	\$30,092,000	\$15,540,100	\$8,416,100	\$166,000	\$187,929,400
P2	(600,000)	(690,000)	0	0	(1,500,000)	0	(2,790,000)
P3	0	(491,600)	0	0	0	0	(491,600)
P4	0	1,239,200	(1,009,200)	(230,000)	0	0	0
	<i>1,097,600</i>	<i>132,075,200</i>	<i>29,082,800</i>	<i>15,310,100</i>	<i>6,916,100</i>	<i>166,000</i>	<i>184,647,800</i>
<b>Base Ad justments</b>							
P5	48,400	68,200	0	(137,000)	(700)	0	(21,100)
P6	0	153,400	0	5,300	0	0	158,700
P7	0	354,600	73,700	28,300	3,500	0	460,100
	<i>48,400</i>	<i>576,200</i>	<i>73,700</i>	<i>(103,400)</i>	<i>2,800</i>	<i>0</i>	<i>597,700</i>
<b>Total FY 2001 Transportation Base Budget</b>	<b>1,146,000</b>	<b>132,651,400</b>	<b>29,156,500</b>	<b>15,206,700</b>	<b>6,918,900</b>	<b>166,000</b>	<b>185,245,500</b>
<b>Ongoing Ad justments</b>							
P8	0	222,500	0	0	0	0	222,500
P9	0	338,700	0	0	0	0	338,700
P10	0	95,000	0	0	0	0	95,000
P11	0	55,000	0	0	0	0	55,000
P12	0	250,800	0	0	0	0	250,800
P13	0	80,000	0	0	0	0	80,000
P14	0	215,600	0	0	0	0	215,600
P15	0	200,800	127,000	0	0	0	327,800
P16	0	551,400	0	0	0	0	551,400
P17	0	255,300	0	0	0	0	255,300
P18	0	66,000	0	0	0	0	66,000
P19	0	0	0	0	135,500	0	135,500
P20	0	0	0	0	1,600,000	0	1,600,000
P21	0	165,400	0	0	0	0	165,400
P22	0	2,601,100	599,200	162,200	26,200	0	3,388,700
	<i>0</i>	<i>5,097,600</i>	<i>726,200</i>	<i>162,200</i>	<i>1,761,700</i>	<i>0</i>	<i>7,747,700</i>

**TRANSPORTATION - CONTINUED**

	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
<b>One-time Adjustments</b>							
P-23	0	500,000	0	0	0	0	500,000
	Support services - construction management system development						
P-24	0	200,000	0	0	0	0	200,000
	Engineering services - new photologging system						
P-25	0	319,500	0	0	0	0	319,500
	Equipment Management - 800 megahertz equipment						
P-26	0	0	0	0	800,000	0	800,000
	Aeronautics - increase in aviation fuel tax revenue for FY 2000						
	0	1,019,500	0	0	800,000	0	1,819,500
	<i>Subtotal One-time Adjustments - Transportation</i>						
<b>Total FY 2001 Transportation Adjustments</b>	<b>0</b>	<b>6,117,100</b>	<b>726,200</b>	<b>162,200</b>	<b>2,561,700</b>	<b>0</b>	<b>9,567,200</b>
<b>Total FY 2001 Transportation Operating Budget</b>	<b>\$1,146,000</b>	<b>\$139,768,500</b>	<b>\$29,882,700</b>	<b>\$15,368,900</b>	<b>\$9,480,600</b>	<b>\$166,000</b>	<b>\$194,812,700</b>
<b>TRANSPORTATION FY 2000 OPERATING BUDGET SUPPLEMENTALS</b>							
P-27	\$0	\$290,000	\$0	\$0	\$0	\$0	\$290,000
	Support services - rest area maintenance for new facilities						
P-28	0	95,000	0	0	0	0	95,000
	Region management - TOC hardware and software maintenance						
P-29	0	215,600	0	0	0	0	215,600
	Region management - TOC operating/personnel expense shortfall						
P-30	0	37,000	0	0	0	0	37,000
	Region management - TOC operating expense						
P-31	0	0	0	0	535,000	0	535,000
	Aeronautics - overhaul B200 Super King aircraft engine						
P-32	0	(109,900)	0	(125,600)	0	0	(235,500)
	Internal service fund adjustments						
<b>Total FY 2000 Transportation Supplementals</b>	<b>\$0</b>	<b>\$527,700</b>	<b>\$0</b>	<b>(\$125,600)</b>	<b>\$535,000</b>	<b>\$0</b>	<b>\$937,100</b>
<b>TRANSPORTATION FY 2001 CAPITAL BUDGET</b>							
<b>Base Budget</b>							
P-33	\$122,000,000	\$213,389,600	\$171,732,000	\$4,581,000	\$19,000,000	(\$21,025,300)	\$509,677,300
	FY 2000 appropriated budget/ adjusted						
P-34	0	0	5,124,200	(1,771,000)	0	783,300	4,136,500
	Adjustments in non-state funding levels						
P-35	0	19,160,900	0	0	0	0	19,160,900
	Change for revenue estimate increase net of adjustments						
<b>Total FY 2001 Transportation Capital Base Budget</b>	<b>122,000,000</b>	<b>232,550,500</b>	<b>176,856,200</b>	<b>2,810,000</b>	<b>19,000,000</b>	<b>(20,242,000)</b>	<b>532,974,700</b>
<b>Ongoing Adjustments</b>							
P-36	(28,000,000)	0	0	0	0	0	(28,000,000)
	Centennial Highway Fund						
P-37	0	0	0	0	0	0	(2,262,400)
	Centennial Highway Fund - debt service transfer						
	(28,000,000)	0	0	0	0	0	(30,262,400)
	<i>Subtotal Ongoing Capital Adjustments - Transportation</i>						
<b>One-time Adjustments</b>							
P-38	0	910,900	0	0	0	0	910,900
	Cache Junction maintenance complex						
P-39	0	681,500	0	0	0	0	681,500
	Echo maintenance complex						

**TRANSPORTATION - CONTINUED**

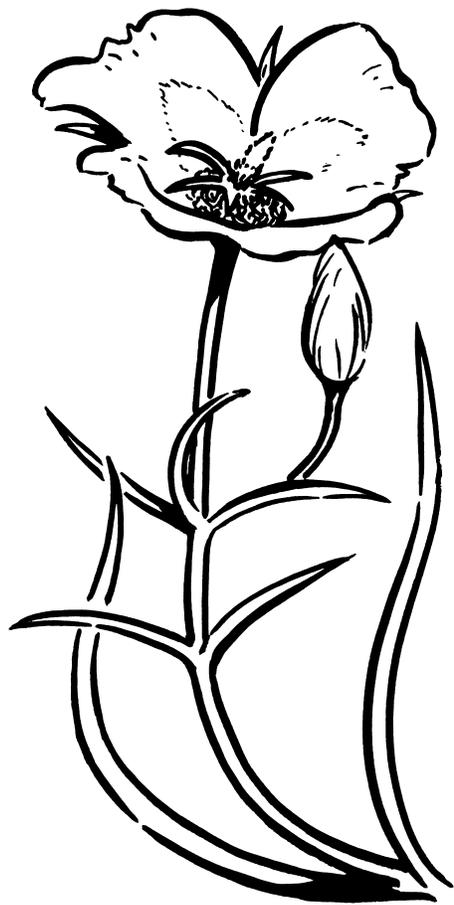
	General Fund	Transportation Fund	Federal Funds	Dedicated Credits	Restricted Funds	Other Funds	Total Funds
P-40 Richfield district warehouse	0	667,700	0	0	0	0	667,700
P-41 Construction of maintenance shed in Lehi	0	0	0	0	0	1,500,000	1,500,000
P-42 Orem maintenance station addition/remodel	0	0	0	0	0	3,000,000	3,000,000
<i>Subtotal One-time Capital Adjustments - Transportation</i>	<i>0</i>	<i>2,260,100</i>	<i>0</i>	<i>0</i>	<i>0</i>	<i>4,500,000</i>	<i>6,760,100</i>
<b>Total FY 2001 Transportation Capital Adjustments</b>	<b>(28,000,000)</b>	<b>2,260,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,237,600</b>	<b>(23,502,300)</b>
<b>Total FY 2001 Transportation Capital Budget</b>	<b>\$94,000,000</b>	<b>\$234,810,600</b>	<b>\$176,856,200</b>	<b>\$2,810,000</b>	<b>\$19,000,000</b>	<b>(\$18,004,400)</b>	<b>\$809,472,400</b>
<b>TRANSPORTATION FY 2000 CAPITAL BUDGET SUPPLEMENTALS</b>							
P-43 Centennial Highway Fund - debt service transfer	\$0	\$0	\$0	\$0	\$0	(\$2,679,800)	(\$2,679,800)
<b>Total FY 2000 Transportation Capital Supplementals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,679,800)</b>	<b>(\$2,679,800)</b>
<b>TRANSPORTATION TOTALS</b>							
<b>FY 2001 Operating Base Budget</b>	<b>\$1,146,000</b>	<b>\$132,651,400</b>	<b>\$29,156,500</b>	<b>\$15,206,700</b>	<b>\$6,918,900</b>	<b>\$166,000</b>	<b>\$185,245,500</b>
<b>FY 2001 Operating Adjustments</b>	<b>0</b>	<b>6,117,100</b>	<b>726,200</b>	<b>162,200</b>	<b>2,561,700</b>	<b>0</b>	<b>9,567,200</b>
<b>FY 2001 Operating Recommendation</b>	<b>1,146,000</b>	<b>138,768,500</b>	<b>29,882,700</b>	<b>15,368,900</b>	<b>9,480,600</b>	<b>166,000</b>	<b>194,812,700</b>
<b>FY 2000 Operating Supplementals</b>	<b>0</b>	<b>527,700</b>	<b>0</b>	<b>(125,600)</b>	<b>535,000</b>	<b>0</b>	<b>937,100</b>
<b>FY 2001 Capital Base Budget</b>	<b>122,000,000</b>	<b>232,550,500</b>	<b>176,856,200</b>	<b>2,810,000</b>	<b>19,000,000</b>	<b>(20,242,000)</b>	<b>532,974,700</b>
<b>FY 2001 Capital Adjustments</b>	<b>(28,000,000)</b>	<b>2,260,100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,237,600</b>	<b>(23,502,300)</b>
<b>FY 2001 Capital Recommendation</b>	<b>94,000,000</b>	<b>234,810,600</b>	<b>176,856,200</b>	<b>2,810,000</b>	<b>19,000,000</b>	<b>(18,004,400)</b>	<b>509,472,400</b>
<b>FY 2000 Capital Supplementals</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,679,800)</b>	<b>(2,679,800)</b>



# State of Utah

## Internal Service Funds and Enterprise Funds by Fund

- Function and purpose of internal service and enterprise funds
- Three-year comparison of internal service fund revenue, capital acquisitions, and positions, including the governor's FY 2001 recommendations





## INTERNAL SERVICE AND ENTERPRISE FUNDS

Internal service and enterprise funds are managed much like private sector businesses and use balance sheets, income statements, and statements of cash flows to account for their activities.

### INTERNAL SERVICE FUNDS

Internal Service Funds (ISFs) are maintained to account for the operation of state agencies that provide goods or services to other state agencies and other governmental units on a cost-reimbursement basis. ISFs do not generate excessive profits, and retained earnings are limited. ISFs are also subject to the same administrative statutes as state government agencies.

### ENTERPRISE FUNDS

Enterprise Funds are maintained to account for the opera-

tions of state agencies which provide goods and services to the general public and private organizations. These agencies finance their operations through user charges and are allowed to generate a profit. Enterprise funds may be exempted from administrative statutes. Enterprise Funds are not included in the Governor's Budget Recommendations except for the liquor profit transfers from Alcoholic Beverage Control to the General Fund.

<b>Liquor Profit Transfers</b>	
FY 1999 Actual	\$26,896,000
FY 2000 Est.	\$27,600,900
FY 2001 Est.	\$28,300,000

### RECOMMENDATIONS

The following ISF tables show estimated total revenue collected from user agencies, recom-

mended capital acquisitions, and recommended staffing levels. Funding for ISF services is included in individual agency budgets. The level of service provided by an ISF depends on the needs of state agencies.

The Division of Fleet Operations is in the process of consolidating all state agency-owned motor pools into one centralized fleet. The recommended levels of revenues, capital acquisitions, and staffing for the Motor Pool reflect this transition.

Fleet Operations receives an ongoing General Fund appropriation of \$4,000,000 for fleet capitalization which is not included in the table on page 172.

The LeRay McAllister Critical Land Conservation Enterprise Fund receives an ongoing General Fund appropriation of \$2,750,000 to fund local agency efforts to preserve open space. ■

**INTERNAL SERVICE FUNDS****Total Revenue**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations	
			Estimated FY 2000	Estimated FY 2001
<b>Administrative Services</b>				
General Services - Administration	\$258,979	\$190,100	\$299,800	\$292,600
General Services - Mail	6,401,155	6,960,000	7,149,200	7,452,800
General Services - E-Purchasing	178,531	290,400	290,400	290,400
General Services - Publishing	4,644,468	6,018,500	5,277,200	5,747,900
Fleet Operations - Administration	670,807	851,200	851,200	757,500
Fleet Operations - Surplus	1,022,226	1,246,000	1,243,400	1,297,500
Fleet Operations - Motor Pool	11,242,934	26,195,200	20,232,900	21,821,500
Fleet Operations - Fuel Dispensing	9,359,058	11,681,000	11,681,000	11,681,000
Information Technology Services	59,754,823	56,268,700	50,712,400	49,743,700
Risk Management	18,612,700	21,104,800	21,738,500	21,882,300
Workers' Compensation	5,961,800	6,754,600	6,203,300	6,451,600
Facilities Management	16,546,906	17,167,200	17,690,300	18,203,900
Debt Collection	363,900	222,100	567,400	716,100
<b>Board of Education - General Svcs</b>	1,200,300	1,200,000	1,249,900	1,191,000
<b>Natural Resources</b>				
Central Data Processing	706,636	730,000	710,500	710,500
Motor Pool	3,004,577	3,402,400	3,452,200	3,492,900
Warehouse	680,485	780,000	780,000	790,000
<b>Agriculture - Data Processing</b>	232,683	257,600	251,600	251,600
<b>Corrections - Data Processing</b>	1,434,030	1,344,800	1,540,100	1,556,300
<b>Human Services</b>				
General Services	1,468,988	1,472,000	1,472,000	1,481,500
Electronic Data Processing	2,442,151	2,923,200	2,093,900	2,402,400
<b>TOTAL</b>	<b>\$146,188,137</b>	<b>\$167,059,800</b>	<b>\$155,487,200</b>	<b>\$158,215,000</b>

**INTERNAL SERVICE FUNDS****Capital Acquisitions**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations	
			Recommended FY 2000	Recommended FY 2001
<b>Administrative Services</b>				
General Services - Mail	\$309,133	\$120,000	\$120,000	\$130,000
General Services - Publishing	1,575,427	1,664,500	1,664,500	1,833,000
Fleet Operations - Surplus	1,193,558	0	0	0
Fleet Operations - Motor Pool	19,982,710	25,249,400	25,249,400	21,522,300
Fleet Operations - Fuel Dispensing	21,857	110,000	110,000	215,000
Information Technology Services	5,567,672	6,164,000	6,164,000	6,243,600
Risk Management	39,000	0	0	50,000
Facilities Management	172,680	106,300	106,300	35,000
<b>Board of Education - General Svcs</b>	9,000	0	0	9,000
<b>Natural Resources</b>				
Central Data Processing	11,536	100,000	100,000	40,000
Motor Pool	492,670	2,048,000	500,000	500,000
Warehouse	49,564	0	0	0
<b>Agriculture - Data Processing</b>	66,033	30,800	30,800	38,000
<b>Corrections - Data Processing</b>	491,774	226,400	394,100	497,300
<b>TOTAL</b>	<b>\$29,982,614</b>	<b>\$35,869,400</b>	<b>\$34,454,100</b>	<b>\$31,113,200</b>

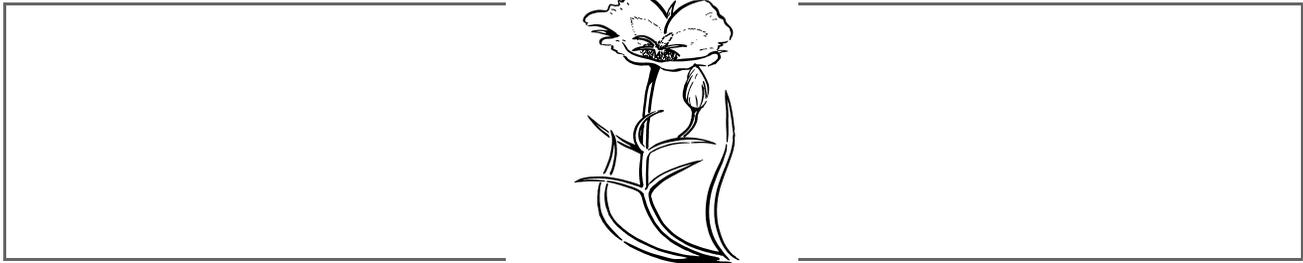
**INTERNAL SERVICE FUNDS****Positions**

	Actual FY 1999	Authorized FY 2000	Governor Leavitt's Recommendations	
			Recommended FY 2000	Recommended FY 2001
<b>Administrative Services</b>				
General Services - Administration	1.25	1.30	3.00	3.00
General Services - Mail	32.00	32.00	32.00	34.00
General Services - E-Purchasing	5.25	5.30	4.00	2.00
General Services - Publishing	21.50	22.50	23.00	23.00
Fleet Operations - Administration	7.95	9.50	9.50	9.50
Fleet Operations - Surplus	11.03	12.60	10.50	10.50
Fleet Operations - Motor Pool	18.85	18.85	21.50	21.50
Fleet Operations - Fuel Dispensing	6.85	6.85	8.30	8.30
Information Technology Services	237.00	237.00	242.00	242.00
Risk Management	21.50	22.50	22.50	22.50
Workers' Compensation	2.00	2.00	2.00	2.00
Facilities Management	127.50	123.50	127.50	127.50
Debt Collection	1.00	1.00	1.00	2.00
<b>Board of Education - General Svcs</b>	8.50	8.50	8.50	8.50
<b>Natural Resources</b>				
Central Data Processing	4.00	4.00	4.00	4.00
Motor Pool	4.00	4.00	4.00	4.00
Warehouse	2.00	2.00	2.00	2.00
<b>Agriculture - Data Processing</b>	3.00	3.00	3.00	3.00
<b>Corrections - Data Processing</b>	10.00	10.00	10.00	10.00
<b>Human Services</b>				
General Services	3.00	4.00	3.00	3.00
Electronic Data Processing	28.00	40.00	33.00	33.00
<b>TOTAL</b>	<b>556.18</b>	<b>570.40</b>	<b>574.30</b>	<b>575.30</b>

# State of Utah

## Recommended One- time Projects by Department

- Potential one-time projects under nonlapsing authority



## ONE-TIME PROJECTS FROM NONLAPSING FUNDS

The legislature passed the Budgetary Procedures Act - Nonlapsing Authority legislation in its 1994 General Session. Under Section 63-38-8.1 UCA, agencies submit a list of possible one-time uses of carry-forward funds to the governor. The governor reviews these lists and includes recommendations for use of any carry-forward funds that may occur in agency budgets. The legislature may approve some or all of the recommended projects. This list does not assume there will be any carry-forward funds, but rather directs spending in the event there are unused funds at the end of the fiscal year. (Note: Any vehicles acquired would be transferred to the Central Motor Pool.)

### Governor Leavitt's Recommendations

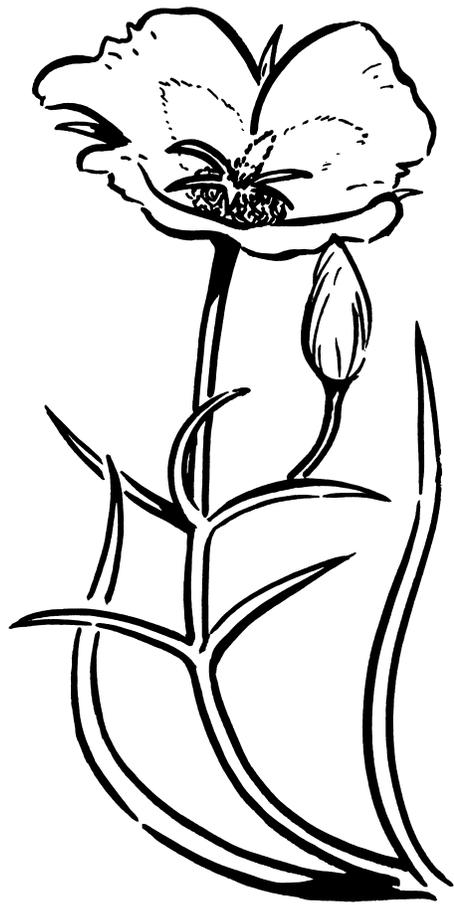
	Computer Equip/Software	Training/ Incentives	Equipment/ Supplies	Capital Equipment or Improvements	Vehicle Acquisition	Special Projects/ Other
<b>Commerce and Revenue</b>						
Commerce	\$250,000	\$0	\$0	\$75,000	\$0	\$0
Public Service Commission	30,000	5,000	5,000	10,000	0	1,000 (a)
<i>(a) - Special projects</i>						
<b>Corrections (Adult and Youth)</b>						
Adult Corrections-Administration	100,000	10,000	225,000	50,000	0	0
Adult Corrections-Field Ops.	450,000	50,000	680,000	200,000	120,000	0
Adult Corrections-Institutional Ops.	230,000	250,000	1,325,000	500,000	120,000	500,000 (b)
Adult Corrections-Clinical Svcs.	280,000	50,000	300,000	250,000	0	0
Youth Corrections	150,000	200,000	50,000	25,000	200,000	100,000 (c)
<i>(b) - One-time inmate expenses</i>						
<i>(c) - Special projects</i>						
<b>Courts</b>						
Judicial Council/Court Admin.	350,000	0	0	200,000	0	200,000 (d)
<i>(d) - One-time contracts</i>						
<b>Health</b>						
Health Systems Improvement	10,000	40,000	0	0	0	30,000 (e)
Epidemiology and Lab Services	0	0	0	160,000	0	0
<i>(e) - Special projects</i>						

Continued

One-time Projects from Nonlapsing Funds

Continued

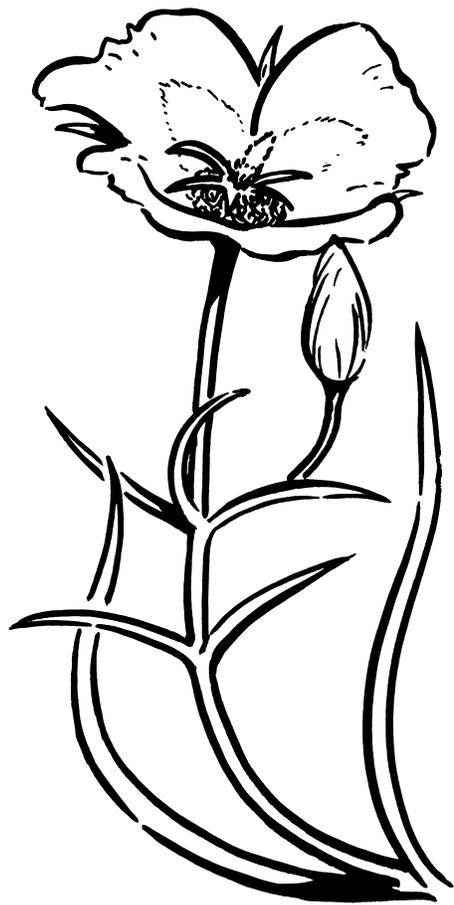
	Computer Equip/Software	Training/ Incentives	Equipment/ Supplies	Capital Equipment or Improvements	Vehicle Acquisition	Special Projects/ Other	
<b>Human Services</b>							
Executive Director	\$25,000	\$0	\$0	\$0	\$0	\$0	
Mental Health	0	0	50,000	0	0	0	
Substance Abuse	0	12,400	0	0	0	0	
Srvcs. for People with Disabilities	25,000	0	25,000	0	0	0	
Recovery Services	200,000	0	0	0	0	0	
Child and Family Services	0	0	0	0	80,000	0	
Aging and Adult Services	10,000	0	0	0	0	115,000	(f)
<i>(f) - Special projects and studies, transportation for local providers, and one-time Medicaid late billings</i>							
<b>National Guard</b>							
Maintenance	0	0	0	50,000	0	0	
<b>Natural Resources</b>							
<b>Natural Resources</b>							
Administration	30,000	10,000	30,000	0	0	30,000	(g)
Utah Geological Survey	20,000	5,000	0	0	0	0	
Oil, Gas, and Mining	45,000	30,000	20,000	0	0	65,000	(h)
Parks and Recreation	30,000	45,000	35,000	0	0	40,000	(i)
Water Resources	20,000	4,000	21,000	10,000	0	0	
Water Rights	25,000	0	25,000	0	0	0	
<b>Agriculture and Food</b>							
Administration	75,000	30,000	5,000	400,000	0	20,000	(j)
Animal Industry	41,200	13,500	7,500	100,000	0	13,500	(k)
Regulatory Services	40,000	25,000	13,000	80,000	0	8,000	(l)
Plant Industry	18,600	13,000	27,300	8,600	0	24,000	(m)
Marketing and Conservation	24,000	10,500	11,000	16,500	0	3,000	(n)
Brand Inspections	35,000	5,000	5,000	0	0	19,000	(o)
Predatory Animal Control	6,000	8,000	5,000	25,000	0	80,000	(p)
<i>(g) - Special projects</i>							
<i>(h) - Special studies and plugging of abandoned oil and gas wells</i>							
<i>(i) - State parks planning</i>							
<i>(j) - Hazardous waste disposal</i>							
<i>(k) - Special studies, small tools, cellular telephones, and brucellosis vaccine</i>							
<i>(l) - Special studies</i>							
<i>(m) - Seed germinators and grain building maintenance</i>							
<i>(n) - Special studies</i>							
<i>(o) - Uniforms and printing</i>							
<i>(p) - Special studies and upgrade of airplane</i>							
<b>Transportation</b>							
Support Services	350,000	100,000	0	0	0	80,000	(q)
Maintenance Management	0	0	0	800,000	0	0	
Equipment Management	0	0	754,300	0	0	0	
Aeronautics	0	0	0	100,000	0	0	
<i>(q) - Performance audits</i>							



# State of Utah

## Appendices

- Definition of terms
- Acronyms used in this document
- Directory of the Governor's Office of Planning and Budget





## GLOSSARY OF TERMS AND ACRONYMS

**Terms:**

**Explanation:**

Actual expenditures

Expenditures made in preceding fiscal years. In this document the term is primarily used for the most recently completed state fiscal year (FY 1999).

Appropriation

Funding allocated through legislative bill.

Authorized expenditures

Amounts for the current state fiscal year (FY 2000) that reflect state funds appropriated by the legislature as well as an agency's best estimate of revenues to be earned such as fees or federal grants.

Base budget

Ongoing funding authorized for expenditure on a recurring basis. This includes the beginning base budget and recommended changes for personal service adjustments and internal service funds.

Beginning balance

Amount of funds left over from the previous fiscal year that are available at the start of the new fiscal year.

Beginning base budget

Total authorized expenditures from the previous fiscal year adjusted for changes to non-state funds and interdepartmental transfers, less one-time appropriations.

Bill

A proposed law.

Bond

A debt instrument representing a written promise to pay a specific sum of money in the future, plus interest.

Budget

A formal estimate of proposed expenditures and expected revenue during a fiscal year.

Budget Reserve Account

A fund designated in Utah law to receive a percentage of state revenue surpluses. This fund is commonly referred to as the Rainy Day Fund (see Rainy Day Fund).

---

Capital budget	Expenditure recommendations for acquisition, development, construction, and improvement of fixed public assets.
Capital developments	Remodeling, site, or utility projects costing \$1,000,000 or more, new space costing more than \$100,000, and land acquisitions where an appropriation is requested.
Capital improvements	Improvement or repair of existing buildings, facilities, or other fixed assets costing less than \$1,000,000.
Capital planning	Programming process conducted before a project is considered for further funding.
Career Ladder Program	A component of the Minimum School Program which compensates teachers for additional service time, special projects, and merit.
Centennial Highway Fund	Special revenue fund created to finance construction, major reconstruction, or major renovation of state or federal highways.
Compensation package	Includes salary increases and benefit costs.
Cost-of-living adjustments	Salary adjustment for all employees based on changes in the cost of living.
Debt service	Money required to pay principal, interest, and fees on existing obligations, usually bonds.
Dedicated credits	Revenue generated from sources such as fines, licenses, and user fees that are available to the collecting agency for expenditure.
E-commerce	Doing business electronically, especially over the internet.
EDNET	A system that delivers instruction and services via a two-way audio and video network to educational entities across the state.
Effective base cut	Increased costs that programs will have to cover within existing budgets because additional funding was not available.
Emergency Fund (Governor's)	A special fund administered by the governor to meet unforeseeable emergencies. This fund shall not be used to correct poor budgetary practices or for purposes having no existing appropriation or authorization.
Ending balance	Amount of funds remaining in an account at the end of the fiscal year that is available for expenditure in the following fiscal year.
Enterprise fund	A fund established by a governmental unit to provide goods and services to the public—primarily financed through user charges.

Federal funds	Various grants and contracts received by the state from the federal government which carry federal statutory or regulatory restrictions on their use.
Fees	Charges paid by users of services.
Fiscal note	A brief report attached to a bill by legislative staff which outlines the revenue generated or cost of implementing the legislation.
Fiscal year (FY)	A 12-month accounting and budget period. Utah's fiscal year begins July 1 and ends June 30.
Forensic facility	A facility at the Utah State Hospital providing specific assessment, treatment, and housing to mentally ill individuals who are involved with the criminal justice system.
Full-time equivalent (FTE)	Equivalent of one employee being paid for eight hours per day for the full work year.
Full-time equivalent (FTE) student	Equivalent of one student earning 30 semester hours of credit on an annualized basis.
Fund	An independent fiscal and accounting entity with a self-balancing set of accounts.
General Fund (GF)	Account into which general tax revenue, primarily sales tax, is deposited. Revenue in this fund is not earmarked by law for specific purposes.
General Fund restricted accounts	Accounts within the General Fund designated for specific expenditures.
<i>HealthPrint</i>	Governor Leavitt's seven-year plan for health care reform.
HR Enterprise Database	A human resource database that integrates recruitment, classification, and training by position and employee.
Internal Service Fund (ISF)	An accounting entity used to track the financing of goods and services provided by one state agency for other state agencies on a cost reimbursement basis. ISFs do not generate excessive profits and are subject to the same administrative statutes as state government agencies.
Lapsing balances	Amount of unused funds at the end of the state fiscal year that revert (lapse) back to the state fund from which they originally were appropriated.

Leeway, board or voted	A property tax levy approved by a local school board (board leeway) or by the local electorate (voted leeway). Board leeway is limited to a tax rate of .0004 and voted leeway is limited to a tax rate of .0020, including any authorized board leeway.
Legislative intent	Specific requirements by the legislature included in the appropriations act.
Line item	Any numbered item in an appropriations act for which an appropriation is made, e.g. department, division, program, etc.
Market comparability adjustment	A salary adjustment for positions found to be below market.
Medicare	A federal health insurance program designed primarily for individuals entitled to Social Security who are age 65 or older. Medicare is not in the state's budget.
Medicaid	A joint state/federal program of government-financed medical care for specified groups of low-income residents.
Merit increases	Salary increases in accordance with the state pay plan, given to employees whose performance merits an increase.
Mineral Lease Account	Funding received by the state from federal mineral lease revenue. The funding is used to alleviate the social, economic, and public impact of mineral extraction and development.
Minimum School Program	State-supported program for kindergarten, elementary, and secondary schools. The <i>Minimum School Program Act</i> specifies that all children of the state are entitled to reasonably equal education opportunities regardless of their place of residence and the economic situation of their respective school districts.
Nonlapsing funds	Unexpended funds at the end of a state fiscal year that remain with a specific program or agency for use in the subsequent year.
One-time appropriations	Funds authorized for expenditure on a non-recurring basis. The amount is not added to the next year's base budget.
Ongoing funding	Funding authorized for expenditure on a recurring basis. The amount is added to the next year's base budget.
Operating expenses	Costs necessary to carry out an agency's ongoing and day-to-day activities.

Pass-through funds	Funds, usually federal funds or state funds appropriated by the <i>Minimum School Program Act</i> , that are passed on to local agencies for local programs.
Payment in lieu of taxes	Funding received from the federal government in place of state taxes on federally-owned land within the state.
Personal services	A general category that includes salaries, wages, employee pensions, insurance, and other employee benefits.
Personal services adjustment	May include adjustments based on rate changes in health and dental insurance, long-term disability insurance, federal social security and Medicare taxes, retirement, unemployment insurance, workers' compensation, and leave pool.
Position	Authorized employee being paid for eight hours per day for the full work year (see FTE).
Program	A group of closely related services or activities that contribute to a common objective.
Rainy Day Fund	A fund designated in Utah law to receive a percentage of state revenue surpluses. This fund is formally known as the Budget Reserve Account.
Restricted funds	Funds that are maintained in a separate account for a specific purpose. Restricted funds must be appropriated by the legislature.
Revenue	Funding earned from various sources and used to finance expenditures.
RS2477	Rights-of-way granted under federal law for roads across public lands.
School funds (SF)	School funds include Uniform School Fund and income tax revenue for Higher Education.
Supplemental appropriation	A one-time adjustment of funds in the current fiscal year, either over or under the original appropriation. These amounts do not affect the following year's base budget.
Transfer	Movement of money from one governmental unit to another governmental unit.
Transportation Fund (TF)	A fund designated by the Utah Constitution exclusively for highway purposes and funded primarily by a tax on motor fuel.
Trust fund	A fund designated by law for specific purposes.

Uniform School Fund (USF)	A permanent state school fund financed primarily from state income tax collections. The USF is maintained for the support of the state's public elementary and secondary schools and institutions of higher education.
UtahLINK	A system that uses technology to deliver instruction, library services, administrative support, student services, and teacher resources regardless of location or time to schools, business, industry, and homes.
UTAX	Tax Commission's information systems and processes modernization and integration project.
Weighted Pupil Unit (WPU)	Unit of measure used to uniformly determine the cost of the state Minimum School Program. The WPU generally represents one pupil in average daily membership, but additional WPUs are allocated for specific programs.

**Acronyms:**

AAA	Triple A bond rating—highest bond rating given by Moody's Investors Service, Standard and Poor's, and Fitch IBCA
ADA	Americans with Disabilities Act
AG	Attorney General
AGRC	Automated Geographic Reference Center
AHEC	Area health education centers
A,R, and I	Alterations, Repairs, and Improvements
ATC	Applied technology center
ATCSR	Applied technology center service region
ATE	Applied technology education
CAFR	<i>Comprehensive Annual Financial Report</i>
CEU	College of Eastern Utah
CHIP	Children's Health Insurance Program
CHF	Centennial Highway Fund
CIO	Chief Information Officer
COLA	Cost-of-living adjustment
CUCF	Central Utah Correctional Facility in Gunnison
CUP	Central Utah Project
DAS	Department of Administrative Services
DCED	Department of Community and Economic Development
DFCM	Division of Facilities Construction and Management
DP	Data processing
DSBVI	Division of Services for Blind and Visually Impaired
DUI	Driving under the influence

EF	Enterprise funds
EPA	Environmental Protection Agency
ETI	Education technology initiative
FACT	Families, Agencies, and Communities Together
FCC	Federal Communications Commission
FICA	Federal Insurance Contributions Act (payroll taxes)
FLSA	Fair Labor Standards Act
FTE	Full-time equivalent
FY	Fiscal year
GF	General Fund
GOPB	Governor's Office of Planning and Budget
HB	House bill
HIP	Utah Comprehensive Health Insurance Pool
HOV	High occupancy vehicle
IAF	Industrial Assistance Fund
ISF	Internal service fund
IT	Information technology
ITS	Information Technology Services Division
LAN	Local area network
LFA	Legislative Fiscal Analyst
MESA	Math, engineering, and science achievement
MSP	Minimum School Program
NCSL	National Conference of State Legislatures
O and M	Operation and maintenance costs
OME	Office of the Medical Examiner
PC	Personal computer
PEHP	Public Employees Health Program
PM10	Particulate matter
POST	Peace Officer Standards and Training
RFP	Request for proposal
SB	Senate bill
SBDC	Small business development centers
SBIC	Small Business Investment Corporation
SF	School funds
SR	State route
SUU	Southern Utah University

---

TANF	Temporary Assistance for Needy Families
TF	Transportation Fund
TIF	Transportation Investment Fund
TOC	Traffic Operations Center
UCA	Utah Code Annotated
UEN	Utah Education Network
UMAP	Utah Medical Assistance Program
U of U	University of Utah
USDB	Utah Schools for the Deaf and the Blind
USATE	Utah System of Applied Technology Education
USF	Uniform School Fund
USHE	Utah System of Higher Education
USOE	Utah State Office of Education
USOR	Utah State Office of Rehabilitation
UST	Underground storage tank
USU	Utah State University
UTA	Utah Transit Authority
UVSC	Utah Valley State College
WAN	Wide area network
WPU	Weighted pupil unit
WSU	Weber State University
Y2K	Year 2000

**GOVERNOR'S OFFICE OF PLANNING AND BUDGET**

Lynne N. Ward, CPA, Director  
Brad T. Barber, Deputy Director  
Clara Walters, Administrative Secretary

**Budget and Policy Analysis**

Scott Green, Manager

Joseph Brown  
Environmental Quality  
National Guard  
Transportation

Race Davies  
Public Education

Todd Hauber  
Community and Economic Development  
Human Resource Management  
Retirement Board

Ron Haymond  
Elected Officials  
Legislature  
Public Safety

Kim Hood  
Health

Stephen Jardine  
Human Services

Brad Mortensen  
Higher Education  
Utah Education Network

John Nixon  
Alcoholic Beverage Control  
Commerce  
Financial Institutions  
Insurance  
Labor Commission  
Public Service Commission  
Tax Commission  
Workforce Services

Dan Olsen  
Administrative Services  
Capital Budget  
Capitol Preservation Board  
Internal Service Funds

Mel Parker  
Agriculture and Food  
Natural Resources  
Trust Lands Administration

Arlene Quickstrom  
Research Analyst  
Desktop Publishing

David H. Walsh  
Corrections (Adult and Youth)  
Courts

**Administrative Services**

Ron Haymond, Manager  
Patsy Buchi  
Kim Dent  
Lou Erickson  
Jackie Jameson  
Sandra Z. Naegle

**Demographic and Economic Analysis**

Natalie Gochnour, Manager  
Peter Donner  
Scott Frisby  
Lisa Hillman  
Jamie Jensen  
Julie Johnsson  
Pam Perlich  
Ross Reeve  
Lance Rovig  
Robert Spendlove

**Local Area Network Team**

Ray Palmer, Manager  
Gerlinde Harris  
Kevin Higgs  
Michael Hussey  
Chad Poll

**Resource Planning and Legal Review**

John A. Harja, Manager  
Kent Bishop  
Nancy Keate  
Ann Rosser  
Carolyn Wright

**Science and Technology**

John Matthews, Military Advisor  
Sue Johnson  
Danny Bauer, Science Advisor  
Clara Walters

**State and Local Planning**

Mark Bedel, Manager  
Michael Crane  
Camille Hacking  
Jamie Jensen  
Ray Milliner

